

**Form 603**  
**Corporations Act 2001**  
**Section 671B**  
**Notice of initial substantial holder**

To: Company Name/Scheme: **AURELIA METALS LIMITED**

ACN/ARSN: **108 476 384**

**1. Details of substantial holder**

Name **Mitsubishi UFJ Financial Group, Inc.**

The holder became a substantial holder on: **2 August 2019**

**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Person's votes	Voting power (%)
Fully Paid ordinary shares	69,486,109	69,486,109	8.01%

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	4,716,208 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	10,301,324 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	145,398 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	61,784 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	1,937,670 Fully paid ordinary shares

Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	101,754 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Carol Australia Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in Carol Australia Holdings Pty Limited.	41,377,663 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Carol Australia Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in Carol Australia Holdings Pty Limited.	10,844,308 Fully paid ordinary shares

#### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		145,398 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Morgan Stanley Australia Securities (Nominee) Pty Limited		101,754 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Unknown		15,017,532 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Unknown		1,999,454 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Avanteos Investments Limited		701 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Citibank N A Hong Kong		8,466,395 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Citicorp Nominees Pty Limited (Australia)		32,910,567 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Citibank N A Hong Kong		2,944,156 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	JP Morgan Chase Bank NA		6,031,694 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	JPMorgan (UK)		798,773 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	National Custodian Services		1,069,685 Fully paid ordinary shares

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	
See annexure B to this notice				

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

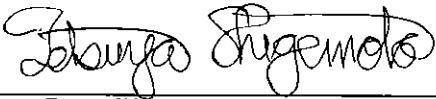
Name and ACN/ARSN (if applicable)	Nature of association
See annexure A to this notice	Each company referred to is an associate of Mitsubishi UFJ Financial Group, Inc. under section 12 of the Corporations Act

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

**8. Signature**



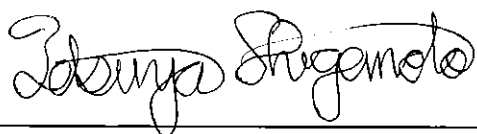
Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

Annexure A

This is annexure A of 5 pages referred to in Form 603, Notice of initial substantial holder dated 8 August 2019



Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

SCHEDULE

MUFG Bank, Ltd.  
Mitsubishi UFJ Trust and Banking Corporation  
Mitsubishi UFJ Securities Holdings Co., Ltd.  
MU Business Engineering, Ltd.  
The Mitsubishi UFJ Factors Limited  
Mitsubishi UFJ Jinji Service Co., Ltd.  
MU Techno-service Co., Ltd.  
Tokyo Credit Service, Ltd.  
Tokyo Associates Finance Corp.  
MU Business Service Co., Ltd.  
Mitsubishi UFJ Loan Business Co., Ltd.  
Mitsubishi UFJ Information Technology, Ltd.  
MU Center Service Tokyo Co., Ltd.  
MU Center Service Nagoya Co., Ltd.  
MU Center Service Osaka Co., Ltd.  
MU Business Aid Co., Ltd.  
MU Property Research Company Limited  
Mitsubishi UFJ Home Loan CREDIT CO., LTD.  
The Diamond Home Credit Company Limited  
Mitsubishi UFJ Research & Consulting Co., Ltd.  
MU Frontier Services Co., Ltd.  
Otemachi Guarantee Co., Ltd.  
GD Holdings Company Limited  
MU Loan Administration Support Co., Ltd.  
MU Communications Co., Ltd.  
Japan Electronic Monetary Claim Organization  
MU Business Partner Co., Ltd.  
MUT Business Outsourcing Co., Ltd.  
Mezzanine Solution II Limited Partnership  
Mezzanine Solution III Limited Partnership  
Mitsubishi UFJ Capital Co., Ltd.  
Mitsubishi UFJ Capital III, Limited Partnership  
Mitsubishi UFJ Capital IV, Limited Partnership  
Mitsubishi UFJ Capital V, Limited Partnership  
Mitsubishi UFJ Capital VI, Limited Partnership  
Mitsubishi UFJ Capital VII, Limited Partnership  
Mitsubishi UFJ Life Science 1, Limited Partnership  
Mitsubishi UFJ Life Science 2, Limited Partnership  
Tohoku Senary Industry Support, Limited Partnership  
OIDE Fund Investment Limited Partnership  
Mitsubishi UFJ Personal Financial Advisers Co., Ltd.  
Mitsubishi UFJ Financial Partners Co., Ltd.  
The Mitsubishi Asset Brains Company, Limited  
BOT Lease Co., Ltd.  
The Chukyo Bank, Ltd.  
Nippon Mutual Housing Loan Co., Ltd.  
JM Real Estate Co., Ltd.  
Jibun Bank Corporation  
JACCS CO., LTD.  
JALCARD Inc.  
Yume Shokei Fund No.2  
Yume Shokei Fund No.3  
Marunouchi Capital Fund II Limited Partnership  
M·U·Trust Sougou Kanri Co., Ltd.  
Mitsubishi UFJ Trust Business Co., Ltd.  
Ryoshin Data Co., Ltd.  
Mitsubishi UFJ Trust Systems Co., Ltd.  
Mitsubishi UFJ Trust Investment Technology Institute Co., Ltd.  
Mitsubishi UFJ Trust Hosyo Co., Ltd.  
M·U·Trust·Apple Planning Company, Ltd.  
Mitsubishi UFJ Real Estate Services Co., Ltd.

