

# Form 605

Corporations Law  
Section 671B

## Notice of ceasing to be a substantial holder

To: Company Name/Scheme **Appen Limited**

ACN/ARSN **138 878 298**

### 1. Details of substantial holder (1)

Name **Bank of America Corporation and its related bodies corporate (See Appendix C)**

ACN/ARSN (if applicable):

The holder ceased to be a substantial shareholder on **2 March 2017**

The previous notice was given to the company on **8 September 2016**

The previous notice was dated **6 September 2016**

### 2. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (4)	Consideration given in relation to change (5)	Class (6) and Number of securities affected	Person's votes affected
See Appendix A					

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

#### 4. Addresses

The addresses of persons named in this form are as follows:

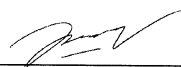
Name	Addresses
Bank of America Corporation	100 North Tryon Street, Charlotte, North Carolina, 28255, USA
Merrill Lynch (Australia) Futures Ltd	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch International (Australia) Ltd	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch (Australia) Nominees Pty Ltd	120 Collins Street, Level 19, Melbourne Victoria 3000, Australia
MLEQ Nominees Pty Ltd	120 Collins Street, Level 19, Melbourne Victoria 3000, Australia

#### SIGNATURE

Print Name: Kelvin Kwok

Capacity: Authorised signatory

Sign Here:



Date: 6 March 2017



## Appendix A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
20-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	550,829.41	Ordinary	166,668	166,668	-
25-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	353,005.66	Ordinary	126,727	126,727	-
21-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	187,788.58	Ordinary	(65,000)	(65,000)	-
7-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	14,394.06	Ordinary	(4,337)	(4,337)	-
8-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	33,567.48	Ordinary	9,680	9,680	-
8-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	24,998.48	Ordinary	(7,224)	(7,224)	-
9-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	5,210.51	Ordinary	1,529	1,529	-
12-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	1,920.83	Ordinary	583	583	-
12-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	24,293.37	Ordinary	(7,392)	(7,392)	-
14-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	10,317.13	Ordinary	(3,055)	(3,055)	-
15-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	5,837.48	Ordinary	1,734	1,734	-
15-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	10,971.22	Ordinary	(3,270)	(3,270)	-
15-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	121.10	Ordinary	(36)	(36)	-
16-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	24,008.00	Ordinary	6,900	6,900	-
19-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	2,622.00	Ordinary	(760)	(760)	-
19-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	2,582.75	Ordinary	747	747	-
20-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	15,247.83	Ordinary	4,496	4,496	-
20-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	13,241.52	Ordinary	(3,900)	(3,900)	-
21-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	19,967.91	Ordinary	6,084	6,084	-
22-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	2,520.17	Ordinary	(765)	(765)	-
22-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	19,284.25	Ordinary	5,918	5,918	-
23-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	31,108.54	Ordinary	9,545	9,545	-
23-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	3,810.18	Ordinary	1,179	1,179	-
23-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	10,609.29	Ordinary	(3,244)	(3,244)	-
26-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	7,135.58	Ordinary	(2,172)	(2,172)	-
27-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	3,819.97	Ordinary	(1,161)	(1,161)	-
27-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	34,497.86	Ordinary	(10,439)	(10,439)	-
28-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	13,889.56	Ordinary	(4,316)	(4,316)	-
28-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	8,543.80	Ordinary	2,640	2,640	-
28-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	11,545.92	Ordinary	(3,583)	(3,583)	-
29-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	11,839.86	Ordinary	3,669	3,669	-
30-Sep-16	Merrill Lynch (Australia) Futures Limited	Buy	3,957.36	Ordinary	1,229	1,229	-
30-Sep-16	Merrill Lynch (Australia) Futures Limited	Sell	17,711.25	Ordinary	(5,450)	(5,450)	-
3-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	16,455.34	Ordinary	(5,120)	(5,120)	-
4-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	6.64	Ordinary	2	2	-
4-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	9,333.80	Ordinary	2,790	2,790	-
5-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	9,719.37	Ordinary	2,967	2,967	-
5-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	12,236.76	Ordinary	(3,742)	(3,742)	-
6-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	9,635.59	Ordinary	(2,980)	(2,980)	-
6-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	14,329.66	Ordinary	4,429	4,429	-
7-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	6,896.24	Ordinary	(2,168)	(2,168)	-
7-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	22,612.39	Ordinary	7,092	7,092	-
10-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	6,461.23	Ordinary	2,084	2,084	-
10-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	37.32	Ordinary	(12)	(12)	-
11-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	1,232.00	Ordinary	400	400	-
11-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	6,737.45	Ordinary	(2,205)	(2,205)	-
11-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	15,094.41	Ordinary	4,929	4,929	-
12-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	6,650.00	Ordinary	(2,200)	(2,200)	-
12-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	6,732.49	Ordinary	(2,223)	(2,223)	-
12-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	19,412.05	Ordinary	6,432	6,432	-
13-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	3,508.44	Ordinary	(1,130)	(1,130)	-
13-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	658.60	Ordinary	212	212	-
14-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	4,204.62	Ordinary	(1,384)	(1,384)	-
14-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	159.12	Ordinary	(52)	(52)	-
14-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	249.46	Ordinary	82	82	-
17-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	22,121.94	Ordinary	(7,253)	(7,253)	-
17-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	2,071.10	Ordinary	682	682	-
17-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	1,430.38	Ordinary	(470)	(470)	-
18-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	1,297.06	Ordinary	430	430	-
18-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	9,030.00	Ordinary	(3,000)	(3,000)	-
18-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	7,155.95	Ordinary	2,366	2,366	-
18-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	3,180.71	Ordinary	(1,055)	(1,055)	-
19-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	842.80	Ordinary	280	280	-
19-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	2,674.58	Ordinary	889	889	-
20-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	14,253.50	Ordinary	4,753	4,753	-
20-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	4,583.50	Ordinary	(1,530)	(1,530)	-
20-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	2.99	Ordinary	1	1	-
21-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	29,167.30	Ordinary	(9,709)	(9,709)	-
24-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	17,996.26	Ordinary	(5,980)	(5,980)	-
24-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	5,596.00	Ordinary	(1,874)	(1,874)	-
24-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	1,760.02	Ordinary	583	583	-
25-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	14,811.68	Ordinary	(4,912)	(4,912)	-
26-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	1,903.93	Ordinary	632	632	-
26-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	1,153.16	Ordinary	(384)	(384)	-
27-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	5,120.40	Ordinary	1,709	1,709	-
28-Oct-16	Merrill Lynch (Australia) Futures Limited	Sell	10,371.90	Ordinary	(3,458)	(3,458)	-
28-Oct-16	Merrill Lynch (Australia) Futures Limited	Buy	2,177.25	Ordinary	727	727	-
1-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	2.98	Ordinary	(1)	(1)	-
1-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	17,549.00	Ordinary	(5,900)	(5,900)	-
2-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	33,614.76	Ordinary	(12,317)	(12,317)	-
3-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	6,506.42	Ordinary	2,319	2,319	-
3-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	9,870.92	Ordinary	(3,507)	(3,507)	-
4-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	2.74	Ordinary	1	1	-
4-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	6,699.00	Ordinary	(2,500)	(2,500)	-
7-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	18,435.68	Ordinary	6,598	6,598	-
7-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	16,194.00	Ordinary	(5,800)	(5,800)	-
8-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	9,648.38	Ordinary	3,202	3,202	-
8-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	819.26	Ordinary	(274)	(274)	-
8-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	10,859.51	Ordinary	3,638	3,638	-
9-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	7,633.39	Ordinary	(2,700)	(2,700)	-
9-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	8,158.00	Ordinary	3,000	3,000	-
9-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	6,582.11	Ordinary	(2,389)	(2,389)	-
9-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	10,575.33	Ordinary	3,834	3,834	-
10-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	2,507.80	Ordinary	(860)	(860)	-
10-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	930.00	Ordinary	310	310	-
10-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	11,761.25	Ordinary	3,923	3,923	-
10-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	15,366.40	Ordinary	(5,148)	(5,148)	-
11-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	12,409.59	Ordinary	(4,238)	(4,238)	-
11-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	3,816.88	Ordinary	(1,304)	(1,304)	-
11-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	908.30	Ordinary	310	310	-
11-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	2,590.33	Ordinary	(885)	(885)	-
11-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	11,454.09	Ordinary	3,912	3,912	-
14-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	11,970.82	Ordinary	4,062	4,062	-
14-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	6,136.65	Ordinary	(2,070)	(2,070)	-
14-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	5,585.88	Ordinary	(1,895)	(1,895)	-
14-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	1,724.40	Ordinary	(587)	(587)	-

