

22 July 2016

Cheng Tang  
Adviser, Listings (Melbourne)  
ASX Compliance Pty Limited  
20 Bridge Street  
Sydney NSW 2000

**BY EMAIL:** cheng.tang@asx.com.au

Dear Ms Tang

**MaxiTRANS Industries Limited: Appendix 3Z – Final Director's Interest Notice**

We refer to your letter dated 20 July 2016 in which you refer to the Appendix 3Z lodged by MaxiTRANS Industries Limited (the **Entity**) with ASX on 13 July 2016 and the subsequent corrected Appendix 3Z lodged with ASX on 14 July 2016, and respond to your questions below.

1. *Please explain why the Appendices were lodged late.*

The late lodgement was due to an administrative oversight.

2. *What arrangements does the Entity have in place under listing rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?*

Each director has signed an undertaking in favour of the Entity to disclose all interests for the purpose of complying with the ASX Listing Rules.

3. *If the current arrangements are inadequate or not being enforced, what additional steps does the Entity intend to take to ensure compliance with listing rule 3.19B?*

As advised above, the late lodgement was due to an oversight. The Entity believes it has adequate policies to ensure it complies with the ASX Listing Rules going forward.

Yours faithfully

A handwritten signature in blue ink, appearing to read 'CR'.

Campbell Richards  
CFO and Company Secretary  
MaxiTRANS Industries Limited



20 July 2016

Campbell Richards  
Chief Financial Officer & Company Secretary  
MaxiTRANS Industries Limited  
346 Boundary Road  
Derrimut VIC 3030

By email: [campbell.richards@maxitrans.com.au](mailto:campbell.richards@maxitrans.com.au)

Dear Mr Richards

**MaxiTRANS Industries Limited (the “Entity”): Appendix 3Z – Final Director’s Interest Notice**

We refer to the following;

1. The Appendix 3Z lodged by the Entity with ASX on Wednesday, 13 July 2016 for Ian R Davis and the subsequent correction Appendix 3Z lodged by the Entity with ASX on Thursday, 14 July 2016 for Ian R Davis (the “Director Notices”);
2. Listing rule 3.19A which requires an entity to tell ASX the following:

3.19A.1 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the following times.

- On the date that the entity is admitted to the official list.
- On the date that a director is appointed.

The entity must complete Appendix 3X and give it to ASX no more than 5 business days after the entity’s admission or a director’s appointment.

3.19A.2 A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) including whether the change occurred during a closed period where prior written clearance was required and, if so, whether prior written clearance was provided. The entity must complete Appendix 3Y and give it to ASX no more than 5 business days after the change occurs

3.19A.3 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the date that the director ceases to be a director. The entity must complete Appendix 3Z and give it to ASX no more than 5 business days after the director ceases to be a director.

3. Listing rule 3.19B which states as follows.



An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.

As the Appendix 3Z indicated that the director ceased to be a director on 30 June 2016 it appears the Appendix 3Z should have lodged with ASX by 7 July 2017. As it was lodged on 13 July 2016 and corrected on 14 July 2016, it appears that there may have been a breach by the Entity of listing rules 3.19A and/or 3.19B

Please note that ASX is required to record details of breaches of the listing rules by listed entities for its reporting requirements.

ASX reminds the Entity of its contract with ASX to comply with the listing rules. In the circumstances ASX considers that it is appropriate that the Entity make necessary arrangements to ensure there is not a reoccurrence of a breach of the listing rules.

Having regard to listing rules 3.19A and 3.19B and Guidance Note 22: *Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities*, under listing rule 18.7 we ask that you answer each of the following questions.

1. Please explain why the Appendices were lodged late.
2. What arrangements does the Entity have in place under listing rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does the Entity intend to take to ensure compliance with listing rule 3.19B?

Your response should be sent to me by e-mail at [cheng.tang@asx.com.au](mailto:cheng.tang@asx.com.au). It should not be sent to the ASX Market Announcements Office.

A response is requested as soon as possible and, in any event, not later than half an hour before the start of trading (ie before 9.30 a.m. A.E.S.T.) on Monday, 25 July 2016.

Under listing rule 18.7A, a copy of this letter and your response will be released to the market, so your response should be in a form suitable for release and must separately address each of the questions asked.

If you have any queries or concerns about any of the above, please contact me immediately.

Yours sincerely

*[Sent electronically without signature]*

Cheng Tang  
**Adviser, Listings (Melbourne)**