Mitsubishi UFJ Daiko Business Co., Ltd.  
 The Master Trust Bank of Japan, Ltd.  
 MU Investments Co., Ltd.  
 Japan Shareholder Services Ltd.  
 Mitsubishi UFJ Kokusai Asset Management Co., Ltd.  
 Tokumei-Kumiai (CPI)  
 Tokumei-Kumiai (GII)  
 Tokumei-Kumiai (Gate Bridge 1)  
 Tokumei-Kumiai (CENTOMILIARDO)  
 Tokumei-Kumiai (MEET)  
 Tokumei-Kumiai (FIELD)  
 Ippan Shadan Houjin Leone  
 Jointly Managed Monetary Trust Fund (Fund Number:550001)  
 MU Trust Property Management Co., Ltd.  
 Tokumei-Kumiai (RICE)  
 TOKKINGAI (Fund Number.900338)  
 TOKKINGAI (Fund Number.900327)  
 TOKKINGAI (Fund Number.900344)  
 AMP Capital Investors KK  
 MM Partnership  
 MUS Information Systems Co., Ltd.  
 MUS Business Service Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley PB Securities Co., Ltd.  
 kabu.com Securities Co., Ltd.  
 Morgan Stanley MUFG Securities Co., Ltd.  
 Mitsubishi UFJ NICOS Co., Ltd.  
 Card Business Service Co.,Ltd.  
 MU NICOS Business Service Co., Ltd.  
 MU NICOS Credit Co.,Ltd.  
 Ryoshin DC Card Company Ltd.  
 JMS Co., Ltd.  
 Paygent Co., Ltd.  
 JA Card Co., Ltd  
 ACOM CO., LTD.  
 IR Loan Servicing, Inc.  
 MU Credit Guarantee Co., Ltd.  
 Japan Digital Design, Inc.  
 Crowd Money Inc.  
 MUMEC Visionary Design, Ltd.  
 Global Open Network, Inc.  
 Global Open Network Japan, Inc.  
 MUFG Innovation Partners Co., Ltd.  
 MUFG Innovation Partners No.1 Investment Partnership  
 Mitsubishi Research Institute DCS Co.,Ltd.  
 HR Solution DCS Co.,Ltd.  
 Mitsubishi UFJ Lease & Finance Company Limited  
 Hitachi Capital Corporation  
 Nihombashi TG Jigyo Kyodo Kumiai  
 NIHOMBASHI TG SERVICE CO., LTD.  
 SHIN-NIHOMBASHI TSUSHO CO., LTD.  
 Solution Design Co.,Ltd.  
 Nippon Record Keeping Network Co., Ltd.  
 Banco MUFG Brasil S.A.  
 9808680 Canada Inc.  
 BTMU (Curacao) Holdings N.V.  
 MUFG Bank (Europe) N.V.  
 MUFG Business Services (Holland) B.V.  
 MUFG Funding (UK) Limited  
 MUFG Europe Lease (Deutschland) GmbH  
 MUFG Bank (Malaysia) Berhad  
 MUFG North America International, Inc.  
 MUFG Bank Mexico, S.A.  
 MUFG Nominees (HK) Limited  
 MUFG Nominees (UK) Limited  
 MUFG Americas Holdings Corporation  
 MUFG Union Bank, N.A.  
 Union Bank of California Leasing, Inc.  
 UBOC Community Development Corporation  
 UnionBanc Investment Services, LLC  
 Bankers Commercial Corporation  
 UnionBanCal Equities, Inc.  
 UnionBanCal Leasing Corporation  
 UnionBanCal Mortgage Corporation  
 Mills-Ralston, Inc.  
 HighMark Capital Management, Inc.  
 BCC OX I, Inc.  
 BCC OX II, Inc.  
 SEMA OP9 LLC

