



Initial Disclosure Notice

Disclosure of Directors and Senior Managers Relevant Interests

Sections 297(1) and 298(1), Financial Markets Conduct Act 2013

To NZX Limited; and
Name of listed issuer:
Date this disclosure made:
Date on which issuer listed or appointment made:

Heartland Group Holdings Limited
8-Nov-18
1-Nov-18

Director or senior manager giving disclosure

Full name:
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Bruce Robertson Irvine
Heartland Group Holdings Limited
Heartland Bank Limited
Director

Summary of relevant interest (excluding specified derivatives)

Class of quoted financial product:
Number held in class:
Nature of relevant interest:
Current registered holder:

Ordinary shares
115,806
Beneficial Owner
Bruce Robertson Irvine

Class of quoted financial product:
Number held in class:
Nature of relevant interest:
Current registered holder:

Ordinary shares
401,594
Beneficial Owner
Mary Therese Irvine

Summary of specified derivatives relevant interest (if applicable)

Type of derivative:
Class of underlying financial products:
Details of derivative
The notional value of the derivative (if any) or the notional amount of underlying products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price specified in the terms of the derivative(if any):
Any other details needed to understand how the amount of the consideration

Not applicable

For that derivative, -

Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:


Cerification

I, certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.
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Signature of director or officer:
Date of signature:

or

Signature of person authorised to sign on behalf of director or officer:
Date of signature:
Name and title of authorised person:


8/11/2018
Michael Drumm, General Counsel

Notes



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Heartland Group Holdings Limited
8-Nov-18
1-Nov-18

Director or senior manager giving disclosure

Full name:
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Christopher Patrick Francis Flood
Heartland Group Holdings Limited
Senior Manager

Summary of relevant interest (excluding specified derivatives)

Class of quoted financial product:
Number held in class:
Nature of relevant interest:
Current registered holder:

Ordinary shares
1,424,917
Beneficial Owner
Christopher Patrick Francis Flood

Class of quoted financial product:
Number held in class:
Nature of relevant interest:
Current registered holder:

Ordinary shares
42,360
Beneficial Owner
Christopher Patrick Francis Flood & Nicola Anne Moloney & Lane Neave Trustees Limited

Summary of specified derivatives relevant interest (if applicable)

Type of derivative:
Class of underlying financial products:
Details of derivative
The notional value of the derivative (if any) or the notional amount of underlying products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price specified in the terms of the derivative (if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:
For that derivative, -
Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:


Not applicable

Certification

I, certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.
Signature of director or officer:
Date of signature:

or

Signature of person authorised to sign on behalf of director or officer:
Date of signature:
Name and title of authorised person:


8-Nov-18
Michael Drumm, General Counsel

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8-Nov-18
1-Nov-18

Director or senior manager giving disclosure

Full name:
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Christopher Robert Mace
Heartland Group Holdings Limited
Director

Summary of relevant interest (excluding specified derivatives)

Class of quoted financial product:
Number held in class:
Nature of relevant interest:
Current registered holder:

Ordinary shares
13,267,285
Beneficial Owner
Oceania & Eastern Limited

Class of quoted financial product:
Number held in class:
Nature of relevant interest:
Current registered holder:

Ordinary shares
1,070,204
Beneficial Owner
JNS Capital Limited

Summary of specified derivatives relevant interest (if applicable)

Type of derivative:
Class of underlying financial products:
Details of derivative
The notional value of the derivative (if any) or the notional amount of underlying products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price specified in the terms of the derivative(if any):
Any other details needed to understand how the amount of the consideration

Not applicable

For that derivative,-

Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:


Certification

I, certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.
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Signature of director or officer:
Date of signature:

or

Signature of person authorised to sign on behalf of director or officer:
Date of signature:
Name and title of authorised person:


8-Nov-18
Michael Drumm, General Counsel

Notes



Director or senior manager giving disclosure

Summary of relevant interest (excluding specified derivatives)

Summary of specified derivatives relevant interest (if applicable)

Cerification

or

Notes

<p>Use this form to disclose the relevant interests that a director or senior manager of a listed issuer has in quoted financial products of the listed issuer or a related body corporate or a specified derivative. The disclosure must be made within 5 trading days of—</p> <p>(a) the listing of the public issuer; or</p> <p>(b) the person's appointment as a director or senior manager.</p>
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To NZX Limited; and	
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Date this disclosure made:	8-Nov-18
Date on which issuer listed or appointment made:	1-Nov-18

