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To: ASX	From: Vanshap Capital, LLC
Company: ASX	Re: Notice of Initial Substantial Holder
Fax: +61 2 9347 0005	# of pages (including cover): 4
Date: October 14, 2015	

## Comments:

Please find attached Form 604, Notice of change of interests of substantial holder, in regards to Swick Mining Services Ltd (ACN 112 917 905) exceeding the 7% threshold.

If there are any questions, please feel free to contact us.

Sincerely,

David Shapiro Managing Member 571-933-6952

OCT. 13. 2015110:00PM820 21282248209336948 Date: 10/13/15 Time: 6:48 FNO. 1605 02P. 2

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# Form 604

Corporations Act 2001 Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme	Swick Mining Services Ltd	
ACN/ARSN	112 917 905	
1. Details of substantial holder(1)	Vanshap Capital, LLC	<u></u>
ACN/ARSN (if applicable)		
There was a change in the interests of the substantial holder on The previous notice was given to the comparathe previous notice was dated	<u>14 / 10 / 15</u> my on	

## 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Olass of a education (4)	Previous publice = · · · · · · · · · · · · · · · · · ·		Present notice		
	Person's Voles	Vollng power (5)		Person's votes	Voting power (5)
Ordinary Shares	13,395,793	6.15%		15,496,310	7.12%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Dale of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securilies affected	Person's votes affected
See Annexure A					

# 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting accurities after the change are as follows:

Holder of relevant interest	Registered holder of securitles	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securilles	Person's voles
Vanshap Capital, LLC	Goldman Sachs International London		1	Ordinary Shares 15,496.310	15,496,310
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#### Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of linear association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
	1 111 111

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Vanshap Capital, LLC	1530 Wilson Blvd., Suite 1020 Arlington, VA 22209 United States
Vanshap Capital Value Fund, LP	1530 Wilson Blvd., Suite 1020 Arlington, VA 22209 United States

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print name David Shapiro

Capagily

Managing Partner

sign here

14/10/2015

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the
- (2)See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6)include details of;
  - any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 67(B(4) applies, a copy of any (a) document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 8 of the Corporations Act 2001.

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant Interest was acquired has, or may, becom'e entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown". (8)
- Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

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Annexure A Vanshap Capital, LLC (ACN# N/A)

Item 3- Changes in Relevant Interest

Date of Change	Person Whose Relevant Interest Changed	Nature of Change	Consideration given in relation to change	Class and number of securities affected	Person's votes affected
16/09/15	Venshap Capital Value Fund, LP	On Market Purchase On Market	A\$ 15,560.28	129,669 Ordinary Shrs 105,118	129,669
25/09/15	Vanshap Capital Value Fund, LP	Purchase	A\$ 11,562.98	Ordinary Shrs	105,118
28/09/15	Vanshap Capital Value Fund, LP	On Market Purchase	A\$ 32,530.30	295,730 Ordinary Shrs	295,730
29/09/15	Vanshap Capital Value Fund, LP	On Market Purchase On Market	A\$ 43,575.82	420,616 Ordinary Shrs 179,384	420,616
30/09/15	Vanshap Capital Value Fund, LP	Purchase On Market	A\$ 17,938.40	Ordinary Shrs	179,384
02/10/15	Vanshap Capital Value Fund, LP	Purchase On Market	A\$ 15,000.00	Ordinary Shrs 200,000	150,000
05/10/15	Vanshap Capital Value Fund, LP	Purchase	A\$ 19,400.00	Ordinary Shra	200,000
06/10/15	Vanshap Capital Value Fund, LP	On Market Purchase	A\$ 32,000.00	320,000 Ordinary Shrs	320,000
14/10/15	Vanshap Capital Value Fund, LP	On Market Purchase	A\$ 27,300.00	300,000 Ordinary Shrs	300,000