SEMA OP8 LLC  
 MORGANTOWN OL6 LLC  
 MORGANTOWN OL7 LLC  
 MORGANTOWN OL5 LLC  
 DICKERSON OL4 LLC  
 TRL One A, LLC  
 TRL One B, LLC  
 TRL One, LP  
 BM1,LLC  
 UB Leasing Corporation  
 Pacific Capital Statutory Trust I  
 Shiloh IV Wind Project, LLC  
 Shiloh IV Holdings Lessor Trust  
 Green Union I Trust  
 Green Union II Trust  
 Green Union III Trust  
 Tohlease Corporation  
 MUFG Americas Funding Corporation  
 MUFG Americas Financial & Leasing Corporation B-4  
 U.B. Vehicle Leasing, Inc.  
 MUFG Americas Leasing Corporation  
 MUFG Americas Financial Services, Inc.  
 MUFG Americas Leasing (Canada) Corporation  
 MUFG Americas Leasing & Finance, Inc.  
 MUFG Americas Capital Leasing & Finance, LLC  
 MUFG Americas Corporate Advisory, Inc.  
 MUFG Americas LF Capital LLC  
 MUFG Americas Capital Company  
 MUFG Fund Services (USA) LLC  
 MUFG Securities Americas Inc.  
 MUFG Capital Analytics LLC  
 MUFG Investor Services (US), LLC  
 Intrepid Investment Bankers LLC  
 Southern California Business Development Corporation  
 Catalina Solar Holdings Lessor Trust  
 Catalina Solar, LLC  
 Morgan Stanley MUFG Loan Partners, LLC  
 PT U Finance Indonesia  
 PT. MU Research and Consulting Indonesia  
 MU Research and Consulting (Thailand) Co., Ltd.  
 MUFG Participation (Thailand) Co., Ltd.  
 AO MUFG Bank (Eurasia)  
 MUFG Bank (China), Ltd.  
 BTMU Preferred Capital 8 Limited  
 BTMU Preferred Capital 9 Limited  
 BTMU Liquidity Reserve Investment Limited  
 MUFG Bank Turkey Anonim Sirketi  
 Bank of Ayudhya Public Company Limited  
 Krungsri Ayudhya AMC Limited  
 Krungsri Factoring Company Limited  
 Ayudhya Development Leasing Company Limited  
 Ayudhya Capital Auto Lease Public Company Limited  
 Krungsriayudhya Card Company Limited  
 General Card Services Limited  
 Ayudhya Capital Services Company Limited  
 Krungsri General Insurance Broker Limited  
 Krungsri Life Assurance Broker Limited  
 Krungsri Asset Management Company Limited  
 Total Services Solutions Public Company Limited  
 Ngern Tid Lor Company Limited  
 Krungsri Securities Public Company Limited  
 Siam Realty and Services Security Co.,Ltd.  
 Krungsri Leasing Services Co., Ltd.  
 Hattha Kaksekar Limited  
 Krungsri Finnovate Co., Ltd.  
 Tesco Card Services Limited  
 BTMU Liquidity Reserve Investment 2 Limited  
 BTMU Liquidity Reserve Investment 3 Limited  
 PT Guna Dharma  
 PT Bank Danamon Indonesia, Tbk.  
 PT Adira Quantum Multifinance  
 PT Adira Dinamika Multi Finance Tbk  
 PT Asuransi Adira Dinamika  
 BOT Lease (HK) Co., Ltd.  
 PT Bumiputera - BOT Finance  
 BOT Lease Holding Philippines, Inc.  
 BOT Lease and Finance, Philippines, Inc.  
 BOT Lease (Thailand) Co., Ltd.  
 MUFG Holding (Thailand) Co., Ltd.