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
15-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	11,977.98	Ordinary	4,088	4,088	-
15-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	1,149.45	Ordinary	395	395	-
15-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	4,780.95	Ordinary	(1,625)	(1,625)	-
15-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	28,745.06	Ordinary	(9,800)	(9,800)	-
16-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	11,683.50	Ordinary	4,003	4,003	-
16-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	15,959.23	Ordinary	(5,444)	(5,444)	-
16-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	5,337.95	Ordinary	(1,830)	(1,830)	-
17-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	12,063.87	Ordinary	4,191	4,191	-
17-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	9,552.02	Ordinary	(3,318)	(3,318)	-
18-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	1,754.03	Ordinary	(605)	(605)	-
18-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	12,095.08	Ordinary	4,165	4,165	-
18-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	8,434.23	Ordinary	(2,903)	(2,903)	-
21-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	12,172.88	Ordinary	4,247	4,247	-
21-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	11,009.18	Ordinary	3,838	3,838	-
21-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	10,830.08	Ordinary	(3,772)	(3,772)	-
21-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	2,751.28	Ordinary	(952)	(952)	-
22-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	12,260.80	Ordinary	4,256	4,256	-
22-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	11,306.52	Ordinary	3,934	3,934	-
22-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	7,277.16	Ordinary	(2,532)	(2,532)	-
22-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	1,192.16	Ordinary	(416)	(416)	-
23-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	12,584.76	Ordinary	4,363	4,363	-
23-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	15,496.11	Ordinary	(5,405)	(5,405)	-
23-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	4,091.17	Ordinary	1,426	1,426	-
23-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	6,740.65	Ordinary	(2,357)	(2,357)	-
24-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	4,844.62	Ordinary	(1,717)	(1,717)	-
24-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	7,075.72	Ordinary	(2,504)	(2,504)	-
25-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	5,090.32	Ordinary	(1,819)	(1,819)	-
25-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	146.12	Ordinary	52	52	-
25-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	3,858.00	Ordinary	(1,380)	(1,380)	-
25-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	299.95	Ordinary	107	107	-
28-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	2,466.00	Ordinary	900	900	-
28-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	5,988.00	Ordinary	(2,200)	(2,200)	-
28-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	4,586.09	Ordinary	(1,676)	(1,676)	-
28-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	2,422.18	Ordinary	885	885	-
29-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	9,913.31	Ordinary	3,730	3,730	-
29-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	452.20	Ordinary	170	170	-
29-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	583.44	Ordinary	(221)	(221)	-
30-Nov-16	Merrill Lynch (Australia) Futures Limited	Sell	20,960.08	Ordinary	(8,252)	(8,252)	-
30-Nov-16	Merrill Lynch (Australia) Futures Limited	Buy	24,286.53	Ordinary	9,548	9,548	-
1-Dec-16	Merrill Lynch (Australia) Futures Limited	Buy	20,754.98	Ordinary	8,141	8,141	-
2-Dec-16	Merrill Lynch (Australia) Futures Limited	Buy	637.00	Ordinary	260	260	-
2-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	23,215.56	Ordinary	(9,253)	(9,253)	-
2-Dec-16	Merrill Lynch (Australia) Futures Limited	Buy	18,385.18	Ordinary	7,340	7,340	-
5-Dec-16	Merrill Lynch (Australia) Futures Limited	Buy	3,260.89	Ordinary	1,315	1,315	-
5-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	543.68	Ordinary	(220)	(220)	-
6-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	2,338.91	Ordinary	(940)	(940)	-
6-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	17,775.19	Ordinary	(7,160)	(7,160)	-
8-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	15,045.49	Ordinary	(5,793)	(5,793)	-
9-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	2.73	Ordinary	(1)	(1)	-
9-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	13,330.49	Ordinary	(4,919)	(4,919)	-
12-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	13,187.73	Ordinary	(4,747)	(4,747)	-
12-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	24,800.63	Ordinary	(8,942)	(8,942)	-
13-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	1,064.43	Ordinary	(403)	(403)	-
13-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	15,977.59	Ordinary	(6,006)	(6,006)	-
