

## FAX COVER SHEET

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**TO**

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**COMPANY**

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**FAXNUMBER** 61297780999

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**FROM** GLOBALXMANAGEMENT

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**DATE** 2017-10-27 17:07:49 GMT

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**RE** RE: Substantial Shareholding for Peninsula Energy

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### COVER MESSAGE

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Attention:

**Australian Stock Exchange (ASX)/ The Manager, Company Announcements Office.**



**Francis Fletcher** | Sr. Portfolio Administrator  
600 Lexington Ave, 20th floor | New York, NY 10022  
646-545-2202 | [globalxfunds.com](http://globalxfunds.com)



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**Form 604**

Corporations Law  
Section 671B

**Notice of change of interests of substantial holder**

To: Company Name/Scheme **Peninsula Energy Ltd**

ACN/ARSN **ISIN AU000000PEN6**

**1. Details of substantial holder(s)**

Name **Global X Management Company**

ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on **08/24/17**

The previous notice was given to the company on **05/25/17**

The previous notice was dated **06/01/17**

**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	17,444,364	7.62%	20,020,381	8.64%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See attached					

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
GXMG	Global X Uranium ETF	Global X Uranium ETF	n/a	20,020,381	20,020,381
				Common Stock	

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

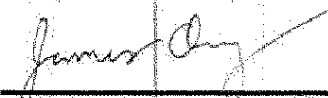
Name and ACN/ARSN (if applicable)	Nature of association
Global X Management Co	Advisor to the fund
Global X Uranium ETF	Exchange traded fund

**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Global X Management Co	600 Lexington Ave, 20th floor, New York, NY, 10022
Global X Uranium ETF	1 Freedom Valley Drive, Oaks, PA 19456, USA

**Signature**

print name	James Ong	capacity	Portfolio Manager
sign here		date	10 / 27 / 2017

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Law.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Law.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Law.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

Acct Name	Acct No	Type	ISIN	Security Desc	Location	Trade Date	Settle Date
GLOBAL X URANIUM ETF	6247431	REC	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/07/17	07/11/17
GLOBAL X URANIUM ETF	6247431	REC	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/17/17	07/19/17
GLOBAL X URANIUM ETF	6247431	REC	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/18/17	07/20/17
GLOBAL X URANIUM ETF	6247431	REC	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/18/17	07/20/17
GLOBAL X URANIUM ETF	6247431	REC	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/19/17	07/21/17
GLOBAL X URANIUM ETF	6247431	REC	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/21/17	07/25/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/26/17	07/28/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/27/17	07/31/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/28/17	08/01/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	07/31/17	08/02/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/01/17	08/03/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/02/17	08/04/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/03/17	08/07/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/04/17	08/08/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/07/17	08/09/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/08/17	08/10/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/09/17	08/11/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/10/17	08/14/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/11/17	08/15/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/14/17	08/16/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/15/17	08/17/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/16/17	08/18/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/17/17	08/21/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/18/17	08/22/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/21/17	08/23/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/22/17	08/24/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/23/17	08/25/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/24/17	08/28/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/25/17	08/29/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/28/17	08/30/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/29/17	08/31/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/30/17	09/01/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	08/31/17	09/04/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	09/01/17	09/05/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	09/05/17	09/07/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	09/06/17	09/08/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	09/07/17	09/11/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	09/08/17	09/12/17

Instruction Date	Units	Unit Price	Curr	Amount	Trans Status	Cleared Date
07/07/17 06:33 PM	46400.0000	0.000000	AUD	0.00	ST	07/11/17
07/17/17 06:50 PM	278400.0000	0.000000	AUD	0.00	ST	07/19/17
07/18/17 06:27 PM	92800.0000	0.000000	AUD	0.00	ST	07/20/17
07/18/17 06:27 PM	92800.0000	0.000000	AUD	0.00	ST	07/20/17
07/19/17 06:30 PM	92800.0000	0.000000	AUD	0.00	ST	07/21/17
07/21/17 06:20 PM	92800.0000	0.000000	AUD	0.00	ST	07/25/17
07/26/17 01:32 PM	20765.0000	0.359600	AUD	7470.82	ST	07/28/17
07/27/17 01:38 PM	9616.0000	0.347400	AUD	3342.27	ST	07/31/17
07/28/17 01:46 PM	12676.0000	0.344800	AUD	4372.87	ST	08/01/17
07/31/17 02:03 PM	16508.0000	0.340500	AUD	5623.78	ST	08/02/17
08/01/17 01:53 PM	27374.0000	0.344400	AUD	9432.32	ST	08/03/17
08/02/17 01:17 PM	5270.0000	0.345000	AUD	1819.06	ST	08/04/17
08/03/17 01:12 PM	36843.0000	0.354100	AUD	13052.63	ST	08/07/17
08/04/17 01:32 PM	73448.0000	0.372700	AUD	27387.76	ST	08/08/17
08/07/17 01:12 PM	26824.0000	0.361300	AUD	9696.36	ST	08/09/17
08/08/17 01:50 PM	20938.0000	0.365400	AUD	7654.58	ST	08/10/17
08/09/17 01:38 PM	42546.0000	0.354800	AUD	15102.87	ST	08/11/17
08/10/17 01:36 PM	34800.0000	0.355000	AUD	12360.18	ST	08/14/17
08/11/17 12:53 PM	29327.0000	0.359200	AUD	10539.53	ST	08/15/17
08/14/17 01:00 PM	27817.0000	0.369300	AUD	10277.96	ST	08/16/17
08/15/17 01:31 PM	37292.0000	0.369600	AUD	13790.01	ST	08/17/17
08/16/17 12:54 PM	74158.0000	0.403900	AUD	29967.40	ST	08/18/17
08/17/17 01:18 PM	131275.0000	0.418900	AUD	55018.60	ST	08/21/17
08/18/17 12:37 PM	30640.0000	0.413300	AUD	12669.84	ST	08/22/17
08/21/17 01:45 PM	68423.0000	0.408600	AUD	27971.62	ST	08/23/17
08/22/17 01:51 PM	7447.0000	0.381400	AUD	2841.71	ST	08/24/17
08/23/17 02:21 PM	4401.0000	0.383500	AUD	1688.62	ST	08/25/17
08/24/17 02:37 PM	4678.0000	0.378300	AUD	1770.57	ST	08/28/17
08/25/17 01:54 PM	10289.0000	0.374200	AUD	3852.07	ST	08/29/17
08/28/17 12:40 PM	5976.0000	0.372800	AUD	2228.96	ST	08/30/17
08/29/17 01:03 PM	3557.0000	0.378900	AUD	1348.42	ST	08/31/17
08/30/17 01:25 PM	25935.0000	0.401000	AUD	10405.14	ST	09/01/17
08/31/17 01:43 PM	33997.0000	0.372500	AUD	12670.21	ST	09/04/17
09/01/17 01:00 PM	20167.0000	0.371300	AUD	7491.75	ST	09/05/17
09/05/17 03:14 PM	9093.0000	0.372800	AUD	3391.56	ST	09/07/17
09/06/17 01:21 PM	6571.0000	0.370900	AUD	2438.40	ST	09/08/17
09/07/17 01:03 PM	5301.0000	0.365000	AUD	1935.84	ST	09/11/17
09/08/17 01:16 PM	2698.0000	0.353400	AUD	953.95	ST	09/12/17

GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	09/11/17	09/13/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	09/12/17	09/14/17
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GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	09/26/17	09/28/17
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GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/03/17	10/05/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/04/17	10/06/17
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GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/16/17	10/18/17
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GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/18/17	10/20/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/19/17	10/23/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/20/17	10/24/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/23/17	10/25/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/24/17	10/26/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/25/17	10/27/17
GLOBAL X URANIUM ETF	6247431	RVP	AU000000PEN6	PENINSULA ENERGY LTD /AUD/	AU	10/26/17	10/30/17

09/11/17 01:30 PM	17606.0000	0.354300 AUD	6240.93 ST	09/13/17
09/12/17 01:16 PM	15177.0000	0.353900 AUD	5373.83 ST	09/14/17
09/13/17 02:05 PM	3488.0000	0.350000 AUD	1221.41 ST	09/15/17
09/14/17 12:45 PM	14240.0000	0.350900 AUD	4999.32 ST	09/18/17
09/15/17 01:43 PM	1444.0000	0.372400 AUD	538.02 ST	09/19/17
09/18/17 02:13 PM	20409.0000	0.369800 AUD	7551.02 ST	09/20/17
09/19/17 01:38 PM	4582.0000	0.359800 AUD	1649.42 ST	09/21/17
09/20/17 01:15 PM	6498.0000	0.359000 AUD	2333.95 ST	09/22/17
09/21/17 01:11 PM	1492.0000	0.345900 AUD	516.34 ST	09/25/17
09/22/17 01:25 PM	19606.0000	0.343800 AUD	6743.91 ST	09/26/17
09/25/17 01:09 PM	31420.0000	0.337800 AUD	10618.99 ST	09/27/17
09/26/17 01:21 PM	3223.0000	0.335800 AUD	1082.82 ST	09/28/17
09/27/17 01:20 PM	14235.0000	0.338500 AUD	4820.96 ST	09/29/17
09/28/17 01:06 PM	11293.0000	0.346000 AUD	3909.33 ST	10/02/17
09/29/17 01:36 PM	6731.0000	0.356500 AUD	2400.80 ST	10/03/17
10/02/17 02:24 PM	240.0000	0.360000 AUD	86.44 ST	10/04/17
10/03/17 01:28 PM	2511.0000	0.359500 AUD	903.15 ST	10/05/17
10/04/17 01:54 PM	34866.0000	0.343600 AUD	11985.95 ST	10/06/17
10/05/17 12:52 PM	101365.0000	0.330200 AUD	33487.46 ST	10/09/17
10/06/17 01:01 PM	20365.0000	0.328900 AUD	6701.40 ST	10/10/17
10/09/17 12:56 PM	24883.0000	0.330000 AUD	8215.50 ST	10/11/17
10/10/17 02:01 PM	10411.0000	0.320500 AUD	3338.40 ST	10/12/17
10/11/17 12:56 PM	3151.0000	0.325200 AUD	1025.22 ST	10/13/17
10/12/17 12:35 PM	3932.0000	0.320200 AUD	1259.66 ST	10/16/17
10/13/17 04:58 PM	26982.0000	0.320000 AUD	8638.56 ST	10/17/17
10/16/17 12:48 PM	13207.0000	0.315000 AUD	4162.29 ST	10/18/17
10/17/17 01:13 PM	155304.0000	0.310800 AUD	48292.61 ST	10/19/17
10/18/17 01:00 PM	57614.0000	0.309300 AUD	17828.92 ST	10/20/17
10/19/17 12:54 PM	51100.0000	0.304200 AUD	15552.39 ST	10/23/17
10/20/17 12:44 PM	99458.0000	0.303100 AUD	30160.79 ST	10/24/17
10/23/17 01:16 PM	1411.0000	0.310000 AUD	437.63 ST	10/25/17
10/24/17 02:04 PM	27039.0000	0.310000 AUD	8386.28 ST	10/26/17
10/25/17 01:47 PM	11744.0000	0.310100 AUD	3643.63 ST	10/27/17
10/26/17 12:58 PM	44804.0000	0.308800 AUD	13842.40 PD	