

Form 603

Corporations Law
Section 671B

Notice of initial substantial holder

To: Company Name/Scheme APPEN LIMITED

ACN/ARSN 138 878 298

1. Details of substantial holder (1)

Name Bank of America Corporation and its related bodies corporate (See Appendix C)

ACN/ARSN (if applicable) _____

The holder became a substantial holder on: 9 November 2017

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Ordinary	5,187,097	5,187,097	5.30%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Merrill Lynch (Australia) Futures Ltd	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 2,888,237
Merrill Lynch International (Australia) Ltd	Borrowing of securities pursuant to a International Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 1,688,296
Merrill Lynch International (Australia) Ltd	Borrowing of securities pursuant to a Securities Lending Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 28,301
Merrill Lynch International	Borrowing of securities pursuant to a International Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities	Ordinary 520,446
Merrill Lynch International	Borrowing of securities pursuant to a Securities Lending Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 61,817

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Merrill Lynch (Australia) Futures Ltd	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch (Australia) Futures Ltd	Ordinary 2,888,237
Merrill Lynch International (Australia) Ltd	MLEQ Nominees Pty Ltd	Merrill Lynch International (Australia) Ltd	Ordinary 1,716,597
Merrill Lynch International	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International	Ordinary 582,263

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
Please see Annexure A.			

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
Merrill Lynch (Australia) Futures Ltd	Related body corporate
Merrill Lynch International (Australia) Ltd	Related body corporate
Merrill Lynch International	Related body corporate

7. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
Merrill Lynch (Australia) Futures Ltd	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch International (Australia) Ltd	Level 34, Governor Phillip Tower 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch (Australia) Nominees Pty Ltd	120 Collins Street, Level 19 Melbourne Victoria 3000, Australia
MLEQ Nominees Pty Ltd	120 Collins Street, Level 19 Melbourne Victoria 3000, Australia
Merrill Lynch International	2 King Edward Street, London, England EC1A 1HQ, United Kingdom

SIGNATURE

Print Name: Kelvin Kwok

Capacity: Authorised signatory

Sign Here:



Date: 13 November 2017

Appendix A

Date of change	Person whose relevant interest changed	Nature of change	Consideration	Class of securities	Securities affected	Person's votes affected	Remark
7/10/2017	Merrill Lynch (Australia) Futures Ltd	Buy	5,712.94	Ordinary	1,400	1,400	NA
7/10/2017	Merrill Lynch (Australia) Futures Ltd	Buy	977.71	Ordinary	242	242	NA
7/11/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(1,068.60)	Ordinary	(260)	(260)	NA
7/11/2017	Merrill Lynch (Australia) Futures Ltd	Buy	1,129.95	Ordinary	279	279	NA
7/11/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,369.35	Ordinary	809	809	NA
7/11/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(2,161.96)	Ordinary	(521)	(521)	NA
7/12/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,714.25)	Ordinary	(895)	(895)	NA
7/12/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,688.59	Ordinary	895	895	NA
7/12/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,942.12	Ordinary	1,684	1,684	NA
7/13/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,071.00)	Ordinary	(740)	(740)	NA
7/13/2017	Merrill Lynch (Australia) Futures Ltd	Buy	12,310.04	Ordinary	2,966	2,966	NA
7/13/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,075.21	Ordinary	740	740	NA
7/14/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,779.20	Ordinary	1,870	1,870	NA
7/14/2017	Merrill Lynch (Australia) Futures Ltd	Buy	24,252.60	Ordinary	5,844	5,844	NA
7/17/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,742.70	Ordinary	1,843	1,843	NA
7/17/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(20,950.00)	Ordinary	(5,000)	(5,000)	NA
7/17/2017	Merrill Lynch (Australia) Futures Ltd	Buy	13,694.97	Ordinary	3,247	3,247	NA
7/18/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,836.18	Ordinary	1,639	1,639	NA
7/18/2017	Merrill Lynch (Australia) Futures Ltd	Buy	14,021.95	Ordinary	3,380	3,380	NA
7/18/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(13,368.60)	Ordinary	(3,183)	(3,183)	NA
7/18/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,514.66	Ordinary	1,083	1,083	NA
7/19/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,293.84	Ordinary	785	785	NA
7/19/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,980.20	Ordinary	710	710	NA
7/19/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(9,094.04)	Ordinary	(2,171)	(2,171)	NA
7/19/2017	Merrill Lynch (Australia) Futures Ltd	Buy	752.40	Ordinary	180	180	NA
7/20/2017	Merrill Lynch (Australia) Futures Ltd	Buy	230.52	Ordinary	55	55	NA
7/20/2017	Merrill Lynch (Australia) Futures Ltd	Buy	68,271.16	Ordinary	16,178	16,178	NA
7/20/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(67,718.94)	Ordinary	(16,178)	(16,178)	NA
7/20/2017	Merrill Lynch (Australia) Futures Ltd	Buy	13,972.13	Ordinary	3,327	3,327	NA
7/21/2017	Merrill Lynch (Australia) Futures Ltd	Buy	562.25	Ordinary	134	134	NA
7/24/2017	Merrill Lynch (Australia) Futures Ltd	Buy	331.20	Ordinary	77	77	NA
7/24/2017	Merrill Lynch (Australia) Futures Ltd	Buy	55,642.47	Ordinary	12,762	12,762	NA
7/25/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(7,640.49)	Ordinary	(1,781)	(1,781)	NA
7/26/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,178.39	Ordinary	738	738	NA
7/26/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,463.94	Ordinary	803	803	NA
7/26/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,485.02)	Ordinary	(803)	(803)	NA
7/27/2017	Merrill Lynch (Australia) Futures Ltd	Buy	11,971.30	Ordinary	2,790	2,790	NA
7/27/2017	Merrill Lynch (Australia) Futures Ltd	Buy	1,820.42	Ordinary	424	424	NA
7/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	5,257.30	Ordinary	1,226	1,226	NA
7/28/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(13,291.63)	Ordinary	(3,101)	(3,101)	NA
7/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	35,431.76	Ordinary	8,290	8,290	NA
7/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	652.03	Ordinary	156	156	NA
7/31/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(22,573.91)	Ordinary	(5,320)	(5,320)	NA
7/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,244.80	Ordinary	2,160	2,160	NA
7/31/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(10,290.92)	Ordinary	(2,447)	(2,447)	NA
7/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,692.00	Ordinary	1,800	1,800	NA
8/1/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,216.37	Ordinary	776	776	NA
8/1/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(991.28)	Ordinary	(240)	(240)	NA
8/1/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(10,211.07)	Ordinary	(2,451)	(2,451)	NA
8/2/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,159.15	Ordinary	749	749	NA
8/2/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(10,300.36)	Ordinary	(2,451)	(2,451)	NA
8/2/2017	Merrill Lynch (Australia) Futures Ltd	Buy	802.20	Ordinary	191	191	NA
8/2/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,320.00)	Ordinary	(800)	(800)	NA
8/3/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,471.25	Ordinary	588	588	NA
8/3/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,471.25	Ordinary	588	588	NA
8/3/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(2,471.25)	Ordinary	(588)	(588)	NA
8/3/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(541.80)	Ordinary	(129)	(129)	NA
8/3/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,148.84	Ordinary	2,179	2,179	NA
8/4/2017	Merrill Lynch (Australia) Futures Ltd	Buy	153.69	Ordinary	37	37	NA
8/4/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(153.69)	Ordinary	(37)	(37)	NA
8/4/2017	Merrill Lynch (Australia) Futures Ltd	Buy	492.26	Ordinary	118	118	NA
