

# Form 605

Corporations Law  
Section 671B

## Notice of ceasing to be a substantial holder

To: Company Name/Scheme **BASE RESOURCES LIMITED**

ACN/ARSN **125 546 910**

### 1. Details of substantial holder (1)

Name **UBS Group AG and its related bodies corporate**

ACN/ARSN (if applicable): \_\_\_\_\_

The holder ceased to be a substantial shareholder on **10 October 2018**

The previous notice was given to the company on **27 September 2018**

The previous notice was dated **25 September 2018**

### 2. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (4)	Consideration given in relation to change (5)	Class (6) and Number of securities affected	Person's votes affected
Please see Appendix A.					

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

### 4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Details of all UBS offices can be found through the following link: <a href="http://apps2.ubs.com/locationfinder/">http://apps2.ubs.com/locationfinder/</a>	

## SIGNATURE

Print Name: Philip Alexander

Capacity: Authorised signatory

Sign Here:



Date: 12 October 2018

Print Name: Serene Peh

Capacity: Authorised signatory

Sign Here:



Date: 12 October 2018

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Contact details for this notice:

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Serene Peh  
Compliance & Operational Risk Control  
(T) +65 6495 5283  
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**BSE- Appendix A**

Date of change	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities	Class
26-Sep-18	UBS Securities Australia Ltd	Buy	49,860	200,000	Ordinary
27-Sep-18	UBS Securities Australia Ltd	Sell	398	(1,530)	Ordinary
01-Oct-18	UBS Securities Australia Ltd	Sell	511	(1,894)	Ordinary
01-Oct-18	UBS Securities Australia Ltd	Sell	6,114	(22,644)	Ordinary
02-Oct-18	UBS Securities Australia Ltd	Buy	2,652	10,200	Ordinary
02-Oct-18	UBS Securities Australia Ltd	Sell	664	(2,504)	Ordinary
03-Oct-18	UBS Securities Australia Ltd	Buy	950	3,456	Ordinary
03-Oct-18	UBS Securities Australia Ltd	Sell	2,444	(8,887)	Ordinary
03-Oct-18	UBS Securities Australia Ltd	Sell	235	(854)	Ordinary
03-Oct-18	UBS Securities Australia Ltd	Buy	136,755	500,000	Ordinary
04-Oct-18	UBS Securities Australia Ltd	Buy	10	36	Ordinary
05-Oct-18	UBS Securities Australia Ltd	Buy	619	2,211	Ordinary
05-Oct-18	UBS Securities Australia Ltd	Buy	57,023	200,000	Ordinary
10-Oct-18	UBS Securities Australia Ltd	Sell	4,200,000	(15,000,000)	Ordinary