

# Fax

Group Compliance, Regulatory &  
Governance

4 September 2019

**to** Nick Scali Limited  
Level 7, Trinity 2,39 Delhi Road,  
North RYDE, NSW,  
AUSTRALIA,2113

+61 2 9748 4022

**cc** Australia Stock Exchange  
Exchange Centre,  
20 Bridge Street,  
Sydney, NSW, 2000

**subject** **Substantial holding disclosure – Nick Scali Limited ("NCK")**

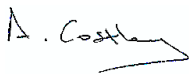
Dear Sir / Madam

UBS AG, Australia Branch ("**UBS**") entered into an agreement with respect to underwriting a secondary block ("**Block Trade Agreement**") of ordinary shares in NCK on 2 September 2019. Through the operation of section 608(8) of the Corporations Act 2001 (Cth), UBS has obtained a relevant interest in approximately **14.77%** of NCK's ordinary shares.

Attached to this letter is UBS's substantial holder notice that has been lodged with the ASX containing details of this relevant interest (including a copy of the Block Trade Agreement).

Yours sincerely,

UBS Group AG



Andrew Costley  
Group Compliance, Regulatory & Governance



Minsoo Ha  
Group Compliance, Regulatory & Governance

The information contained in this facsimile message is confidential information intended only for the individual or entity named herein. If the reader of this information is not the named recipient, you are hereby notified that any dissemination, distribution or copy of this facsimile is strictly prohibited. If you have received this facsimile in error, please immediately contact us by telephone and return the original message to us at the above address. Thank you.

# Form 603

Corporations Law  
Section 671B

## Notice of initial substantial holder

To: Company Name/Scheme **NICK SCALI LIMITED**

ACN/ARSN **000 403 896**

### 1. Details of substantial holder (1)

Name: **UBS Group AG and its related bodies corporate**

ACN/ARSN (if applicable)

The holder became a substantial holder on: **2 September 2019**

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Ordinary	11,964,608	11,964,608	14.77%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities	
UBS Asset Management (Australia) Ltd	Fund Manager with power to exercise control over voting shares	436,278	Ordinary
UBS Securities Australia Ltd	Beneficial Owner	305,039	Ordinary
UBS AG London Branch	Prime Broker that has exercised its borrowing right in respect of shares pursuant to a Prime Broking Agreement (see Appendix A)	183,818	Ordinary
UBS AG, Australia Branch	Relevant interest in Nick Scali Limited shares to the extent arising under the terms of the Block Trade Agreement ("BTA") between UBS AG, Australia Branch and KUKA Investment and Management Co., Limited to the extent arising under section 608(8) of the Corporations Act 2001 (Cth). (See Appendix B)	11,039,473	Ordinary

#### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities	
UBS Asset Management (Australia) Ltd	Various Custodians	UBS Asset Management (Australia) Ltd	436,278	Ordinary
UBS Securities Australia Ltd	Brispot Nominees Pty Ltd	UBS Securities Australia Ltd	305,039	Ordinary
UBS AG London Branch	Citicorp Nominees Pty Ltd	UBS AG London Branch	183,818	Ordinary
UBS AG, Australia Branch	KUKA Investment and Management Co., Limited	KUKA Investment and Management Co., Limited	11,039,473	Ordinary

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Please see Appendix C.				

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
UBS Asset Management (Australia) Ltd	Related body corporate
UBS Securities Australia Ltd	Related body corporate
Brispot Nominees Pty Ltd	Related body corporate
UBS AG London Branch	Related body corporate
UBS AG, Australia Branch	Related body corporate

#### 7. Addresses


The addresses of persons named in this form are as follows:

Name	Address
UBS Asset Management (Australia) Ltd	Level 16, Chifley Tower, 2 Chifley Square, Sydney, Australia
UBS Securities Australia Ltd	Level 16, Chifley Tower, 2 Chifley Square, Sydney, Australia
Brispot Nominees Pty Ltd	Level 16, Chifley Tower, 2 Chifley Square, Sydney, Australia
UBS AG London Branch	5 Broadgate, London, United Kingdom
UBS AG, Australia Branch	Level 16, Chifley Tower, 2 Chifley Square, Sydney, Australia

**SIGNATURE**

Print Name: Andrew Costley


Capacity: Authorised signatory

Sign Here: 

Date: 4 September 2019

Print Name: Minsoo Ha

Capacity: Authorised signatory

Sign Here: 

Date: 4 September 2019

---

Contact details for this notice:

-----  
Andrew Costley  
Group Compliance, Regulatory & Governance  
(T) + 852 3712 3707  
-----

<b>Holder of relevant interest</b>	<b>UBS AG, London Branch</b>
<b>Type of agreement</b>	Prime Brokerage Agreement
<b>Parties to agreement</b>	(i) UBS AG, London Branch ("UBS AG")  (ii) Client (Please refer to Appendix A-1.)
<b>Transfer date</b>	Please refer to Appendix A-1.
<b>Holder of voting rights</b>	UBS AG
<b>Are there any restrictions on voting rights?</b>	No.
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	None.
<b>Does the borrower have the right to return early?</b>	Yes.
If yes, detail	The borrower (i.e. UBS AG) has the right to return at its discretion.
<b>Does the lender have the right to recall early?</b>	Yes.
If yes, detail	The lender (i.e. Client) can recall at its request subject to compliance with margin requirements and the terms of the relevant loan.
<b>Will the securities be returned on settlement?</b>	Yes.
If yes, detail any exceptions	None.