Bangkok MUFG Limited  
 BOT Lease(Eurasia)LLC  
 BOT Lease (Tianjin) Co., Ltd.  
 BOTL Factoring (Shanghai) Co., Ltd.  
 BOT LEASE MEXICO S.A. DE C.V.  
 BOT FINANCE MEXICO, S.A. DE C.V., SOFOM, E.N.R.  
 GOLDEN ASIA FUND VENTURES LTD.  
 Lakefield Wind Project OP Trust  
 Lakefield Wind Project, LLC  
 Pacwind Holdings Lessor Trust  
 Pacific Wind, LLC  
 Vietnam Joint Stock Commercial Bank for Industry and Trade  
 GOLDEN ASIA FUND II, L.P.  
 Security Bank Corporation  
 Mitsubishi UFJ Trust International Limited  
 Mitsubishi UFJ Baillie Gifford Asset Management Limited  
 Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A.  
 MUFG Lux Management Company S.A.  
 Mitsubishi UFJ Investment Services (HK) Limited  
 Mitsubishi UFJ Asset Management (UK) Ltd.  
 LUX J1 FUND  
 MUFG Investor Services Holdings Limited  
 MUFG Fund Services (Bermuda) Limited  
 MUFG Fund Services (Cayman) Limited  
 MUFG Fund Services (Cayman) Group Limited  
 MUFG Fund Services (Ireland) Limited  
 Fund Secretaries Limited  
 MUFG Fund Services Limited  
 MUFG Fund Services (Canada) Limited  
 MUFG Fund Services (UK) Limited  
 MUFG Fund Services (Halifax) Limited  
 MUFG Fund Services (Singapore) Pte. Ltd.  
 MUFG Fund Services (Hong Kong) Limited  
 MUFG Alternative Fund Services (Cayman) Limited  
 Firtown International Holdings Ltd.  
 General Secretaries Ltd.  
 AFS Controlled Subsidiary 1 Ltd.  
 AFS Controlled Subsidiary 2 Ltd.  
 AFS Controlled Subsidiary 3 Ltd.  
 MUFG Alternative Fund Services (Ireland) Limited  
 MUFG Alternative Fund Services (Jersey) Limited  
 MUFG Jersey Management Company Limited  
 MUFG Controlled Subsidiary 1 (Cayman) Limited  
 SWS MU FUND MANAGEMENT CO.,LTD.  
 SWSMU (SHANGHAI) ASSETS MANAGEMENT COMPANY LIMITED  
 AMP Capital Holdings Limited  
 MUFG Global Fund SICAV  
 MUFG Securities EMEA plc  
 MUFG Securities (Europe) N.V.  
 MUFG Securities Asia (Singapore) Limited  
 MUFG Securities Asia Limited  
 Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.  
 MUFG Securities (Canada), Ltd.  
 EASY BUY Public Company Limited  
 ACOM CONSUMER FINANCE CORPORATION  
 MUFG Capital Finance 8 Limited  
 MUFG Capital Finance 9 Limited  
 GOLDEN ASIA FUND, L.P.  
 DCS Information Technology (Shanghai) Co., Ltd  
 MRIDCS Americas, Inc.  
 Morgan Stanley  
 Purple Finance (Cayman) International Ltd.  
 Bangkok Mitsubishi UFJ Lease Co., Ltd  
 The California-Sansome Corporation  
 CAROL AUSTRALIA HOLDINGS PTY LIMITED (ACN 630 725 558)  
 CFSGAM IP HOLDINGS PTY LIMITED (ACN 625 765 399)  
 CFSGAM SERVICES PTY LTD (ACN 624 305 595)  
 CFSPAI EUROPE CO LIMITED (COMPANY CODE C41267)  
 CFSPAI EUROPE HOLDCO LIMITED (COMPANY CODE C41260)  
 COLONIAL FIRST STATE ASSET MANAGEMENT (AUSTRALIA) LIMITED (ACN 114 194 311)  
 COLONIAL FIRST STATE INFRASTRUCTURE HOLDINGS LIMITED (ACN 085 313 926)  
 COLONIAL FIRST STATE INFRASTRUCTURE MANAGERS (AUSTRALIA) PTY LTD (ACN 101 384 294)  
 COLONIAL FIRST STATE INVESTMENT MANAGERS (UK) LIMITED (COMPANY NO. 00153197)  
 COLONIAL FIRST STATE MANAGED INFRASTRUCTURE LIMITED (ACN 006 464 428)  
 EDIF II GP S.A.R.L. (COMPANY NO. B204413)  
 FIRST STATE EUROPEAN DIVERSIFIED INFRASTRUCTURE S.A.R.L. (COMPANY NO. B134314)  
 FIRST STATE INFRASTRUCTURE MANAGERS (INTERNATIONAL) LIMITED (COMPANY NO. 298444)  
 FIRST STATE INVESTMENT MANAGEMENT (UK) LIMITED (COMPANY NO. SC047708)  
 FIRST STATE INVESTMENT MANAGERS (ASIA) LIMITED (ACN 054 571 701)

FIRST STATE INVESTMENT SERVICES (UK) LIMITED (COMPANY NO. 03904320)  
FIRST STATE INVESTMENTS (HONG KONG) LIMITED (CR NO. 0206616)  
FIRST STATE INVESTMENTS (IRELAND) LIMITED (COMPANY NO. 629188)  
FIRST STATE INVESTMENTS (JAPAN) LIMITED (COMPANY NUMBER 0104-01-093090)  
FIRST STATE INVESTMENTS (SINGAPORE) (COMPANY NO. 02294743)  
FIRST STATE INVESTMENTS (UK HOLDINGS) LIMITED (COMPANY NO. 03904310)  
FIRST STATE INVESTMENTS (UK) LIMITED (COMPANY NO. 02294743)  
FIRST STATE INVESTMENTS (US) LLC (FILE NUMBER 546 9442)  
FIRST STATE INVESTMENTS FUND MANAGEMENT S.A.R.L (COMPANY NO. B128117)  
FIRST STATE INVESTMENTS HOLDINGS (SINGAPORE) LIMITED (REGISTRATION NO. 199901706Z)  
FIRST STATE INVESTMENTS INTERNATIONAL LIMITED (COMPANY NO. SC079063)  
FIRST STATE NOMINEES (HONG KONG) LIMITED (CR NO. 0206615)  
FSIB LTD  
REALINDEX INVESTMENTS PTY LIMITED (ACN 133 312 017)  
SI HOLDINGS LIMITED (COMPANY NO. SC109439)  
TOTAL KEEN INVESTMENT LIMITED (CR NO. 0580652)



**Annexure B**

This is annexure B of 74 pages referred to in Form 603, Notice of initial substantial holder dated 8 August 2019



Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

This disclosure is being made as a consequence of Mitsubishi UFJ Trust and Banking Corporation, a consolidated subsidiary of Mitsubishi UFJ Financial Group, Inc., completing its acquisition of the global asset management business of Colonial First State Global Asset Management, and aggregates the trade data in respect of Mitsubishi UFJ Financial Group, Inc., Carol Australia Holdings Pty Limited and each of their respective associates.

