

10 February 2017

Company Announcements Platform Australian Securities Exchange Limited 20 Bridge Street SYDNEY NSW 2000

Dear Sir/Madam,

# **Notice of Initial Substantial Holder**

In accordance with section 671B of the Corporations Act 2001 (Cth), we attach a Notice of Initial Substantial Holder (Form 603) in respect of OFX Group Limited (OFX).

Yours faithfully,

Chris Millard

**Company Secretary** 

# **Form 603**

#### Corporations Act 2001 Section 671B

# Notice of initial substantial holder

ľo	Company Name/Scheme	OFX GROUP LIMITED (OFX)

ACN/ARSN ACN 165 602 273

1. Details of substantial holder(1)

Name BT INVESTMENT MANAGEMENT LIMITED (BTT)

ACN/ARSN (if applicable) ACN 126 365 822

The holder became a substantial holder on 08/02/2017

#### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary Shares	13,592,445	13,592,445	
Total	13,592,445	13,592,445	5.66%

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Number of securities	Class of securities
BT Investment BT Investment		7,837,590	Ordinary
Management (Fund	Management (Fund		-
Services) Limited *	Services) Limited is the		
	responsible entity of		
	various managed		
	investment schemes and,		
	in that capacity, can		
	exercise the power to		
	vote or dispose of the		
	shares.		
BT Investment	BT Investment	5,754,855	Ordinary
Management	Management		
(Institutional) Limited	(Institutional) Limited		
	(previously known as BT		
	Investment Management		
	(RE) Limited) is the fund		
manager of various			
	superannuation funds,		
	institutional investor		

and, i	olios and unit trusts in that capacity, can ise the power to	
vote	or dispose of the	
share	S.	

<sup>\*</sup>BT Investment Management (Fund Services) Limited has appointed BT Investment Management (Institutional) Limited to act as the investment manager of the trusts of which it is responsible entity, giving BT Investment Management (Institutional) Limited the capacity to exercise the power to vote or dispose of the shares. BT Investment (Institutional) Limited therefore also has a relevant interest in shares in which BT Investment Management (Fund Services) Limited has a relevant interest.

## Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Persons entitled to be registered as holder (8)	Class and number of securities
BT Investment Management (Fund	HSBC Custody Nominees (Australia)	See Annexure 'A'	7,837,590 Ordinary
Services) Limited	Limited.		
BT Investment	Australia and New	See Annexure 'A'	5,754,855
Management	Zealand Banking		Ordinary
(Institutional) Limited	Group Limited		
	HSBC Custody		
	Nominees (Australia)		
	Limited.		
	State Street Australia Limited		

### 4. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant	Date of acquisition	Consideration (9)		Class and number of	
interest		Cash Non-cash		securities	

#### 5. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

# 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
BT Investment Management Limited (BTT)	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
BT Investment Management (Fund Services) Limited	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
BT Investment Management (Institutional) Limited	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
OFX GROUP LIMITED (OFX)	The Company Secretary, OFX GROUP LIMITED (OFX) Level 19, 60 Margaret Street Sydney NSW 2000
	Tel No: 02 8667 8090

# Signature

Print name	Chris Millard	Capacity	Company Secretary
Cian hana		Data	10/02/2017
Sign here		Date	10/02/2017

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (e.g. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members, is clearly set out in paragraph 7 of the form
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and with a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (8) If the substantial holder is unable to determine the identity of the person (e.g. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

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#### ANNEXURE A

This is annexure 'A' referred to in Form 603, Notice of initial substantial holder, lodged for BT Investment Management Limited ACN 126 365 822.