13-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	12,126.08	Ordinary	(4,547)	(4,547)	-
14-Dec-16	Merrill Lynch (Australia) Futures Limited	Buy	440.88	Ordinary	167	167	-
14-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	3,724.00	Ordinary	(1,400)	(1,400)	-
14-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	12,901.32	Ordinary	(4,850)	(4,850)	-
15-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	1,398.08	Ordinary	(514)	(514)	-
16-Dec-16	Merrill Lynch (Australia) Futures Limited	Buy	2,945.10	Ordinary	1,065	1,065	-
16-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	9,132.54	Ordinary	(3,361)	(3,361)	-
16-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	2.75	Ordinary	(1)	(1)	-
19-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	2,714.20	Ordinary	(1,005)	(1,005)	-
20-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	5,952.71	Ordinary	(2,220)	(2,220)	-
20-Dec-16	Merrill Lynch (Australia) Futures Limited	Buy	4,827.01	Ordinary	1,797	1,797	-
21-Dec-16	Merrill Lynch (Australia) Futures Limited	Buy	13,895.50	Ordinary	5,123	5,123	-
21-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	6,694.42	Ordinary	(2,467)	(2,467)	-
21-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	1,548.60	Ordinary	(568)	(568)	-
22-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	8,300.48	Ordinary	(3,023)	(3,023)	-
22-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	6,722.77	Ordinary	(2,445)	(2,445)	-
23-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	6,577.98	Ordinary	(2,375)	(2,375)	-
28-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	7,833.82	Ordinary	(2,856)	(2,856)	-
28-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	4,789.26	Ordinary	(1,743)	(1,743)	-
28-Dec-16	Merrill Lynch (Australia) Futures Limited	Buy	4,409.06	Ordinary	1,609	1,609	-
29-Dec-16	Merrill Lynch (Australia) Futures Limited	Sell	3,983.57	Ordinary	(1,430)	(1,430)	-
3-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	1,559.28	Ordinary	(534)	(534)	-
3-Jan-17	Merrill Lynch (Australia) Futures Limited	Buy	589.11	Ordinary	205	205	-
4-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	1,668.00	Ordinary	(556)	(556)	-
4-Jan-17	Merrill Lynch (Australia) Futures Limited	Buy	4,384.20	Ordinary	1,460	1,460	-
4-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	2,080.80	Ordinary	(680)	(680)	-
6-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	2,352.00	Ordinary	(800)	(800)	-
9-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	7,815.79	Ordinary	(2,660)	(2,660)	-
9-Jan-17	Merrill Lynch (Australia) Futures Limited	Buy	14,117.10	Ordinary	4,813	4,813	-
10-Jan-17	Merrill Lynch (Australia) Futures Limited	Buy	467.20	Ordinary	160	160	-
10-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	3,567.24	Ordinary	(1,209)	(1,209)	-
10-Jan-17	Merrill Lynch (Australia) Futures Limited	Buy	1,309.80	Ordinary	444	444	-
12-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	5,998.46	Ordinary	(2,005)	(2,005)	-
13-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	15,476.82	Ordinary	(5,225)	(5,225)	-
16-Jan-17	Merrill Lynch (Australia) Futures Limited	Buy	1,879.41	Ordinary	640	640	-
17-Jan-17	Merrill Lynch (Australia) Futures Limited	Buy	613.20	Ordinary	210	210	-
17-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	2,051.00	Ordinary	(700)	(700)	-
18-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	3,870.30	Ordinary	(1,330)	(1,330)	-
19-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	2.91	Ordinary	(1)	(1)	-
20-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	553.86	Ordinary	(189)	(189)	-
23-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	582.62	Ordinary	(200)	(200)	-
23-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	1,475.88	Ordinary	(502)	(502)	-
24-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	4,588.35	Ordinary	(1,582)	(1,582)	-
24-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	948.23	Ordinary	(326)	(326)	-
24-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	177.51	Ordinary	(61)	(61)	-
25-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	3,416.40	Ordinary	(1,170)	(1,170)	-
25-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	6,986.55	Ordinary	(2,391)	(2,391)	-
27-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	6,527.90	Ordinary	(2,270)	(2,270)	-
30-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	11,215.53	Ordinary	(3,912)	(3,912)	-
30-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	5,034.53	Ordinary	(1,756)	(1,756)	-
31-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	12,864.81	Ordinary	(4,560)	(4,560)	-
31-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	7,193.26	Ordinary	(2,550)	(2,550)	-
31-Jan-17	Merrill Lynch (Australia) Futures Limited	Sell	200.20	Ordinary	(70)	(70)	-
1-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	11,577.60	Ordinary	(4,130)	(4,130)	-