Holder of relevant Interest	Date of acquisition	Consideration cash	Consideration non-cash	Class and number of securities affected
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	13056.73	N/A	15,731 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1356.23	N/A	1,659 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1836.96	N/A	2,136 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1412.88	N/A	1,682 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	877.15	N/A	1,060 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	11039.09	N/A	13,181 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	4056.01	N/A	4,843 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	99.68	N/A	120 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	5633.86	N/A	6,727 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	141.93	N/A	171 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	601.59	N/A	727 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	324.72	N/A	396 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	20935.92	N/A	25,224 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	2664.3	N/A	3,210 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	3614.35	N/A	4,362 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1199.05	N/A	1,449 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1841.28	N/A	2,192 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1301.77	N/A	1,527 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	17.93	N/A	22 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	22.01	N/A	27 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1146.75	N/A	1,390 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	66.3	N/A	78 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1701.5	N/A	2,075 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1299.97	N/A	1,516 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	73.48	N/A	88 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	5219	N/A	6,140 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	12694.03	N/A	15,318 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1088.01	N/A	1,303 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	8858.03	N/A	10,737 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1419.83	N/A	1,721 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	5823.34	N/A	6,995 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	7714.78	N/A	9,323 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	2300.92	N/A	2,806 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1340.64	N/A	1,596 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	3641.36	N/A	4,374 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1412.84	N/A	1,672 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	10014.78	N/A	12,066 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1292.58	N/A	1,548 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	596.48	N/A	723 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	2077.66	N/A	2,502 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	273.9	N/A	331 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	1766.28	N/A	2,154 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	202.94	N/A	249 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	2361.35	N/A	2,845 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	21064.64	N/A	24,637 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	850.3	N/A	983 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	206.64	N/A	252 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	431.24	N/A	518 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	4990.13	N/A	5,923 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	836.66	N/A	1,005 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	896.28	N/A	1,067 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	2494.15	N/A	2,987 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	505.31	N/A	591 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	3202.83	N/A	3,746 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	348.01	N/A	427 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	26.08	N/A	32 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	5914.91	N/A	7,105 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	24245.76	N/A	29,568 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	5596.69	N/A	6,743 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	994.83	N/A	1,188 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	4940.99	N/A	5,953 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	386.75	N/A	455 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	92.66	N/A	113 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	602.49	N/A	713 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	189.42	N/A	231 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	3019.5	N/A	3,660 Ordinary Shares

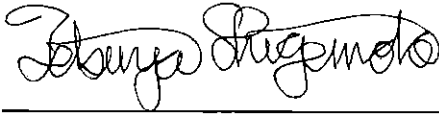
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	458.81	N/A	946 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	2145.98	N/A	4,402 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	1650.63	N/A	3,421 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	1675.8	N/A	3,420 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	5389.02	N/A	10,998 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	73.99	N/A	151 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	7644.98	N/A	15,682 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	255.94	N/A	525 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	1277.49	N/A	2,634 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	1107.11	N/A	2,271 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	1460.06	N/A	2,995 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	944.74	N/A	1,958 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	366.11	N/A	751 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	48.75	N/A	100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	48.75	N/A	100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	N/A	Borrow by an entity controlled by Morgan Stanley - see annexure C	937,111 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	49	N/A	100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	7831	N/A	16,400 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	49	N/A	100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	14417.28	N/A	30,036 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	2322.56	N/A	4,864 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	N/A	Borrow by an entity controlled by Morgan Stanley - see annexure C	74,093 Ordinary Shares