Chris Millard - Company Secretary, BT Investment Management Limited 10/02/2017

Sales & Purchases for the period: 08/10/2016 to 08/02/2017

SECURITY: OFX

# BT Investment Management (Fund Services) Limited

Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities
24/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	409,407	650,957.13	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	22,289	36,108.18	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	176,227	287,250.01	Ordinary
28/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	229,984	377,173.76	Ordinary
28/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	95	155.80	Ordinary
29/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	38,996	64,733.36	Ordinary
29/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	143,438	236,672.70	Ordinary
30/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	146,037	242,421.42	Ordinary
30/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	108,160	179,545.60	Ordinary
1/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	181,238	302,667.46	Ordinary
2/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	74,578	124,545.26	Ordinary
5/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	86,996	142,673.44	Ordinary
5/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	33,657	56,207.19	Ordinary
6/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	57,894	96,104.04	Ordinary
8/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	197,630	347,828.80	Ordinary
8/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	338,840	596,358.40	Ordinary
8/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	58,844	104,153.88	Ordinary
9/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	93,604	163,807.00	Ordinary
19/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	296,251	515,476.74	Ordinary
20/12/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	810,785	1,386,442.35	Ordinary
10/01/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	29,551	50,236.70	Ordinary
13/01/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	466,664	793,328.80	Ordinary
16/01/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	126,193	213,266.17	Ordinary
17/01/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	575,700	978,690.00	Ordinary
18/01/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	531,274	903,165.80	Ordinary
19/01/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	217,137	369,132.90	Ordinary
2/02/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	167,900	221,628.00	Ordinary
2/02/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	532,955	703,500.60	Ordinary
3/02/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	155,091	223,331.04	Ordinary
3/02/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	226,657	326,386.08	Ordinary
7/02/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	747,616	1,039,186.24	Ordinary
7/02/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	161,300	224,207.00	Ordinary
8/02/2017	HSBC Custody Nominees (Australia) Limited.	Purchase	394,602	548,496.78	Ordinary
	Total		7,837,590	12,505,838.63	
	BT Investment Management (Fund Services)	Limited Total :	7,837,590	12,505,838.63	

BT Investment Management (Institutional) Limited

Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities
24/11/2016	Australia and New Zealand Banking Group Limited	Purchase	13,456	21,395.04	Ordinary
25/11/2016	Australia and New Zealand Banking Group Limited	Purchase	5,792	9,440.96	Ordinary
25/11/2016	Australia and New Zealand Banking Group Limited	Purchase	732	1,185.84	Ordinary
28/11/2016	Australia and New Zealand Banking Group Limited	Purchase	7,559	12,396.76	Ordinary
28/11/2016	Australia and New Zealand Banking Group Limited	Purchase	3	4.92	Ordinary
29/11/2016	Australia and New Zealand Banking Group Limited	Purchase	1,282	2,128.12	Ordinary
29/11/2016	Australia and New Zealand Banking Group Limited	Purchase	4,714	7,778.10	Ordinary
30/11/2016	Australia and New Zealand Banking Group	Purchase	3,555	5,901.30	Ordinary
30/11/2016	Limited Australia and New Zealand Banking Group	Purchase	4,799	7,966.34	Ordinary
1/12/2016	Limited Australia and New Zealand Banking Group	Purchase	5,957	9,948.19	Ordinary
2/12/2016	Limited Australia and New Zealand Banking Group	Purchase	2,451	4,093.17	Ordinary
5/12/2016	Limited Australia and New Zealand Banking Group	Purchase	1,106	1,847.02	Ordinary
5/12/2016	Limited Australia and New Zealand Banking Group	Purchase	2,859	4,688.76	Ordinary
6/12/2016	Limited Australia and New Zealand Banking Group	Purchase	1,903	3,158.98	Ordinary
8/12/2016	Limited Australia and New Zealand Banking Group	Purchase	2,143	3,793.11	Ordinary
8/12/2016	Limited Australia and New Zealand Banking Group	Purchase	7,199	12,670.24	Ordinary
8/12/2016	Limited Australia and New Zealand Banking Group	Purchase	12,343	21,723.68	Ordinary
9/12/2016	Limited Australia and New Zealand Banking Group	Purchase	3,071	5,374.25	Ordinary
19/12/2016	Limited Australia and New Zealand Banking Group	Purchase	9,721	16,914.54	Ordinary
20/12/2016	Limited Australia and New Zealand Banking Group	Purchase	27,623	47,235.33	Ordinary
10/01/2017	Limited Australia and New Zealand Banking Group	Purchase	3,248	5,521.60	Ordinary
13/01/2017	Limited Australia and New Zealand Banking Group	Purchase	15,954	27,121.80	Ordinary
16/01/2017	Limited Australia and New Zealand Banking Group	Purchase	4,314	7,290.66	Ordinary
17/01/2017	Limited Australia and New Zealand Banking Group	Purchase	19,682	33,459.40	Ordinary
18/01/2017	Limited Australia and New Zealand Banking Group	Purchase	18,163	30,877.10	Ordinary
19/01/2017	Limited Australia and New Zealand Banking Group	Purchase	7,423	,	Ordinary
	Limited Australia and New Zealand Banking Group			12,619.10	·
2/02/2017	Limited Australia and New Zealand Banking Group	Purchase	6,228	8,220.96	Ordinary
2/02/2017	Limited Australia and New Zealand Banking Group	Purchase	19,768	26,093.76	Ordinary
3/02/2017	Limited Australia and New Zealand Banking Group	Purchase	3,709	5,340.96	Ordinary
3/02/2017	Limited Australia and New Zealand Banking Group	Purchase	5,421	7,806.24	Ordinary
7/02/2017	Limited Australia and New Zealand Banking Group	Purchase	5,853	8,135.67	Ordinary
7/02/2017	Limited Australia and New Zealand Banking Group	Purchase	27,126	37,705.14	Ordinary
8/02/2017	Limited	Purchase	13,084	18,186.76	Ordinary
	Total	Purchase	268,241	428,023.80	
Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities
24/11/2016	HSBC Custody Nominees (Australia) Limited.	Purchase	70,667	112,360.53	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.		30,418	49,581.34	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.		3,847	6,232.14	Ordinary