**Prime Brokerage Agreement - UBS AG, London Branch**

<b>Parties to agreement</b>	<b>Transfer date</b>
S2 Asia Pacific Fund LP	(i) 7 March 2019 (ii) 6 August 2019
CC&LQ 140/40 Fund	(i) 22 August 2019 (ii) 30 August 2019



UBS AG, Australia Branch  
 ABN 47 088 129 613  
 AFSL 231087  
 Level 16, Chifley Tower  
 2 Chifley Square  
 Sydney NSW 2000  
 Telephone: 61 2 9324 2000  
 Facsimile: 61 2 9324 2558

## COMMERCIAL-IN CONFIDENCE

2 September 2019

KUKA Investment and Management Co., Limited  
 Room A 11/F Yam Tze Commercial Building  
 23 Thomson Road, Wan Chai, Hong Kong

Dear Sirs

### Sale of Securities in Nick Scali Limited

#### 1. Introduction

This agreement sets out the terms and conditions upon which KUKA Investment and Management Co., Limited (Company Number 2637211) (**Vendor**) engages UBS AG, Australia Branch (ABN 47 088 129 613) (**Lead Manager**) to dispose of 11,039,473 existing fully paid ordinary shares in Nick Scali Limited (ASX:NCK) (**Company**) held by the Vendor (**Sale Securities**) (**Sale**) and the Lead Manager agrees to manage the sale of the Sale Securities and to underwrite the Sale in accordance with the terms of this agreement.

#### 2. Sale of securities

##### 2.1 Sale

The Vendor agrees to sell the Sale Securities and the Lead Manager, either itself or through an Affiliate (as defined in clause 9.5), agrees to:

- (a) manage the sale of the Sale Securities by procuring purchasers for the Sale Securities at the price of A\$6.65 per Sale Security (**Sale Price**). Purchasers may include the Lead Manager's related companies and Affiliates and may be determined by the Lead Manager in its discretion; and
- (b) to underwrite and guarantee the sale of all of the Sale Securities by purchasing at the Sale Price per Sale Security all of the Sale Securities which have not been purchased by third party purchasers (or the Lead Manager's related bodies corporate or Affiliates) in accordance with clause 2.1(a) as at 9.45am on the Trade Date specified in the Timetable in Schedule 1 (or such other time as the parties agree in writing) (**Balance Securities**),

in accordance with the terms of this agreement.

## 2.2 Restricted Securities

Notwithstanding anything else in this agreement, where the acquisition of some or all of the Balance Securities by the Lead Manager is prohibited or restricted by the application of the takeover provisions in the Corporations Act 2001 (Cth) (**Corporations Act**) or would require the Lead Manager or an Affiliate of the Lead Manager to give a notice to either the Treasurer under section 81 of the Foreign Acquisitions and Takeovers Act 1975 (Cth) (**FATA**) or the Australian Communications and Media Authority under sections 74F, 74G or 74H of the Broadcasting Services Act 1992 (Cth) (**BSA**), the Vendor and the Lead Manager agree that:

- (a) the Vendor shall retain such number of Balance Securities he is required to retain in order to prevent the breach or occurrence of the notifiable action (as appropriate) (**Restricted Securities**) and the Lead Manager shall advise the Vendor of the number of Restricted Securities;
- (b) the Lead Manager must still comply with its obligations to pay to the Vendor the amount provided under clause 2.4 but the portion of that amount that is equal to the number of any Restricted Securities multiplied by the Sale Price will be provided to the Vendor as an interest free loan (**Advance Amount**);
- (c) the Vendor is only required to repay the Advance Amount from and to the extent he receives or is entitled to receive proceeds from the sale of the Restricted Securities under this clause 2.2, and the Vendor is not responsible for any shortfall in repayment from the process of the sale of the Restricted Securities and the Lead Manager will bear the loss arising from any such shortfall;
- (d) the Lead Manager must procure purchasers for any Restricted Securities as agent for the Vendor in the ordinary course of the Lead Manager's business prior to 7.00pm on the date that is 60 Business Days after the date of this agreement (**End Date**), with settlement of the sale of the Restricted Securities occurring on or before the second Business Day following the sale of the relevant Restricted Securities;
- (e) the Vendor will transfer Restricted Securities in accordance with the directions of the Lead Manager to settle those sales; and
- (f) the Lead Manager is entitled to apply, by way of set off, the proceeds from the purchase of the Restricted Securities against the Advance Amount, immediately upon the Lead Manager's receipt of those proceeds.