8/4/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(6,376.59)	Ordinary	(2,003)	(2,003)	NA
8/4/2017	Merrill Lynch (Australia) Futures Ltd	Buy	153.69	Ordinary	37	37	NA
8/7/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(4,729.19)	Ordinary	(1,148)	(1,148)	NA
8/7/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(6,384.79)	Ordinary	(1,560)	(1,560)	NA
8/8/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(1,010.94)	Ordinary	(249)	(249)	NA
8/8/2017	Merrill Lynch (Australia) Futures Ltd	Buy	1,091.84	Ordinary	271	271	NA
8/8/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(20,331.66)	Ordinary	(5,018)	(5,018)	NA
8/8/2017	Merrill Lynch (Australia) Futures Ltd	Buy	23,578.77	Ordinary	5,789	5,789	NA
8/9/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,391.94	Ordinary	585	585	NA
8/9/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(1,277.20)	Ordinary	(310)	(310)	NA
8/9/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(12,696.58)	Ordinary	(3,112)	(3,112)	NA
8/9/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,791.84	Ordinary	1,192	1,192	NA
8/10/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,582.28	Ordinary	1,095	1,095	NA
8/10/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,720.55	Ordinary	1,618	1,618	NA
8/10/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(6,633.80)	Ordinary	(1,618)	(1,618)	NA
8/10/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,509.03)	Ordinary	(843)	(843)	NA
8/11/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(16,676.69)	Ordinary	(4,028)	(4,028)	NA
8/11/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,442.28	Ordinary	1,075	1,075	NA
8/11/2017	Merrill Lynch (Australia) Futures Ltd	Buy	743.40	Ordinary	180	180	NA
8/14/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,793.52	Ordinary	913	913	NA
8/14/2017	Merrill Lynch (Australia) Futures Ltd	Buy	8,673.60	Ordinary	2,083	2,083	NA
8/14/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(8,644.45)	Ordinary	(2,083)	(2,083)	NA
8/15/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,244.59	Ordinary	533	533	NA
8/15/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(463.10)	Ordinary	(110)	(110)	NA
8/16/2017	Merrill Lynch (Australia) Futures Ltd	Buy	1,930.78	Ordinary	460	460	NA
8/17/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,714.10	Ordinary	641	641	NA
8/17/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(2,327.54)	Ordinary	(549)	(549)	NA
8/17/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,140.00	Ordinary	1,700	1,700	NA
8/18/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,829.77	Ordinary	1,852	1,852	NA
8/18/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(10,036.05)	Ordinary	(2,376)	(2,376)	NA
8/18/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,288.22	Ordinary	2,201	2,201	NA
8/21/2017	Merrill Lynch (Australia) Futures Ltd	Buy	10,993.45	Ordinary	2,632	2,632	NA
8/21/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(6,204.14)	Ordinary	(1,477)	(1,477)	NA
8/21/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(2,088.44)	Ordinary	(498)	(498)	NA
8/22/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,737.16	Ordinary	1,143	1,143	NA
8/22/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(7,112.24)	Ordinary	(1,703)	(1,703)	NA
8/23/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,762.46	Ordinary	1,624	1,624	NA
8/23/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,222.16)	Ordinary	(776)	(776)	NA
8/24/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,044.62	Ordinary	490	490	NA
8/24/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,374.38	Ordinary	1,770	1,770	NA
8/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,262.19	Ordinary	990	990	NA
8/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	434.14	Ordinary	101	101	NA
8/25/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(426.22)	Ordinary	(101)	(101)	NA
8/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	8,270.82	Ordinary	1,922	1,922	NA
8/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,328.49	Ordinary	1,663	1,663	NA
8/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	24,122.91	Ordinary	5,249	5,249	NA
8/28/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(24,208.88)	Ordinary	(5,438)	(5,438)	NA
8/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	24,742.90	Ordinary	5,438	5,438	NA
8/29/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,967.54	Ordinary	662	662	NA
8/29/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(27,162.08)	Ordinary	(6,063)	(6,063)	NA
8/29/2017	Merrill Lynch (Australia) Futures Ltd	Buy	27,260.58	Ordinary	6,063	6,063	NA
8/29/2017	Merrill Lynch (Australia) Futures Ltd	Buy	38,558.94	Ordinary	8,604	8,604	NA
8/30/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(40,026.34)	Ordinary	(8,883)	(8,883)	NA
8/30/2017	Merrill Lynch (Australia) Futures Ltd	Buy	39,618.18	Ordinary	8,883	8,883	NA
8/30/2017	Merrill Lynch (Australia) Futures Ltd	Buy	80,256.32	Ordinary	17,667	17,667	NA
8/30/2017	Merrill Lynch (Australia) Futures Ltd	Buy	5,992.21	Ordinary	1,312	1,312	NA
8/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,249.40	Ordinary	1,552	1,552	NA
8/31/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(38,307.97)	Ordinary	(8,203)	(8,203)	NA
8/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	38,636.13	Ordinary	8,203	8,203	NA
8/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	1,988.79	Ordinary	427	427	NA
9/1/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,719.74	Ordinary	1,652	1,652	NA