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26.24

Ordinary

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HSBC Custody Nominees (Australia) Limited.

28/11/2016

28/11/2016	HSBC Custody Nominees (Australia) Limited.	39,697	65,103.08	Ordinary
29/11/2016	HSBC Custody Nominees (Australia) Limited.	6,731	11,173.46	Ordinary
29/11/2016	HSBC Custody Nominees (Australia) Limited.	24,758	40,850.70	Ordinary
30/11/2016	HSBC Custody Nominees (Australia) Limited.	18,669	30,990.54	Ordinary
30/11/2016	HSBC Custody Nominees (Australia) Limited.	25,207	41,843.62	Ordinary
1/12/2016	HSBC Custody Nominees (Australia) Limited.	31,283	52,242.61	Ordinary
2/12/2016	HSBC Custody Nominees (Australia) Limited.	12,873	21,497.91	Ordinary
5/12/2016	HSBC Custody Nominees (Australia) Limited.	15,016	24,626.24	Ordinary
5/12/2016	HSBC Custody Nominees (Australia) Limited.	5,810	9,702.70	Ordinary
6/12/2016	HSBC Custody Nominees (Australia) Limited.	9,993	16,588.38	Ordinary
8/12/2016	HSBC Custody Nominees (Australia) Limited.	57,422	101,062.72	Ordinary
8/12/2016	HSBC Custody Nominees (Australia) Limited.	33,491	58,944.16	Ordinary
8/12/2016	HSBC Custody Nominees (Australia) Limited.	9,972	17,650.44	Ordinary
9/12/2016	HSBC Custody Nominees (Australia) Limited.	16,081	28,141.75	Ordinary
19/12/2016	HSBC Custody Nominees (Australia) Limited.	50,894	88,555.56	Ordinary
20/12/2016	HSBC Custody Nominees (Australia) Limited.	138,632	237,060.72	Ordinary
10/01/2017	HSBC Custody Nominees (Australia) Limited.	5,393	9,168.10	Ordinary
13/01/2017	HSBC Custody Nominees (Australia) Limited.	80,202	136,343.40	Ordinary
16/01/2017	HSBC Custody Nominees (Australia) Limited.	21,688	36,652.72	Ordinary
17/01/2017	HSBC Custody Nominees (Australia) Limited.	98,941	168,199.70	Ordinary
18/01/2017	HSBC Custody Nominees (Australia) Limited.	91,306	155,220.20	Ordinary
19/01/2017	HSBC Custody Nominees (Australia) Limited.	37,318	63,440.60	Ordinary
2/02/2017	HSBC Custody Nominees (Australia) Limited.	92,169	121,663.08	Ordinary
2/02/2017	HSBC Custody Nominees (Australia) Limited.	29,036	38,327.52	Ordinary
3/02/2017	HSBC Custody Nominees (Australia) Limited.	39,068	56,257.92	Ordinary
3/02/2017	HSBC Custody Nominees (Australia) Limited.	26,733	38,495.52	Ordinary
7/02/2017	HSBC Custody Nominees (Australia) Limited.	27,701	38,504.39	Ordinary
7/02/2017	HSBC Custody Nominees (Australia) Limited.	128,390	178,462.10	Ordinary
8/02/2017	HSBC Custody Nominees (Australia) Limited.	67,831	94,285.09	Ordinary
	Total	1,347,253	2,149,255.18	

Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities
24/11/2016	State Street Australia Limited	Purchase	142,659	226,827.81	Ordinary
24/11/2016	State Street Australia Limited	Purchase	70,970	112,842.30	Ordinary
25/11/2016	State Street Australia Limited	Purchase	61,406	100,091.78	Ordinary
25/11/2016	State Street Australia Limited	Purchase	7,767	12,582.54	Ordinary
25/11/2016	State Street Australia Limited	Purchase	30,548	49,793.24	Ordinary
25/11/2016	State Street Australia Limited	Purchase	3,864	6,259.68	Ordinary
28/11/2016	State Street Australia Limited	Purchase	33	54.12	Ordinary
28/11/2016	State Street Australia Limited	Purchase	80,138	131,426.32	Ordinary
28/11/2016	State Street Australia Limited	Purchase	16	26.24	Ordinary
28/11/2016	State Street Australia Limited	Purchase	39,867	65,381.88	Ordinary
29/11/2016	State Street Australia Limited	Purchase	49,981	82,468.65	Ordinary
29/11/2016	State Street Australia Limited	Purchase	13,589	22,557.74	Ordinary
29/11/2016	State Street Australia Limited	Purchase	6,760	11,221.60	Ordinary
29/11/2016	State Street Australia Limited	Purchase	24,864	41,025.60	Ordinary
30/11/2016	State Street Australia Limited	Purchase	50,887	84,472.42	Ordinary
30/11/2016	State Street Australia Limited	Purchase	37,688	62,562.08	Ordinary
30/11/2016	State Street Australia Limited	Purchase	18,749	31,123.34	Ordinary
30/11/2016	State Street Australia Limited	Purchase	25,315	42,022.90	Ordinary