Carol Australia Holdings Pty Limited	4/04/2019	83,142.29	N/A	107,977 Ordinary shares
Carol Australia Holdings Pty Limited	10/04/2019	40,720.00	N/A	50,000 Ordinary shares
Carol Australia Holdings Pty Limited	24/04/2019	223,766.29	N/A	335,532 Ordinary shares
Carol Australia Holdings Pty Limited	24/04/2019	340,800.00	N/A	500,000 Ordinary shares
Carol Australia Holdings Pty Limited	24/04/2019	26,321.21	N/A	39,468 Ordinary shares
Carol Australia Holdings Pty Limited	24/04/2019	852,000.00	N/A	1,250,000 Ordinary shares
Carol Australia Holdings Pty Limited	26/04/2019	21,380.78	N/A	31,605 Ordinary shares
Carol Australia Holdings Pty Limited	26/04/2019	181,569.22	N/A	268,395 Ordinary shares
Carol Australia Holdings Pty Limited	6/05/2019	491,391.35	N/A	768,039 Ordinary shares
Carol Australia Holdings Pty Limited	15/05/2019	62,429.73	N/A	98,361 Ordinary shares
Carol Australia Holdings Pty Limited	15/05/2019	52,024.45	N/A	81,967 Ordinary shares
Carol Australia Holdings Pty Limited	16/05/2019	49,745.98	N/A	79,365 Ordinary shares
Carol Australia Holdings Pty Limited	16/05/2019	59,695.18	N/A	95,238 Ordinary shares
Carol Australia Holdings Pty Limited	27/05/2019	102,387.78	N/A	155,133 Ordinary shares
Carol Australia Holdings Pty Limited	30/05/2019	25,060.46	N/A	40,161 Ordinary shares

Carol Australia Holdings Pty Limited	30/05/2019	102,245.52	N/A	163,855 Ordinary shares
Carol Australia Holdings Pty Limited	30/05/2019	31,074.58	N/A	49,799 Ordinary shares
Carol Australia Holdings Pty Limited	30/05/2019	88,596.60	N/A	147,661 Ordinary shares
Carol Australia Holdings Pty Limited	31/05/2019	102,016.37	N/A	164,516 Ordinary shares
Carol Australia Holdings Pty Limited	31/05/2019	26,003.89	N/A	41,935 Ordinary shares
Carol Australia Holdings Pty Limited	31/05/2019	31,005.00	N/A	50,000 Ordinary shares
Carol Australia Holdings Pty Limited	6/06/2019	311,910.19	N/A	513,940 Ordinary shares
Carol Australia Holdings Pty Limited	25/06/2019	101,009.59	N/A	192,840 Ordinary shares
Carol Australia Holdings Pty Limited	25/06/2019	28,706.34	N/A	54,804 Ordinary shares
Carol Australia Holdings Pty Limited	25/06/2019	36,132.77	N/A	68,982 Ordinary shares
Carol Australia Holdings Pty Limited	27/06/2019	103,232.10	N/A	210,463 Ordinary shares
Carol Australia Holdings Pty Limited	27/06/2019	22,195.13	N/A	45,250 Ordinary shares
Carol Australia Holdings Pty Limited	27/06/2019	206,464.69	N/A	420,927 Ordinary shares
Carol Australia Holdings Pty Limited	27/06/2019	11,871.57	N/A	24,203 Ordinary shares
Carol Australia Holdings Pty Limited	1/07/2019	243,639.78	N/A	527,473 Ordinary shares
Carol Australia Holdings Pty Limited	4/07/2019	214,645.42	N/A	448,018 Ordinary shares
Carol Australia Holdings Pty Limited	8/07/2019	49,381.16	N/A	108,889 Ordinary shares
Carol Australia Holdings Pty Limited	11/07/2019	46,335.98	N/A	99,819 Ordinary shares
Carol Australia Holdings Pty Limited	17/07/2019	15,042.74	N/A	35,714 Ordinary shares
Carol Australia Holdings Pty Limited	17/07/2019	14,039.86	N/A	33,333 Ordinary shares
Carol Australia Holdings Pty Limited	17/07/2019	22,062.88	N/A	52,381 Ordinary shares
Carol Australia Holdings Pty Limited	18/07/2019	704,668.42	N/A	1,720,802 Ordinary shares
Carol Australia Holdings Pty Limited	18/07/2019	503,313.99	N/A	1,229,094 Ordinary shares
Carol Australia Holdings Pty Limited	22/07/2019	14,466.28	N/A	35,950 Ordinary shares
Carol Australia Holdings Pty Limited	26/07/2019	374,912.18	N/A	839,293 Ordinary shares
Carol Australia Holdings Pty Limited	26/07/2019	965,187.82	N/A	2,160,707 Ordinary shares
Carol Australia Holdings Pty Limited	29/07/2019	387,059.27	N/A	797,567 Ordinary shares
Carol Australia Holdings Pty Limited	29/07/2019	150,347.40	N/A	309,803 Ordinary shares

**Annexure C**

This is annexure C of 6 pages referred to in Form 603, Notice of initial substantial holder dated 8 August 2019



Tetsuya Shigemoto      Authorised signatory      Dated 8 August 2019

The below schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.