Date of change	Person whose relevant interest changed	Nature of change	Consideration	Class of securities	Securities affected	Person's votes affected	Remark
9/1/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,399.14	Ordinary	1,561	1,561	N/A
9/1/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(7,315.84)	Ordinary	(1,561)	(1,561)	N/A
9/1/2017	Merrill Lynch (Australia) Futures Ltd	Buy	25,205.00	Ordinary	5,400	5,400	N/A
9/4/2017	Merrill Lynch (Australia) Futures Ltd	Buy	18,151.99	Ordinary	3,906	3,906	N/A
9/4/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,250.47	Ordinary	1,347	1,347	N/A
9/5/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,043.63	Ordinary	666	666	N/A
9/5/2017	Merrill Lynch (Australia) Futures Ltd	Buy	10,040.98	Ordinary	2,189	2,189	N/A
9/5/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,076.92)	Ordinary	(666)	(666)	N/A
9/5/2017	Merrill Lynch (Australia) Futures Ltd	Buy	5,214.52	Ordinary	1,139	1,139	N/A
9/6/2017	Merrill Lynch (Australia) Futures Ltd	Buy	8,831.04	Ordinary	1,906	1,906	N/A
9/6/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(69,409.80)	Ordinary	(15,039)	(15,039)	N/A
9/6/2017	Merrill Lynch (Australia) Futures Ltd	Buy	10,132.67	Ordinary	2,190	2,190	N/A
9/6/2017	Merrill Lynch (Australia) Futures Ltd	Buy	68,427.45	Ordinary	15,039	15,039	N/A
9/7/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,812.37	Ordinary	1,681	1,681	N/A
9/7/2017	Merrill Lynch (Australia) Futures Ltd	Buy	24,522.20	Ordinary	5,280	5,280	N/A
9/8/2017	Merrill Lynch (Australia) Futures Ltd	Buy	31,366.66	Ordinary	6,676	6,676	N/A
9/8/2017	Merrill Lynch (Australia) Futures Ltd	Buy	8,540.18	Ordinary	1,816	1,816	N/A
9/11/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,423.74	Ordinary	1,517	1,517	N/A
9/11/2017	Merrill Lynch (Australia) Futures Ltd	Buy	41,132.90	Ordinary	8,340	8,340	N/A
9/12/2017	Merrill Lynch (Australia) Futures Ltd	Buy	8,569.50	Ordinary	1,750	1,750	N/A
9/12/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,298.13	Ordinary	1,491	1,491	N/A
9/13/2017	Merrill Lynch (Australia) Futures Ltd	Buy	11,927.00	Ordinary	2,400	2,400	N/A
9/13/2017	Merrill Lynch (Australia) Futures Ltd	Buy	12,456.81	Ordinary	2,528	2,528	N/A
9/14/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(750.05)	Ordinary	(155)	(155)	N/A
9/14/2017	Merrill Lynch (Australia) Futures Ltd	Buy	19,496.19	Ordinary	4,070	4,070	N/A
9/14/2017	Merrill Lynch (Australia) Futures Ltd	Buy	23,534.89	Ordinary	4,900	4,900	N/A
9/14/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,174.30	Ordinary	1,492	1,492	N/A
9/15/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,208.88	Ordinary	1,488	1,488	N/A
9/15/2017	Merrill Lynch (Australia) Futures Ltd	Buy	20,342.76	Ordinary	4,186	4,186	N/A
9/15/2017	Merrill Lynch (Australia) Futures Ltd	Buy	10,999.79	Ordinary	2,292	2,292	N/A
9/15/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(29,932.95)	Ordinary	(6,207)	(6,207)	N/A
9/18/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,884.97	Ordinary	1,987	1,987	N/A
9/18/2017	Merrill Lynch (Australia) Futures Ltd	Buy	36,613.17	Ordinary	7,357	7,357	N/A
9/19/2017	Merrill Lynch (Australia) Futures Ltd	Buy	23,365.94	Ordinary	4,651	4,651	N/A
9/19/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,936.12	Ordinary	1,580	1,580	N/A
9/20/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,552.50)	Ordinary	(700)	(700)	N/A
9/20/2017	Merrill Lynch (Australia) Futures Ltd	Buy	20,669.34	Ordinary	4,117	4,117	N/A
9/20/2017	Merrill Lynch (Australia) Futures Ltd	Buy	11,852.71	Ordinary	2,367	2,367	N/A
9/21/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,118.71	Ordinary	826	826	N/A
9/22/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,815.82	Ordinary	960	960	N/A
9/22/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,247.80	Ordinary	1,840	1,840	N/A
9/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,896.32	Ordinary	1,582	1,582	N/A
9/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	748.50	Ordinary	150	150	N/A
9/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	8,724.16	Ordinary	1,753	1,753	N/A
9/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	45,010.23	Ordinary	9,073	9,073	N/A
9/25/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(31,245.00)	Ordinary	(6,249)	(6,249)	N/A
9/26/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,823.79	Ordinary	1,900	1,900	N/A
9/26/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(12,490.97)	Ordinary	(2,426)	(2,426)	N/A
9/26/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(1,971.10)	Ordinary	(390)	(390)	N/A
9/26/2017	Merrill Lynch (Australia) Futures Ltd	Buy	8,406.97	Ordinary	1,638	1,638	N/A
9/27/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(7,840.52)	Ordinary	(1,515)	(1,515)	N/A
9/27/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(2,058.16)	Ordinary	(398)	(398)	N/A
9/27/2017	Merrill Lynch (Australia) Futures Ltd	Buy	13,825.79	Ordinary	2,660	2,660	N/A
9/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,967.93	Ordinary	760	760	N/A
9/28/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,967.93)	Ordinary	(760)	(760)	N/A
9/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	14,275.34	Ordinary	2,730	2,730	N/A
9/28/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(18,267.60)	Ordinary	(3,513)	(3,513)	N/A
9/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	89,826.69	Ordinary	17,240	17,240	N/A
9/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,967.93	Ordinary	760	760	N/A
9/29/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,032.00	Ordinary	1,147	1,147	N/A
9/29/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(6,032.00)	Ordinary	(1,147)	(1,147)	N/A