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1/12/2016	State Street Australia Limited	Purchase	63,153	105,465.51	Ordinary
1/12/2016	State Street Australia Limited	Purchase	31,417	52,466.39	Ordinary
2/12/2016	State Street Australia Limited	Purchase	25,987	43,398.29	Ordinary
2/12/2016	State Street Australia Limited	Purchase	12,928	21,589.76	Ordinary
5/12/2016	State Street Australia Limited	Purchase	30,314	49,714.96	Ordinary
5/12/2016	State Street Australia Limited	Purchase	11,728	19,585.76	Ordinary
5/12/2016	State Street Australia Limited	Purchase	5,834	9,742.78	Ordinary
5/12/2016	State Street Australia Limited	Purchase	15,081	24,732.84	Ordinary
6/12/2016	State Street Australia Limited	Purchase	20,174	33,488.84	Ordinary
6/12/2016	State Street Australia Limited	Purchase	10,036	16,659.76	Ordinary
8/12/2016	State Street Australia Limited	Purchase	68,832	121,144.32	Ordinary
8/12/2016	State Street Australia Limited	Purchase	20,495	36,276.15	Ordinary
8/12/2016	State Street Australia Limited	Purchase	118,015	207,706.40	Ordinary
8/12/2016	State Street Australia Limited	Purchase	58,707	103,324.32	Ordinary
8/12/2016	State Street Australia Limited	Purchase	10,195	18,045.15	Ordinary
8/12/2016	State Street Australia Limited	Purchase	34,241	60,264.16	Ordinary
9/12/2016	State Street Australia Limited	Purchase	32,612	57,071.00	Ordinary
9/12/2016	State Street Australia Limited	Purchase	16,224	28,392.00	Ordinary
19/12/2016	State Street Australia Limited	Purchase	103,217	179,597.58	Ordinary
19/12/2016	State Street Australia Limited	Purchase	51,347	89,343.78	Ordinary
20/12/2016	State Street Australia Limited	Purchase	282,451	482,991.21	Ordinary
20/12/2016	State Street Australia Limited	Purchase	140,509	240,270.39	Ordinary
10/01/2017	State Street Australia Limited	Purchase	18,088	30,749.60	Ordinary
10/01/2017	State Street Australia Limited	Purchase	9,006	15,310.20	Ordinary
13/01/2017	State Street Australia Limited	Purchase	165,465	281,290.50	Ordinary
13/01/2017	State Street Australia Limited	Purchase	82,317	139,938.90	Ordinary
16/01/2017	State Street Australia Limited	Purchase	44,744	75,617.36	Ordinary
16/01/2017	State Street Australia Limited	Purchase	22,260	37,619.40	Ordinary
17/01/2017	State Street Australia Limited	Purchase	204,127	347,015.90	Ordinary
17/01/2017	State Street Australia Limited	Purchase	101,550	172,635.00	Ordinary
18/01/2017	State Street Australia Limited	Purchase	188,374	320,235.80	Ordinary
18/01/2017	State Street Australia Limited	Purchase	93,713	159,312.10	Ordinary
19/01/2017	State Street Australia Limited	Purchase	76,990	130,883.00	Ordinary
19/01/2017	State Street Australia Limited	Purchase	38,302	65,113.40	Ordinary
2/02/2017	State Street Australia Limited	Purchase	60,006	79,207.92	Ordinary
2/02/2017	State Street Australia Limited	Purchase	190,471	251,421.72	Ordinary
2/02/2017	State Street Australia Limited	Purchase	94,765	125,089.80	Ordinary
2/02/2017	State Street Australia Limited	Purchase	29,855	39,408.60	Ordinary
3/02/2017	State Street Australia Limited	Purchase	80,297	115,627.68	Ordinary
3/02/2017	State Street Australia Limited	Purchase	54,944	79,119.36	Ordinary
3/02/2017	State Street Australia Limited	Purchase	39,950	57,528.00	Ordinary
3/02/2017	State Street Australia Limited	Purchase	27,336	39,363.84	Ordinary
7/02/2017	State Street Australia Limited	Purchase	56,844	79,013.16	Ordinary
7/02/2017	State Street Australia Limited	Purchase	263,469	366,221.91	Ordinary
7/02/2017	State Street Australia Limited	Purchase	131,181	182,341.59	Ordinary
7/02/2017	State Street Australia Limited	Purchase	28,302	39,339.78	Ordinary
8/02/2017	State Street Australia Limited	Purchase	139,166	193,440.74	Ordinary
8/02/2017	State Street Australia Limited	Purchase	69,241	96,244.99	Ordinary
	Total	Purchase	890,730	1,248,241.05	Ordinary

BT Investment Management (Institutional) Limited Total : 2,506,224 3,825,520.03