

FAX COVER SHEET

TO

COMPANY

FAXNUMBER 61297780999

FROM GLOBAL X MANAGEMENT

DATE 2017-01-27 20:10:05 GMT

RE Substantial Shareholding for Peninsula Energy

COVER MESSAGE

Attention:

Australian Stock Exchange (ASX)/ The Manager, Company Announcements Office.

Regards,



Vanessa Yang | Portfolio Administrator
600 Lexington Ave, 20th floor | New York, NY 10022
646-757-5405 | globalxfunds.com



Global X funds are sold by prospectus only. Investing involves risk, including possible loss of principal. Carefully consider the Funds' investment objectives, risk factors, charges and expenses before investing. This and additional information can be found in the Funds' prospectus, which may be obtained by calling 1-866-GX-FUND-1 (1-866-493-8631) or by visiting www.globalxfunds.com. Read the prospectus carefully before investing.

Global X Management Company LLC serves as an advisor to the Global X Funds. The Funds are distributed by SEI Investments Distribution Co., which is not affiliated with Global X Management Company or any of its affiliates.

For Financial Professional Use Only. Do not forward. If you are receiving this email in error or no longer wish to receive emails from this sender, please [click here](#).

Confidentiality Notice: This e-mail, and any attachments, contains information that is, or may be, confidential or proprietary in nature. If you are not the intended recipient, please be advised that you are legally prohibited from retaining, using, copying, distributing, or otherwise disclosing this information in any manner. Furthermore, this communication is for information purposes only and should not be regarded as an offer to sell or as a solicitation of an offer to buy any financial product, or as an official statement of Global X Management or any of its affiliates.

Form 604Corporations Law
Section 671B**Notice of change of interests of substantial holder**

To: Company Name/Scheme Peninsula Energy Ltd

ACN/ARSN ISIN AU000000PEN6

1. Details of substantial holder(1)

Name Global X Management Company

ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on 01 / 23 / 17

The previous notice was given to the company on 01 / 12 / 17

The previous notice was dated 01 / 03 / 17

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	10,479,252	5.32%	12,672,048	6.40%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See attached					

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
GXMC	Global X Uranium ETF	Global X Uranium ETF	n/a	12,672,048	12,672,048
				Common Stock	

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with the substantial holder in relation to voting interests in the company or scheme are as follows:

Name (and ACN/ARSN (if applicable))	Nature of association
Global X Management Co	Advisor to the fund
Global X Uranium ETF	Exchange traded fund

6. Addresses

The addresses of persons named in this form are as follows:

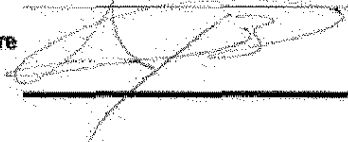
Name	Address
Global X Management Co	600 Lexington Ave, 20th floor, New York, NY, 10022
Global X Uranium ETF	1 Freedom Valley Drive, Oaks, PA 19456, USA

Signature

print name **Luis Berruga**

capacity **Chief Operating Officer**

sign here



date **01 / 27 / 17**

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Law.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Law.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
 - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Law.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisition, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

Acct Name	Security Desc	Type	SEDOL	Trade Date	Settle Date	Units	Unit Price	Amount	Trading Broker
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	16-Nov-2016	21-Nov-2016	147300.0000	0.000000	0.00	UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	29-Nov-2016	01-Dec-2016	147300.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	05-Dec-2016	07-Dec-2016	98200.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	08-Dec-2016	13-Dec-2016	49100.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	09-Dec-2016	14-Dec-2016	49100.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	12-Dec-2016	14-Dec-2016	294600.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	13-Dec-2016	15-Dec-2016	49100.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	16-Dec-2016	21-Dec-2016	49100.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DEL	6486381	19-Dec-2016	20-Dec-2016	185000.0000	0.000000	0.00	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	19-Dec-2016	20-Dec-2016	49100.0000	0.000000	0.00	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	21-Dec-2016	28-Dec-2016	49100.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	22-Dec-2016	28-Dec-2016	13320.0000	0.000000	7242.28	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	22-Dec-2016	28-Dec-2016	49100.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	23-Dec-2016	29-Dec-2016	43865.0000	0.000000	22809.20	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	6486381	23-Dec-2016	29-Dec-2016	49100.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	23-Dec-2016	29-Dec-2016	49100.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	23-Dec-2016	29-Dec-2016	49100.0000	0.000000	5332.68	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	6486381	28-Dec-2016	30-Dec-2016	9890.0000	0.559700	1356.85	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	6486381	29-Dec-2016	03-Jan-2017	2246.0000	0.555000	8865.13	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	6486381	30-Dec-2016	04-Jan-2017	15664.0000	0.559100	8865.13	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	30-Dec-2016	04-Jan-2017	45600.0000	0.000000	2746.11	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	6486381	03-Jan-2017	05-Jan-2017	775200.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	04-Jan-2017	09-Jan-2017	45700.0000	0.000000	17082.20	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	04-Jan-2017	09-Jan-2017	319900.0000	0.000000	0.00	UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	05-Jan-2017	09-Jan-2017	48683.0000	0.534100	25988.59	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	05-Jan-2017	09-Jan-2017	91400.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	6486381	06-Jan-2017	10-Jan-2017	185792.0000	0.845600	119887.35	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	6486381	09-Jan-2017	11-Jan-2017	156061.0000	0.874800	105257.31	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	09-Jan-2017	12-Jan-2017	228500.0000	0.000000	0.00	UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	6486381	10-Jan-2017	13-Jan-2017	130648.0000	0.686800	90885.54	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	6486381	11-Jan-2017	13-Jan-2017	87747.0000	0.769800	67443.70	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	11-Jan-2017	16-Jan-2017	228500.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	12-Jan-2017	16-Jan-2017	182800.0000	0.000000	0.00	UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DEL	6486381	13-Jan-2017	16-Jan-2017	150000.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	13-Jan-2017	16-Jan-2017	45700.0000	0.000000	0.00	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	18-Jan-2017	23-Jan-2017	365600.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	18-Jan-2017	23-Jan-2017	45700.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	19-Jan-2017	24-Jan-2017	182800.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	20-Jan-2017	24-Jan-2017	45700.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	23-Jan-2017	25-Jan-2017	594100.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DEL	6486381	23-Jan-2017	27-Jan-2017	169000.0000	0.000000	0.00	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	23-Jan-2017	27-Jan-2017	91400.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	6486381	23-Jan-2017	25-Jan-2017	365600.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT