

## FAX COVER SHEET

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TO

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COMPANY

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FAXNUMBER 61297780999

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FROM GLOBALXMANAGEMENT

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DATE 2018-02-02 16:33:10 GMT

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RE Substantial Shareholding for Peninsula Energy

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### COVER MESSAGE

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Attention:

**Australian Stock Exchange (ASX)/ The Manager, Company Announcements Office.**

Regards,



**Vanessa Yang** | Portfolio Administrator  
600 Lexington Ave, 20th floor | New York, NY 10022  
646-757-5405 | [globalxfunds.com](http://globalxfunds.com)



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**Form 604**

Corporations Law  
Section 671B

**Notice of change of interests of substantial holder**

To: Company Name/Scheme Peninsula Energy Ltd

ACN/ARSN ISIN AU000000PEN6

**1. Details of substantial holder(s)**

Name Global X Management Company

ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on

02/01/18

The previous notice was given to the company on

01/05/18

The previous notice was dated

01/05/18

**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) to when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	27,858,565	12.03%	30,340,524	13.06%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See attached					

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (9)	Class and number of securities	Person's votes
GXMC	Global X Uranium ETF	Global X Uranium ETF	n/a	30,340,524	30,340,524
				Common Stock	

**5. Changes in association.**

The persons who have become associates (2), ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/VARSN (if applicable)	Nature of association
Global X Management Co	Advisor to the fund
Global X Uranium ETF	Exchange traded fund

**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Global X Management Co	600 Lexington Ave, 20th floor, New York, NY, 10022
Global X Uranium ETF	1 Freedom Valley Drive, Oaks, PA 19456, USA

**Signature**

print name: James Ong

capacity: Portfolio Manager

sign here



date: 2 / 02 / 2018

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Law.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Law.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Law.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisition, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

Acct Name	Security Desc	Type	Trade Date	Settle Date	Units	Unit Price	Amount	Trading Broker
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	02-Oct-2017	04-Oct-2017	240.0000	0.360000	86.44	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	03-Oct-2017	05-Oct-2017	2511.0000	0.359500	903.15	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	04-Oct-2017	06-Oct-2017	34866.0000	0.343600	11985.95	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	05-Oct-2017	09-Oct-2017	101365.0000	0.330200	33487.46	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	06-Oct-2017	10-Oct-2017	20365.0000	0.328900	6701.40	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	09-Oct-2017	11-Oct-2017	24883.0000	0.330000	8215.50	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	10-Oct-2017	12-Oct-2017	10411.0000	0.320500	3338.40	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	11-Oct-2017	13-Oct-2017	3151.0000	0.325200	1025.22	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	12-Oct-2017	16-Oct-2017	3932.0000	0.320200	1259.66	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	13-Oct-2017	17-Oct-2017	26982.0000	0.320000	8638.56	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	16-Oct-2017	18-Oct-2017	13207.0000	0.315000	4162.29	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	17-Oct-2017	19-Oct-2017	155304.0000	0.310800	48292.61	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	18-Oct-2017	20-Oct-2017	57614.0000	0.309300	17828.92	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	19-Oct-2017	23-Oct-2017	51100.0000	0.304200	15552.39	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	20-Oct-2017	24-Oct-2017	99458.0000	0.303100	30160.79	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	23-Oct-2017	25-Oct-2017	1411.0000	0.310000	437.63	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	24-Oct-2017	26-Oct-2017	27039.0000	0.310000	8386.28	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	25-Oct-2017	27-Oct-2017	11744.0000	0.310100	3643.63	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	26-Oct-2017	30-Oct-2017	44804.0000	0.308800	13842.40	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	27-Oct-2017	31-Oct-2017	98456.0000	0.290900	28655.17	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	30-Oct-2017	01-Nov-2017	12849.0000	0.284700	3659.94	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	31-Oct-2017	02-Nov-2017	76516.0000	0.285900	21886.86	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	01-Nov-2017	03-Nov-2017	23351.0000	0.289800	6770.50	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	02-Nov-2017	06-Nov-2017	24720.0000	0.288900	7145.18	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	03-Nov-2017	07-Nov-2017	63087.0000	0.278800	17597.45	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	06-Nov-2017	08-Nov-2017	52061.0000	0.277600	14459.36	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	07-Nov-2017	09-Nov-2017	43935.0000	0.275000	12088.17	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	08-Nov-2017	10-Nov-2017	7263.0000	0.282900	2055.73	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	09-Nov-2017	13-Nov-2017	288000.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	09-Nov-2017	13-Nov-2017	320587.0000	0.345800	110914.41	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	10-Nov-2017	14-Nov-2017	230400.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	10-Nov-2017	14-Nov-2017	47141.0000	0.350400	16526.47	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	13-Nov-2017	15-Nov-2017	57600.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	13-Nov-2017	15-Nov-2017	26784.0000	0.355400	9523.79	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	14-Nov-2017	16-Nov-2017	89811.0000	0.375000	33695.97	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	15-Nov-2017	17-Nov-2017	45865.0000	0.366300	16808.75	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	16-Nov-2017	20-Nov-2017	11420.0000	0.359700	4109.82	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	17-Nov-2017	21-Nov-2017	20994.0000	0.358700	7534.32	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	20-Nov-2017	22-Nov-2017	230400.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	20-Nov-2017	22-Nov-2017	37055.0000	0.356100	13201.89	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	21-Nov-2017	23-Nov-2017	230400.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	21-Nov-2017	23-Nov-2017	145751.0000	0.378400	55179.76	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	22-Nov-2017	27-Nov-2017	115200.0000	0.000000	0.00	GOLDMAN SACHS AND CO.

GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	22-Nov-2017	24-Nov-2017	576000.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	22-Nov-2017	24-Nov-2017	68315.0000	0.386100	26389.61	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	24-Nov-2017	28-Nov-2017	35269.0000	0.401200	14156.99	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	27-Nov-2017	29-Nov-2017	115200.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	27-Nov-2017	29-Nov-2017	48986.0000	0.398500	19530.68	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	28-Nov-2017	30-Nov-2017	345600.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	28-Nov-2017	30-Nov-2017	41499.0000	0.383600	15926.98	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	29-Nov-2017	01-Dec-2017	27236.0000	0.406600	11079.70	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	30-Nov-2017	04-Dec-2017	92231.0000	0.421400	38885.57	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	01-Dec-2017	05-Dec-2017	172800.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	01-Dec-2017	05-Dec-2017	7140.0000	0.414600	2961.72	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	04-Dec-2017	06-Dec-2017	115200.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	04-Dec-2017	06-Dec-2017	22895.0000	0.408200	9350.41	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	05-Dec-2017	07-Dec-2017	115200.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	05-Dec-2017	07-Dec-2017	403200.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	05-Dec-2017	07-Dec-2017	308310.0000	0.442400	136464.54	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	06-Dec-2017	08-Dec-2017	230400.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	06-Dec-2017	08-Dec-2017	126371.0000	0.437300	55289.67	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	07-Dec-2017	11-Dec-2017	257606.0000	0.459500	118429.14	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	08-Dec-2017	13-Dec-2017	115200.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	08-Dec-2017	12-Dec-2017	403200.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	RVP	08-Dec-2017	12-Dec-2017	530732.0000	0.472500	250896.26	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	11-Dec-2017	13-Dec-2017	403200.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	11-Dec-2017	14-Dec-2017	115200.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	12-Dec-2017	14-Dec-2017	115000.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	13-Dec-2017	18-Dec-2017	115000.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	13-Dec-2017	15-Dec-2017	230000.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	14-Dec-2017	18-Dec-2017	345000.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	15-Dec-2017	20-Dec-2017	172500.0000	0.000000	0.00	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	19-Dec-2017	21-Dec-2017	287500.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	21-Dec-2017	27-Dec-2017	230000.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	22-Dec-2017	28-Dec-2017	19039.0000	0.425000	8087.53	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	22-Dec-2017	28-Dec-2017	115000.0000	0.000000	0.00	RBC CAPITAL MARKETS LLC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	27-Dec-2017	29-Dec-2017	20502.0000	0.426700	8743.83	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	28-Dec-2017	02-Jan-2018	35088.0000	0.431900	15146.93	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	29-Dec-2017	03-Jan-2018	53262.0000	0.450000	23955.92	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	29-Dec-2017	03-Jan-2018	115000.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	02-Jan-2018	04-Jan-2018	26186.0000	0.440600	11531.78	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	02-Jan-2018	04-Jan-2018	172500.0000	0.000000	0.00	UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	03-Jan-2018	05-Jan-2018	62161.0000	0.456100	28337.45	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	03-Jan-2018	05-Jan-2018	115000.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	03-Jan-2018	05-Jan-2018	57500.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	04-Jan-2018	08-Jan-2018	11808.0000	0.437600	5164.60	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	04-Jan-2018	08-Jan-2018	287500.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)

GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	05-Jan-2018	09-Jan-2018	16488.0000	0.438000	7218.13	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	08-Jan-2018	10-Jan-2018	45000.0000	0.442900	19920.53	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	08-Jan-2018	10-Jan-2018	112600.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	09-Jan-2018	11-Jan-2018	124648.0000	0.420300	52363.36	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	09-Jan-2018	11-Jan-2018	56300.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	09-Jan-2018	11-Jan-2018	168900.0000	0.000000	0.00	RBC CAPITAL MARKETS LLC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	10-Jan-2018	12-Jan-2018	40224.0000	0.432700	17396.22	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	10-Jan-2018	12-Jan-2018	56300.0000	0.000000	0.00	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	10-Jan-2018	12-Jan-2018	506700.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	DVP	11-Jan-2018	15-Jan-2018	23635.0000	0.436100	10302.07	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	23-Jan-2018	25-Jan-2018	168900.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	24-Jan-2018	29-Jan-2018	112600.0000	0.000000	0.00	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	PENINSULA ENERGY LTD /AUD/	REC	01-Feb-2018	06-Feb-2018	225200.0000	0.000000	0.00	GOLDMAN SACHS AND CO.