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
1-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	1,723.87	Ordinary	(613)	(613)	-
1-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	5,861.47	Ordinary	(2,080)	(2,080)	-
2-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	1,907.93	Ordinary	(681)	(681)	-
2-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	5,548.89	Ordinary	(1,976)	(1,976)	-
2-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	30,423.95	Ordinary	(10,864)	(10,864)	-
3-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	27,132.65	Ordinary	(9,775)	(9,775)	-
3-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	5,397.32	Ordinary	(1,941)	(1,941)	-
3-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	1,049.04	Ordinary	(376)	(376)	-
6-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	5,349.38	Ordinary	(1,937)	(1,937)	-
6-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	30,638.82	Ordinary	(11,109)	(11,109)	-
6-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	3,974.40	Ordinary	(1,440)	(1,440)	-
7-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	5,236.85	Ordinary	(1,901)	(1,901)	-
7-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	70,349.15	Ordinary	(25,841)	(25,841)	-
7-Feb-17	Merrill Lynch (Australia) Futures Limited	Buy	6,764.17	Ordinary	2,510	2,510	-
7-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	775.60	Ordinary	(280)	(280)	-
8-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	12,270.97	Ordinary	(4,432)	(4,432)	-
8-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	5,392.97	Ordinary	(1,920)	(1,920)	-
9-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	5,370.27	Ordinary	(1,898)	(1,898)	-
9-Feb-17	Merrill Lynch (Australia) Futures Limited	Buy	2,212.00	Ordinary	790	790	-
9-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	1,086.80	Ordinary	(380)	(380)	-
10-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	5,266.96	Ordinary	(1,890)	(1,890)	-
10-Feb-17	Merrill Lynch (Australia) Futures Limited	Buy	2,370.50	Ordinary	850	850	-
13-Feb-17	Merrill Lynch (Australia) Futures Limited	Buy	6,015.30	Ordinary	2,078	2,078	-
13-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	2,094.30	Ordinary	(727)	(727)	-
14-Feb-17	Merrill Lynch (Australia) Futures Limited	Buy	14,822.38	Ordinary	5,042	5,042	-
14-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	16,165.02	Ordinary	(5,525)	(5,525)	-
14-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	5,640.23	Ordinary	(1,931)	(1,931)	-
15-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	7,616.98	Ordinary	(2,608)	(2,608)	-
16-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	785.70	Ordinary	(270)	(270)	-
17-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	1,472.08	Ordinary	(503)	(503)	-
17-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	2,238.52	Ordinary	(764)	(764)	-
22-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	4,631.45	Ordinary	(1,600)	(1,600)	-
22-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	675.06	Ordinary	(233)	(233)	-
23-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	460.70	Ordinary	(163)	(163)	-
24-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	995.31	Ordinary	(355)	(355)	-
27-Feb-17	Merrill Lynch (Australia) Futures Limited	Sell	462.56	Ordinary	(165)	(165)	-
28-Feb-17	Merrill Lynch (Australia) Futures Limited	Buy	1,450.00	Ordinary	530	530	-
1-Mar-17	Merrill Lynch (Australia) Futures Limited	Sell	7,180.80	Ordinary	(2,805)	(2,805)	-
1-Mar-17	Merrill Lynch (Australia) Futures Limited	Sell	61.99	Ordinary	(24)	(24)	-
2-Mar-17	Merrill Lynch (Australia) Futures Limited	Sell	9,894.20	Ordinary	(3,740)	(3,740)	-
8-Sep-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(423,864)	(423,864)	Refer to App. B-1 to B-5
9-Sep-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(644)	(644)	Refer to App. B-1 to B-5
15-Nov-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(19,617)	(19,617)	Refer to App. B-1 to B-5
16-Nov-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(2,883)	(2,883)	Refer to App. B-1 to B-5
17-Nov-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(787)	(787)	Refer to App. B-1 to B-5
18-Nov-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(2)	(2)	Refer to App. B-1 to B-5
18-Nov-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(6,344)	(6,344)	Refer to App. B-1 to B-5
21-Nov-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(716)	(716)	Refer to App. B-1 to B-5
29-Nov-16	Merrill Lynch International (Australia) Ltd	Securities borrowed	N/A	Ordinary	351,639	351,639	Refer to App. B-1 to B-5
30-Nov-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(2,037)	(2,037)	Refer to App. B-1 to B-5
2-Dec-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(13,745)	(13,745)	Refer to App. B-1 to B-5