Schedule	
Type of Agreement	Global Master Securities Lending Agreement
Parties to agreement	Morgan Stanley & Co. International plc and CITIBANK NA
Transfer Date	20190506; 20190507; 20190508; 20190510; 20190513; 20190514; 20190516; 20190517; 20190520; 20190523; 20190524; 20190527; 20190528; 20190529; 20190530; 20190604; 20190725; 20190726; 20190729; 20190730; 20190731; 20190801; 20190802;
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights?	Yes/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail The Borrower is entitled at any time to terminate a Loan and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.	
Does the lender have the right to recall early?	Yes/No
If yes, detail The Lender is entitled to terminate a Loan and to call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the standard settlement time for such Equivalent Securities on the exchange or in the clearing organisation through which the Loaned Securities were originally delivered.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions If the Borrower does not redeliver Equivalent Securities in accordance with the Agreement, the Lender may by written notice to Borrower terminate the Loan forthwith and the Parties' delivery and payment obligations in respect thereof.	

Schedule	
Type of Agreement	Overseas Securities Lender's Agreement
Parties to agreement	Morgan Stanley & Co. International plc and JPMORGAN CHASE BANK, N.A.
Transfer Date	20190731; 20190801; 20190802;
Holder of Voting Rights	Each Party undertakes that where it holds securities of the same description as any securities borrowed by it or transferred to it by way of collateral at a time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the Lender or Borrower (as the case may be).
Are there any restrictions on voting rights?	Yes/No
If yes, detail As stated above.	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail The Borrower is entitled at any time to terminate a particular loan of Securities and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.	
Does the lender have the right to recall early?	Yes/No

If yes, detail The Lender may call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the standard settlement time for such Equivalent Securities on the exchange or in the clearing organisation through which the relevant borrowed Securities were originally delivered. The Borrower shall redeliver such Equivalent Securities not later than the expiry of such notice in accordance with the Lender's instructions.

Will the securities be returned on settlement?	Yes/No
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If yes, detail any exceptions If an Event of Default occurs in relation to either Party, the Parties' delivery and payment obligations shall be accelerated so as to require performance thereof at the time such Event of Default occurs. In such event the Relevant Value of the Securities to be delivered by each Party shall be established in accordance with the Agreement and on the basis of the Relevant Values so established, the sums due from one Party shall be set-off against the sums due from the other and only the balance of the account shall be payable.

**Schedule**

Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and ATLANTIC ABSOLUTE RETURN FUND
Transfer Date	20190802;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

**Schedule**

Type of Agreement	Customer Prime Broker Account Agreement
Parties to agreement	Morgan Stanley & Co. LLC on behalf of all Morgan Stanley entities and customer LOCKHEED MARTIN CORPORATION MASTER RETIREMENT TRUST
Transfer Date	20190729;
Holder of Voting Rights	Morgan Stanley
Are there any restrictions on voting rights?	No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have	Yes
If yes, detail	Morgan Stanley may return rehypothecated shares at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	The customer may recall shares from Morgan Stanley at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	In the ordinary course of business, securities will be returned to customers. Upon a customer Event of Default, Morgan Stanley has the right to set off obligations owed to the customer against obligations of the customer to Morgan Stanley and to foreclose on any collateral, including rehypothecated securities, for the purpose of arriving at a single closeout amount. In such a default scenario, Morgan Stanley may do an actual or deemed sale of the rehypothecated securities.

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and AUSBIL INVESTMENT MANAGEMENT LIMITED AS RESPONSIBLE ENTITY FOR AUSBIL GLOBAL RESOURCES FUND
Transfer Date	20190802;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

Schedule	
Type of Agreement	Customer Prime Broker Account Agreement
Parties to agreement	Morgan Stanley & Co. LLC on behalf of all Morgan Stanley entities and customer BRIGHTSPHERE INVESTMENT FUNDS LLC-ACADIAN ALL COUNTRY WORLD EX US LONG-SHORT FUND
Transfer Date	20190729,
Holder of Voting Rights	Morgan Stanley
Are there any restrictions on voting rights?	No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes
If yes, detail	Morgan Stanley may return rehypothecated shares at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	The customer may recall shares from Morgan Stanley at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	In the ordinary course of business, securities will be returned to customers. Upon a customer Event of Default, Morgan Stanley has the right to set off obligations owed to the customer against obligations of the customer to Morgan Stanley and to foreclose on any collateral, including rehypothecated securities, for the purpose of arriving at a single closeout amount. In such a default scenario, Morgan Stanley may do an actual or deemed sale of the rehypothecated securities.

