08/01/2014 09:10 FAX

# Thornourg Investment Management\* Strategies for Building Real Wealth

Date:	I August 2014	Pages:	4
			(including cover)
То:	ASX Limited	Fax:	011 61 2 9347 0005
Сотралу:		Tel:	<u> </u>
From:	Ronald Olexsak (rolexsak@thornburg.com)	-	
Tel:	505-467-7447 USA	Fax:	
Subject:	Form 603 Disclosure - Ozforex	. —	
Notes:			.,,

2300 North Ridgetop Road Santa Fe, NM 87506 T: 800.847.0200 F: 505.992.8681 www.thomburg.com

THIS DOCUMENT IS INTRIDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHOM IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED AND CONFIDENTIAL. IF YOU ARE NOT THE INTENDED RECIPIENT YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPYING OF THE COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US BY TELEPHONE AND DESTROY THE DOCUMENT.

603 page 1/2 15 July 2001

# Form 603

Corporations Act 2001 Section 8718

# Notice of initial substantial holder

<u>To</u> Company Name/Scheme	OZFOREX GROUP LIMITED	]
ACN/ARSN	165 602 273	
- Beelle of substantial holder (4)		
7. Details of substantial holder (1 Name	THORNBURG INVESTMENT MANAGEMENT	
ACN/ARSN (if applicable)		•

The holder became a substantial holder on

07/16/14

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows.

Class of securities (4)	Number of securities	Person's volos (5)	Voting power (6)
ORDINARY	8.400.000	8.400.000	8,400,000
EQUITY	0,400,000	0,400,000	0,400,000

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest		Nature of relevant interest (7)	Class and number of securities	
	THORNBURG	INVESTMENT ADVISOR	8,400,000 ORDINARY EQUITY	

## 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant	Registered holder of	Person entitled to be	Class and number
interest	securities	registered as holder (8)	of securities
ALL SHARES REGI INVESTMENT MAN INVESTMENT CLIE	AGEMENT ON BE	· -	8,400,000 ORDINARY EQUITY

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows.

Holder of relevant interest	Date of acquisition	Consideration	Consideration (9)	
		Cash	Non-cash	.02.77
See Attached				

### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows

-	Name and ACN/ARSN (if applicable)	Nature of association
Ī		
Ī		

### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
THORNBURG	2300 N RIDGETOP ROAD SANTA FE, NM 87506 USA

# Signature Print name Print name Sign here RONALD OLEXSAK COMPLIANCE OFFICER Date 07/31/2014

### DIRECTIONS

- (f) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the imanager and trustee of an equity trust), the numes could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names, and addresses of members is clearly set out in paragraph 7 of the form
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001
- (3) See the definition of "rolevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's voles divided by the total votes in the body corporate or scheme multiplied by 100
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 6718(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement configuration of the person giving full and accurate details of any contract, scheme or arrangement; and
  - any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001

- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

		Çonsidera			
Holder of Relevant Interest				Class and Number of Sec	
Thornburg Investment Management		\$ 1,117,961.08	0	Ordinary Equity SEDOL BFG1Q58	407,762
Thornburg Investment Management	6/11/2014	\$ 572,954.18	0	Ordinary Equity SEDOL BFG1Q58	204,824
Thornburg Investment Management	6/13/2014	\$ 47,887.58	0	Ordinary Equity SEDOL BFG1Q58	17,746
Thornburg Investment Management	6/16/2014	\$ 41,079.77	0	Ordinary Equity SEDOL BFG1Q58	15,084
Thornburg Investment Management	6/17/2014	\$ 590,898.00	0	Ordinary Equity SEDOL BFG1Q58	210,000
Thornburg Investment Management	6/18/2014	\$ 473,100.00	0	Ordinary Equity SEDOL BFG1Q58	166,000
Thornburg Investment Management	6/18/2014	\$ 354,156.40	0	Ordinary Equity SEDOL BFG1Q58	125,303
Thornburg Investment Management	6/19/2014	\$ 750,576.94	0	Ordinary Equity SEDOL BFG1Q58	261,043
Thornburg Investment Management	7/14/2014	\$ 313,937.55	0	Ordinary Equity SEDOL BFG1Q58	118,467
Thornburg Investment Management	7/14/2014	\$ 633,270.65	0	Ordinary Equity SEDOL BFG1Q58	237,866
Thornburg Investment Management	7/15/2014	\$ 977,078.35	0	Ordinary Equity SEDOL BFG1Q58	366,551
Thornburg Investment Management	7/16/2014	\$ 1,883,775.21	0	Ordinary Equity SEDOL BFG1Q58	700,106