Director or senior manager giving disclosure

Full name:	Graham Russell Kennedy
Name of listed issuer:	Heartland Group Holdings Limited
Name of related body corporate (if applicable):	Heartland Bank Limited
Position held in listed issuer:	Director

Summary of relevant interest (excluding specified derivatives)

Class of quoted financial product:	Ordinary shares
Number held in class:	64,321
Nature of relevant interest:	Beneficial Owner
Current registered holder:	Graham Russell Kennedy

Class of quoted financial product:	Ordinary shares
Number held in class:	436,789
Nature of relevant interest:	Beneficial Owner
Current registered holder:	Black Quill Investments Limited

Class of quoted financial product:	Ordinary shares
Number held in class:	33,670
Nature of relevant interest:	Beneficial Owner
Current registered holder:	Katie Margaret Kennedy


Summary of specified derivatives relevant interest (if applicable)

Type of derivative:	Not applicable
Class of underlying financial products:	
Details of derivative	
The notional value of the derivative (if any) or the notional amount of underlying products (if any):	
A statement as to whether the derivative is cash settled or physically settled:	
Maturity date of the derivative (if any):	
Expiry date of the derivative (if any):	
The price specified in the terms of the derivative(if any):	
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:	
<i>For that derivative,-</i>	
Parties to the derivative:	
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:	

Certification

I certify that to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.	
Signature of director or officer:	
Date of signature:	

or

Signature of person authorised to sign on behalf of director or officer:	
Date of signature:	8-Nov-18
Name and title of authorised person:	Michael Drumm, General Counsel

Notes

Use this form to disclose the relevant interests that a director or senior manager of a listed issuer has in quoted financial products of the listed issuer or a related body corporate or a specified derivative. The disclosure must be made within 5 trading days of—

(a) the listing of the public issuer; or

(b) the person's appointment as a director or senior manager.



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Heartland Group Holdings Limited
8-Nov-18
1-Nov-18

Director or senior manager giving disclosure

Full name:
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Gregory Raymond Tomlinson
Heartland Group Holdings Limited
Director

Summary of relevant interest (excluding specified derivatives)

Class of quoted financial product:
Number held in class:
Nature of relevant interest:
Current registered holder:

Ordinary shares
53,975,036
Harrogate Trustee Limited or its directors are accustomed to act in accordance with Gregory Raymond Tomlinson's directions, instructions, or wishes in relation to the relevant interest held by Harrogate Trustee Limited
Harrogate Trustee Limited


Summary of specified derivatives relevant interest (if applicable)

Type of derivative:
Class of underlying financial products:
Details of derivative
The notional value of the derivative (if any) or the notional amount of underlying products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price specified in the terms of the derivative (if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:
<i>For that derivative, -</i>
Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

Not applicable

Certification

I, certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.
Signature of director or officer:
Date of signature:
or
Signature of person authorised to sign on behalf of director or officer:
Date of signature:
Name and title of authorised person:


8-Nov-18
Michael Drumm, General Counsel

Notes

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Heartland Group Holdings Limited
8-Nov-18
1-Nov-18

Director or senior manager giving disclosure

Full name:
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Edward John Harvey
Heartland Group Holdings Limited
Heartland Bank Limited
Director

Summary of relevant interest (excluding specified derivatives)

Class of quoted financial product:
Number held in class:
Nature of relevant interest:
Current registered holder:

Ordinary shares
123,766
Beneficial Owner
Susan Shirley Harvey

Summary of specified derivatives relevant interest (if applicable)

Type of derivative:
Class of underlying financial products:
Details of derivative
The notional value of the derivative (if any) or the notional amount of underlying products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price specified in the terms of the derivative(if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:

Not applicable

For that derivative, -


Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

Cerification

I, certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.
Signature of director or officer:
Date of signature:

or

Signature of person authorised to sign on behalf of director or officer:
Date of signature:
Name and title of authorised person:


8-Nov-18
Michael Drumm, General Counsel

Notes

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(a) the listing of the public issuer; or

(b) the person's appointment as a director or senior manager.