9/29/2017	Merrill Lynch (Australia) Futures Ltd	Buy	12,824.23	Ordinary	2,436	2,436	N/A
9/29/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(21,601.35)	Ordinary	(4,106)	(4,106)	N/A
9/29/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,032.00	Ordinary	1,147	1,147	N/A
10/2/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,295.54	Ordinary	1,393	1,393	N/A
10/2/2017	Merrill Lynch (Australia) Futures Ltd	Buy	1,686.06	Ordinary	323	323	N/A
10/2/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(25,191.20)	Ordinary	(4,810)	(4,810)	N/A
10/3/2017	Merrill Lynch (Australia) Futures Ltd	Buy	8,267.81	Ordinary	1,596	1,596	N/A
10/3/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,441.71	Ordinary	473	473	N/A
10/3/2017	Merrill Lynch (Australia) Futures Ltd	Buy	16,552.10	Ordinary	3,214	3,214	N/A
10/3/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(12,459.66)	Ordinary	(2,405)	(2,405)	N/A
10/3/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(2,371.36)	Ordinary	(458)	(458)	N/A
10/4/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,459.98	Ordinary	1,221	1,221	N/A
10/4/2017	Merrill Lynch (Australia) Futures Ltd	Buy	18,552.67	Ordinary	3,578	3,578	N/A
10/4/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(29,922.09)	Ordinary	(5,620)	(5,620)	N/A
10/5/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,704.24	Ordinary	1,232	1,232	N/A
10/5/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,289.36	Ordinary	788	788	N/A
10/5/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,174.00)	Ordinary	(600)	(600)	N/A
10/6/2017	Merrill Lynch (Australia) Futures Ltd	Buy	5,788.24	Ordinary	1,056	1,056	N/A
10/6/2017	Merrill Lynch (Australia) Futures Ltd	Buy	4,727.74	Ordinary	864	864	N/A
10/9/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,728.95	Ordinary	494	494	N/A
10/11/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,599.45	Ordinary	634	634	N/A
10/11/2017	Merrill Lynch (Australia) Futures Ltd	Buy	39,577.85	Ordinary	7,002	7,002	N/A
10/11/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(39,642.50)	Ordinary	(7,002)	(7,002)	N/A
10/11/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,043.10	Ordinary	363	363	N/A
10/11/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(2,060.55)	Ordinary	(363)	(363)	N/A
10/12/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,537.27	Ordinary	437	437	N/A
10/12/2017	Merrill Lynch (Australia) Futures Ltd	Buy	1,419.83	Ordinary	245	245	N/A
10/13/2017	Merrill Lynch (Australia) Futures Ltd	Buy	586.74	Ordinary	100	100	N/A
10/13/2017	Merrill Lynch (Australia) Futures Ltd	Buy	1,021.83	Ordinary	175	175	N/A
10/13/2017	Merrill Lynch (Australia) Futures Ltd	Buy	16,398.03	Ordinary	2,839	2,839	N/A
10/16/2017	Merrill Lynch (Australia) Futures Ltd	Buy	96,107.39	Ordinary	16,002	16,002	N/A
10/16/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(96,123.87)	Ordinary	(16,002)	(16,002)	N/A
10/17/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(115,852.60)	Ordinary	(18,706)	(18,706)	N/A
10/17/2017	Merrill Lynch (Australia) Futures Ltd	Buy	10,875.71	Ordinary	1,763	1,763	N/A
10/17/2017	Merrill Lynch (Australia) Futures Ltd	Buy	116,152.29	Ordinary	18,706	18,706	N/A
10/17/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,132.76	Ordinary	1,474	1,474	N/A
10/18/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(161,029.63)	Ordinary	(25,307)	(25,307)	N/A
10/18/2017	Merrill Lynch (Australia) Futures Ltd	Buy	5,925.62	Ordinary	937	937	N/A
10/18/2017	Merrill Lynch (Australia) Futures Ltd	Buy	160,970.03	Ordinary	25,307	25,307	N/A
10/19/2017	Merrill Lynch (Australia) Futures Ltd	Buy	1,416.15	Ordinary	222	222	N/A
10/19/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(81,132.15)	Ordinary	(12,744)	(12,744)	N/A
10/19/2017	Merrill Lynch (Australia) Futures Ltd	Buy	81,119.19	Ordinary	12,744	12,744	N/A
10/19/2017	Merrill Lynch (Australia) Futures Ltd	Buy	6,583.74	Ordinary	1,034	1,034	N/A
10/20/2017	Merrill Lynch (Australia) Futures Ltd	Buy	774.64	Ordinary	126	126	N/A
10/20/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(152,470.67)	Ordinary	(24,566)	(24,566)	N/A
10/20/2017	Merrill Lynch (Australia) Futures Ltd	Buy	152,182.37	Ordinary	24,566	24,566	N/A
10/20/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,703.96	Ordinary	1,544	1,544	N/A
10/23/2017	Merrill Lynch (Australia) Futures Ltd	Buy	13,280.06	Ordinary	2,472	2,472	N/A
10/23/2017	Merrill Lynch (Australia) Futures Ltd	Buy	50,194.32	Ordinary	9,232	9,232	N/A
10/23/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(65,020.09)	Ordinary	(11,972)	(11,972)	N/A
10/24/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(48,672.00)	Ordinary	(9,604)	(9,604)	N/A
10/24/2017	Merrill Lynch (Australia) Futures Ltd	Buy	28,564.20	Ordinary	5,574	5,574	N/A
10/24/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(69,975.74)	Ordinary	(13,717)	(13,717)	N/A
10/24/2017	Merrill Lynch (Australia) Futures Ltd	Buy	2,634.61	Ordinary	526	526	N/A
10/24/2017	Merrill Lynch (Australia) Futures Ltd	Buy	70,236.12	Ordinary	14,089	14,089	N/A
10/24/2017	Merrill Lynch (Australia) Futures Ltd	Buy	92,109.64	Ordinary	17,710	17,710	N/A
10/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	15,627.00	Ordinary	2,883	2,883	N/A
10/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	74,564.29	Ordinary	14,351	14,351	N/A
10/25/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(179,418.54)	Ordinary	(33,299)	(33,299)	N/A
10/25/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(77,813.92)	Ordinary	(14,474)	(14,474)	N/A
10/25/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(26,293.00)	Ordinary	(5,100)	(5,100)	N/A
10/25/2017	Merrill Lynch (Australia) Futures Ltd	Buy	176,532.22	Ordinary	32,773	32,773	N/A

Date of change	Person whose relevant interest changed	Nature of change	Consideration	Class of securities	Securities affected	Person's votes affected	Remark
10/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	16,010.52	Ordinary	2,873	2,873	N/A
10/28/2017	Merrill Lynch (Australia) Futures Ltd	Buy	141,054.89	Ordinary	25,402	25,402	N/A
10/26/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(141,003.20)	Ordinary	(25,402)	(25,402)	N/A
10/26/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(178,783.02)	Ordinary	(32,239)	(32,239)	N/A
10/26/2017	Merrill Lynch (Australia) Futures Ltd	Buy	190,211.04	Ordinary	34,574	34,574	N/A
10/26/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(93,907.28)	Ordinary	(16,915)	(16,915)	N/A
10/26/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,149.54	Ordinary	1,642	1,642	N/A
10/27/2017	Merrill Lynch (Australia) Futures Ltd	Buy	19,035.78	Ordinary	3,404	3,404	N/A
10/27/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(215,549.99)	Ordinary	(38,767)	(38,767)	N/A
10/27/2017	Merrill Lynch (Australia) Futures Ltd	Buy	215,308.70	Ordinary	38,767	38,767	N/A
10/27/2017	Merrill Lynch (Australia) Futures Ltd	Buy	32,339.85	Ordinary	5,827	5,827	N/A
10/27/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(59,146.45)	Ordinary	(10,625)	(10,625)	N/A
10/30/2017	Merrill Lynch (Australia) Futures Ltd	Buy	15,064.83	Ordinary	2,595	2,595	N/A
10/30/2017	Merrill Lynch (Australia) Futures Ltd	Buy	192,565.37	Ordinary	32,999	32,999	N/A
10/30/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(29,954.61)	Ordinary	(5,283)	(5,283)	N/A
10/30/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(8,640.00)	Ordinary	(1,500)	(1,500)	N/A
10/30/2017	Merrill Lynch (Australia) Futures Ltd	Buy	14,661.55	Ordinary	2,523	2,523	N/A
10/30/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(192,657.24)	Ordinary	(32,999)	(32,999)	N/A
10/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	14,819.05	Ordinary	2,674	2,674	N/A
10/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	35,656.20	Ordinary	6,603	6,603	N/A
10/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	784.17	Ordinary	140	140	N/A
10/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,001.38	Ordinary	1,263	1,263	N/A
10/31/2017	Merrill Lynch (Australia) Futures Ltd	Buy	163,403.87	Ordinary	29,360	29,360	N/A
10/31/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(7,840.30)	Ordinary	(1,380)	(1,380)	N/A
10/31/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(27,664.54)	Ordinary	(4,863)	(4,863)	N/A
10/31/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(163,287.72)	Ordinary	(29,360)	(29,360)	N/A
11/1/2017	Merrill Lynch (Australia) Futures Ltd	Buy	10,465.37	Ordinary	1,907	1,907	N/A
11/1/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(79,990.38)	Ordinary	(14,583)	(14,583)	N/A
11/1/2017	Merrill Lynch (Australia) Futures Ltd	Buy	67,975.17	Ordinary	12,539	12,539	N/A
11/1/2017	Merrill Lynch (Australia) Futures Ltd	Buy	8,346.26	Ordinary	1,600	1,600	N/A
11/1/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,664.93	Ordinary	1,787	1,787	N/A
11/1/2017	Merrill Lynch (Australia) Futures Ltd	Buy	282,345.51	Ordinary	52,399	52,399	N/A
11/1/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(24,067.80)	Ordinary	(4,457)	(4,457)	N/A
11/1/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(282,859.08)	Ordinary	(52,399)	(52,399)	N/A
11/2/2017	Merrill Lynch (Australia) Futures Ltd	Buy	13,815.20	Ordinary	2,457	2,457	N/A
11/2/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(14,812.68)	Ordinary	(2,656)	(2,656)	N/A
11/2/2017	Merrill Lynch (Australia) Futures Ltd	Buy	25,323.13	Ordinary	4,536	4,536	N/A
11/2/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,054.54	Ordinary	1,614	1,614	N/A
11/3/2017	Merrill Lynch (Australia) Futures Ltd	Buy	12,033.45	Ordinary	2,197	2,197	N/A
11/3/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(10,057.30)	Ordinary	(1,812)	(1,812)	N/A
11/3/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(17,803.45)	Ordinary	(3,201)	(3,201)	N/A
11/6/2017	Merrill Lynch (Australia) Futures Ltd	Buy	136,799.63	Ordinary	24,083	24,083	N/A
11/6/2017	Merrill Lynch (Australia) Futures Ltd	Buy	13,441.38	Ordinary	2,358	2,358	N/A
11/6/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(13,937.83)	Ordinary	(2,459)	(2,459)	N/A
11/6/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(136,990.77)	Ordinary	(24,083)	(24,083)	N/A
11/7/2017	Merrill Lynch (Australia) Futures Ltd	Buy	10,494.11	Ordinary	1,802	1,802	N/A
11/7/2017	Merrill Lynch (Australia) Futures Ltd	Buy	3,092.26	Ordinary	531	531	N/A
11/7/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(575.08)	Ordinary	(99)	(99)	N/A
11/7/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,079.13	Ordinary	1,562	1,562	N/A
11/7/2017	Merrill Lynch (Australia) Futures Ltd	Buy	174,917.32	Ordinary	30,131	30,131	N/A
11/7/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(174,958.85)	Ordinary	(30,131)	(30,131)	N/A
11/7/2017	Merrill Lynch (Australia) Futures Ltd	Buy	66,336.03	Ordinary	11,457	11,457	N/A
11/7/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(3,588.08)	Ordinary	(617)	(617)	N/A
11/8/2017	Merrill Lynch (Australia) Futures Ltd	Buy	7,484.48	Ordinary	1,266	1,266	N/A
11/8/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(19,193.52)	Ordinary	(3,289)	(3,289)	N/A
11/8/2017	Merrill Lynch (Australia) Futures Ltd	Buy	32,411.36	Ordinary	5,544	5,544	N/A
11/8/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(5,337.00)	Ordinary	(900)	(900)	N/A
11/8/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(169,482.26)	Ordinary	(28,724)	(28,724)	N/A
11/8/2017	Merrill Lynch (Australia) Futures Ltd	Buy	169,353.37	Ordinary	28,724	28,724	N/A
11/9/2017	Merrill Lynch (Australia) Futures Ltd	Buy	9,397.76	Ordinary	1,640	1,640	N/A
11/9/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(41,831.00)	Ordinary	(7,090)	(7,090)	N/A