5-Dec-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(11,993)	(11,993)	Refer to App. B-1 to B-5
20-Dec-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(78,554)	(78,554)	Refer to App. B-1 to B-5
21-Dec-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(129)	(129)	Refer to App. B-1 to B-5
22-Dec-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(1,055)	(1,055)	Refer to App. B-1 to B-5
23-Dec-16	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(47)	(47)	Refer to App. B-1 to B-5
3-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(2,683)	(2,683)	Refer to App. B-1 to B-5
6-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(7,002)	(7,002)	Refer to App. B-1 to B-5
9-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(5,473)	(5,473)	Refer to App. B-1 to B-5
10-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(2,215)	(2,215)	Refer to App. B-1 to B-5
11-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(2,413)	(2,413)	Refer to App. B-1 to B-5
13-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(128)	(128)	Refer to App. B-1 to B-5
16-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(443)	(443)	Refer to App. B-1 to B-5
17-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(3)	(3)	Refer to App. B-1 to B-5
17-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(438)	(438)	Refer to App. B-1 to B-5
18-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(742)	(742)	Refer to App. B-1 to B-5
20-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(573)	(573)	Refer to App. B-1 to B-5
23-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(20,438)	(20,438)	Refer to App. B-1 to B-5
24-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(3,457)	(3,457)	Refer to App. B-1 to B-5
25-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(674)	(674)	Refer to App. B-1 to B-5
27-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(25,000)	(25,000)	Refer to App. B-1 to B-5
27-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(429)	(429)	Refer to App. B-1 to B-5
31-Jan-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(704)	(704)	Refer to App. B-1 to B-5
13-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(37,682)	(37,682)	Refer to App. B-1 to B-5
14-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(8,558)	(8,558)	Refer to App. B-1 to B-5
15-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(1,354)	(1,354)	Refer to App. B-1 to B-5
15-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(685)	(685)	Refer to App. B-1 to B-5
17-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(69)	(69)	Refer to App. B-1 to B-5
20-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(91)	(91)	Refer to App. B-1 to B-5
21-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(397)	(397)	Refer to App. B-1 to B-5
22-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(397)	(397)	Refer to App. B-1 to B-5
27-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(96,556)	(96,556)	Refer to App. B-1 to B-5
28-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(25,475)	(25,475)	Refer to App. B-1 to B-5
28-Feb-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(6,868)	(6,868)	Refer to App. B-1 to B-5
2-Mar-17	Merrill Lynch International (Australia) Ltd	Securities returned	N/A	Ordinary	(3,098,198)	(3,098,198)	Refer to App. B-1 to B-5

## Appendix B-1: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Regal Funds Management Pty Limited as Trustee of Tasman Market Neutral Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-2: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Regal Funds Management Pty Limited as Trustee of Atlantic Absolute Return Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

### Appendix B-3: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Regal Funds Management Pty Limited as Trustee of Regal Australian Long Short Equity Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A



## Appendix B-4: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Susquehanna Pacific Pty Ltd
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-5: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Totus Alpha Management Pty Ltd as Trustee of Totus Alpha Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix C

<b>Related bodies corporate</b>	<b>Incorporated</b>	<b>ACN/Registration/Incorporation Number</b>
Merrill Lynch (Australia) Futures Limited	Australia	003639674
Merrill Lynch International (Australia) Limited	Australia	002892846
Merrill Lynch Markets (Australia) Pty. Limited	Australia	075587816
Merrill Lynch (Australasia) Pty. Limited	Australia	075923894
Merrill Lynch International Incorporated	United States	0825826
NB Holdings Corporation	United States	2361372
Bank of America Corporation	United States	N/A628