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and CERES CAPITAL PTY LTD
Transfer Date	20190802;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No

If yes, detail Prime broker may return shares which were rehypothecated from the client at any time.	
Does the lender have the right to recall early?	Yes/No
If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and IFM ASIA-PACIFIC MARKET NEUTRAL MASTER FUND LTD.
Transfer Date	20190801;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have	Yes/No

If yes, detail Prime broker may return shares which were rehypothecated from the client at any time.	
Does the lender have the right to recall early?	Yes/No
If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and TASMAN MARKET NEUTRAL FUND
Transfer Date	20190802;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have	Yes/No

If yes, detail Prime broker may return shares which were rehypothecated from the client at any time.	
Does the lender have the right to recall early?	Yes/No
If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement



Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and IFM INVESTORS (NOMINEES) LIMITED AS TRUSTEE FOR IFM ASIA-PACIFIC MARKET NEUTRAL WHOLESALE FUND
Transfer Date	20190802
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

Schedule	
Type of Agreement	Customer Prime Broker Account Agreement
Parties to agreement	Morgan Stanley & Co. LLC on behalf of all Morgan Stanley entities and customer BOGLE OPPORTUNITY FUND II, LP
Transfer Date	20190701; 20190729; 20190730; 20190731; 20190801;
Holder of Voting Rights	Shares are used to settle customer's short sales. Voting rights are held by third party purchaser.
Are there any restrictions on voting rights?	No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have	Yes
If yes, detail	The borrower may return shares to the lender at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	The lender may recall shares from the borrower at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	In the ordinary course of business, customer will return the securities to the prime broker. Upon a customer Event of Default, Morgan Stanley has the right to set off obligations owed to the customer against obligations of the customer to Morgan Stanley and to foreclose on any collateral for the purpose of arriving at a single closeout amount. In such a default scenario, the shares may not be returned to the prime broker.

Schedule	
Type of Agreement	Customer Prime Broker Account Agreement
Parties to agreement	Morgan Stanley & Co. LLC on behalf of all Morgan Stanley entities and customer BOGLE OPPORTUNITY FUND II SRI, L.P.
Transfer Date	20190701; 20190729; 20190730; 20190731; 20190801;
Holder of Voting Rights	Shares are used to settle customer's short sales. Voting rights are held by third party purchaser.
Are there any restrictions on voting rights?	No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes
If yes, detail	The borrower may return shares to the lender at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	The lender may recall shares from the borrower at any time.

Will the securities be returned on settlement?	Yes
If yes, detail any exceptions In the ordinary course of business, customer will return the securities to the prime broker. Upon a customer Event of Default, Morgan Stanley has the right to set off obligations owed to the customer against obligations of the customer to Morgan Stanley and to foreclose on any collateral for the purpose of arriving at a single closeout amount. In such a default scenario, the shares may not be returned to the prime broker.	

Schedule	
Type of Agreement	Customer Prime Broker Account Agreement
Parties to agreement	Morgan Stanley & Co. LLC on behalf of all Morgan Stanley entities and customer GEODE DIVERSIFIED FUND, A SEGREGATED ACCOUNT OF GEODE CAPITAL MASTER FUND LTD.
Transfer Date	20190509; 20190510; 20190513; 20190514; 20190515; 20190528; 20190529; 20190531; 20190604; 20190605; 20190606; 20190607; 20190612; 20190617; 20190618; 20190619; 20190620; 20190621; 20190624; 20190702; 20190704; 20190705; 20190708; 20190802;
Holder of Voting Rights	Shares are used to settle customer's short sales. Voting rights are held by third party purchaser.
Are there any restrictions on voting rights?	No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes
If yes, detail The borrower may return shares to the lender at any time.	
Does the lender have the right to recall early?	Yes
If yes, detail The lender may recall shares from the borrower at any time.	
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions In the ordinary course of business, customer will return the securities to the prime broker. Upon a customer Event of Default, Morgan Stanley has the right to set off obligations owed to the customer against obligations of the customer to Morgan Stanley and to foreclose on any collateral for the purpose of arriving at a single closeout amount. In such a default scenario, the shares may not be returned to the prime broker.	

Schedule	
Type of Agreement	Customer Prime Broker Account Agreement
Parties to agreement	Morgan Stanley & Co. LLC on behalf of all Morgan Stanley entities and customer GEODE GLOBAL DYNAMIC MARKET NEUTRAL FUND, A SEGREGATED ACCOUNT OF GEODE CAPITAL MASTER FUND LTD.
Transfer Date	20190509; 20190510; 20190513; 20190514; 20190515; 20190528; 20190529; 20190531; 20190604; 20190605; 20190606; 20190607; 20190612; 20190617; 20190618; 20190619; 20190620; 20190621; 20190624; 20190702; 20190704; 20190705; 20190708; 20190802;
Holder of Voting Rights	Shares are used to settle customer's short sales. Voting rights are held by third party purchaser.
Are there any restrictions on voting rights?	No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes
If yes, detail The borrower may return shares to the lender at any time.	
Does the lender have the right to recall early?	Yes
If yes, detail The lender may recall shares from the borrower at any time.	
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions In the ordinary course of business, customer will return the securities to the prime broker. Upon a customer Event of Default, Morgan Stanley has the right to set off obligations owed to the customer against obligations of the customer to Morgan Stanley and to foreclose on any collateral for the purpose of arriving at a single closeout amount. In such a default scenario, the shares may not be returned to the prime broker.	