11/9/2017	Merrill Lynch (Australia) Futures Ltd	Buy	54,719.08	Ordinary	9,548	9,548	N/A
11/9/2017	Merrill Lynch (Australia) Futures Ltd	Buy	32,761.90	Ordinary	5,721	5,721	N/A
11/9/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(54,749.02)	Ordinary	(9,548)	(9,548)	N/A
11/9/2017	Merrill Lynch (Australia) Futures Ltd	Sell	(53,929.44)	Ordinary	(9,356)	(9,356)	N/A
7/10/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(1,612)	(1,612)	Refer to App B-1 to B-8
7/12/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(4,625)	(4,625)	Refer to App B-1 to B-8
7/13/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(1,662)	(1,662)	Refer to App B-1 to B-8
7/14/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(1,015)	(1,015)	Refer to App B-1 to B-8
7/21/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(5,303)	(5,303)	Refer to App B-1 to B-8
8/31/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(1,600,405)	(1,600,405)	Refer to App B-1 to B-8
8/31/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(87,891)	(87,891)	Refer to App B-1 to B-8
9/5/2017	Merrill Lynch International (Australia) Ltd	Securities Borrowed	N/A	Ordinary	1,789,387	1,789,387	Refer to App B-1 to B-8
9/12/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(240)	(240)	Refer to App B-1 to B-8
9/12/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(94,246)	(94,246)	Refer to App B-1 to B-8
9/13/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(94,921)	(94,921)	Refer to App B-1 to B-8
9/13/2017	Merrill Lynch International (Australia) Ltd	Securities Borrowed	N/A	Ordinary	96,909	96,909	Refer to App B-1 to B-8
9/15/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(8,593)	(8,593)	Refer to App B-1 to B-8
11/8/2017	Merrill Lynch International (Australia) Ltd	Securities Borrowed	N/A	Ordinary	28,104	28,104	Refer to App B-1 to B-8
11/7/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(27,190)	(27,190)	Refer to App B-1 to B-8
11/7/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(197)	(197)	Refer to App B-1 to B-8
11/8/2017	Merrill Lynch International (Australia) Ltd	Securities Returned	N/A	Ordinary	(27,907)	(27,907)	Refer to App B-1 to B-8
11/8/2017	Merrill Lynch International (Australia) Ltd	Securities Borrowed	N/A	Ordinary	27,190	27,190	Refer to App B-1 to B-8
9/4/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	54,574	54,574	Refer to App B-1 to B-8
9/6/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	33,722	33,722	Refer to App B-1 to B-8
9/8/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	88,296	88,296	Refer to App B-1 to B-8
9/8/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	36,100	36,100	Refer to App B-1 to B-8
9/8/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(54,574)	(54,574)	Refer to App B-1 to B-8
9/8/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(33,722)	(33,722)	Refer to App B-1 to B-8
9/12/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(36,100)	(36,100)	Refer to App B-1 to B-8
9/12/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	141,487	141,487	Refer to App B-1 to B-8
9/12/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(82,896)	(82,896)	Refer to App B-1 to B-8
9/12/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	118,996	118,996	Refer to App B-1 to B-8
9/12/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(5,400)	(5,400)	Refer to App B-1 to B-8
9/14/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(118,996)	(118,996)	Refer to App B-1 to B-8
9/14/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	260,483	260,483	Refer to App B-1 to B-8
9/14/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(80,325)	(80,325)	Refer to App B-1 to B-8
9/14/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(141,487)	(141,487)	Refer to App B-1 to B-8
9/15/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(84,846)	(84,846)	Refer to App B-1 to B-8
9/15/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(95,312)	(95,312)	Refer to App B-1 to B-8
10/3/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	2,219	2,219	Refer to App B-1 to B-8
10/3/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(2,219)	(2,219)	Refer to App B-1 to B-8
11/2/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	4,540	4,540	Refer to App B-1 to B-8
11/3/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	197	197	Refer to App B-1 to B-8
11/6/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	11,406	11,406	Refer to App B-1 to B-8
11/7/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(4,540)	(4,540)	Refer to App B-1 to B-8
11/7/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	42,700	42,700	Refer to App B-1 to B-8
11/8/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(11,406)	(11,406)	Refer to App B-1 to B-8
11/8/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	11,603	11,603	Refer to App B-1 to B-8
11/8/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	38,160	38,160	Refer to App B-1 to B-8
11/8/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(197)	(197)	Refer to App B-1 to B-8
11/9/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	427,983	427,983	Refer to App B-1 to B-8
11/9/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	54,303	54,303	Refer to App B-1 to B-8
11/9/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(11,603)	(11,603)	Refer to App B-1 to B-8
11/9/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(42,700)	(42,700)	Refer to App B-1 to B-8
9/12/2017	Merrill Lynch, Pierce, Fenner & Smith Incorporated	Securities Borrowed	N/A	Ordinary	70,000	70,000	Refer to App B-1 to B-8
9/26/2017	Merrill Lynch, Pierce, Fenner & Smith Incorporated	Securities Returned	N/A	Ordinary	(70,000)	(70,000)	Refer to App B-1 to B-8
9/12/2017	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	81,679	81,679	Refer to App B-1 to B-8
10/11/2017	Merrill Lynch International	Securities Returned	N/A	Ordinary	(19,862)	(19,862)	Refer to App B-1 to B-8

Appendix B-1: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Regal Funds Management Pty Limited as Trustee of Atlantic Absolute Return Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-2: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Regal Funds Management Pty Limited as Trustee of Regal Australian Long Short Equity Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-3: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Susquehanna Pacific Pty Ltd
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-4: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International and Creativity Global Credit Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-5: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International and Integrated Core Strategies (Asia) Pte Ltd
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-6: Prescribed information

Type of agreement	Securities Loan Agreement
Parties to agreement	Merrill Lynch, Pierce, Fenner & Smith Incorporated and State Street Bank and Trust Company
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-7: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	Merrill Lynch International and Acadian Non US Small Cap
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-8: Prescribed information

Type of agreement	Global Master Securities Lending Agreement
Parties to agreement	Merrill Lynch International and Millennium Capital Management (Singapore) Pte. Ltd
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix C

Related bodies corporate	Incorporated	ACN/Registration/Incorporation Number
Merrill Lynch International	United Kingdom	2312079
ML UK Capital Holdings	United Kingdom	4971231
BofAML EMEA Holdings 2 Limited	United Kingdom	119605
BofAML Jersey Holdings Limited	United Kingdom	115831
Merrill Lynch (Australia) Futures Limited	Australia	003639674
Merrill Lynch International (Australia) Limited	Australia	002892846
Merrill Lynch Markets (Australia) Pty. Limited	Australia	075587816
Merrill Lynch (Australasia) Pty. Limited	Australia	075923894
Merrill Lynch International, LLC	United States	0825826
NB Holdings Corporation	United States	2361372
Bank of America Corporation	United States	N/A628