The parties acknowledge that the Lead Manager does not acquire any "interest" (including within the meaning of FATA) or "relevant interest" (within the meaning of the Corporations Act) or "company interest" (within the meaning of the BSA) in, or rights in respect of (whether by way of security or otherwise), any Restricted Securities, except to act as agent for the Vendor in procuring the sale of those securities, and does not have the power to require that any Restricted Securities be transferred to it (or its associates) or to its order as referred to in FATA or the BSA.

## 2.3 Sale and Settlement Date

The Lead Manager shall procure that the sale of the Sale Securities under clause 2.1 shall be effected:

- (a) subject to clause 2.3(b), on the Trade Date (as specified in the Timetable in Schedule 1), by way of one or more special crossings (in accordance with the ASX Operating Rules) at the Sale Price, with settlement to follow on a T+2 basis in accordance with the ASX Settlement Operating Rules (**Settlement Date**); and
- (b) in respect of any Restricted Securities, in accordance with clause 2.2.



## 2.4 Sale Securities

Subject to clause 8, by 3.00pm on the Settlement Date, the Lead Manager shall arrange for the payment to the Vendor of an amount equal to:

- (a) the Sale Price multiplied by the number of Sale Securities sold under clause 2.1(a); and
- (b) the Sale Price multiplied by the number of Balance Securities under clause 2.1(b),

less any fees payable under clause 3 by transfer to such bank account(s) as may be notified by the Vendor for value (in cleared funds) against delivery of the Sale Securities (excluding the Restricted Securities, if any).

## 2.5 Timetable

The Lead Manager must conduct the Sale in accordance with the Timetable set out in Schedule 1 (unless the Vendor consents in writing to a variation).

## 2.6 Account Opening

On the date of this agreement the Lead Manager or its nominated Affiliate will (where relevant) open an account in the names of the Vendor in accordance with its usual practice, and do all such things necessary to enable it to act as Lead Manager to sell the Sale Securities in accordance with this agreement.

## 2.7 Manner of Sale

- (a) **Exempt investors.** The Lead Manager will conduct the Sale by way of an offer only to persons that the Lead Manager reasonably believes are persons:
  - (i) if in Australia, who do not need disclosure under Part 6D.2 or Part 7.9 of the Corporations Act; and
  - (ii) if outside Australia, to whom offers for sale of securities may lawfully be made without requiring the preparation, delivery, lodgement or filing of any prospectus or other disclosure document or any other lodgement, registration or filing with, or approval by, a government agency (other than any such requirement with which the Vendor, in its sole and absolute discretion, is willing to comply).
- (b) **U.S. offering restrictions.** The Sale Securities shall only be offered and sold to persons that the Lead Manager reasonably believes are persons:
  - (i) that are not in the United States and are not acting for the account or benefit of persons in the United States, in "offshore transactions" (as defined in Rule 902(h) under the U.S. Securities Act of 1933 (**U.S. Securities Act**)) in reliance on Regulation S under the U.S. Securities Act (**Regulation S**); and
  - (ii) that are dealers or other professional fiduciaries organised, incorporated or (if an individual) resident in the United States that are acting for an account (other than an estate or trust) held for the benefit or account of persons that are not in the United States for which they have, and are exercising, investment discretion within the meaning of Rule 902(k)(2)(i) of Regulation S (**Eligible U.S. Fund Managers**) in reliance on Regulation S.

- (c) **Confirmation letter.** The Lead Manager agrees it will only sell the Sale Securities (other than any Restricted Securities sold in regular brokered transactions on the ASX in accordance with clause 2.2) to persons specified in clause 2.7(b)(ii) that execute a letter on or prior to the Settlement Date in the form agreed in writing by the Vendor and the Lead Manager (and as may be amended by mutual agreement in writing, such agreement not to be unreasonably withheld or delayed) (**Confirmation Letter**).

### 3. Fees and costs

- (a) In consideration of performing its obligations under this agreement the Lead Manager shall be entitled to such fees as the parties agree.
- (b) The parties will each bear their own legal costs (if any) and all their other out-of-pocket expenses (if any) in connection with this agreement and the transactions contemplated by it.

### 4. Representations and Warranties

#### 4.1 Representations and warranties by Vendor

As at the date of this agreement and on each day until and including the Settlement Date (or in the case where clause 2.2 applies in respect of the Lead Manager, 2 Business Days after the End Date), the Vendor represents and warrants to the Lead Manager that each of the following statements is true, accurate and not misleading.

- (a) **(body corporate)** it is a body corporate validly existing and duly established under the laws of its place of incorporation;
- (b) **(capacity)** it has full legal capacity and power to enter into this agreement and to carry out the transactions that this agreement contemplates;
- (c) **(authority)** it has taken, or will have taken by the time required, all corporate action that is necessary or desirable to authorise its entry into this agreement and its carrying out of the transactions that this agreement contemplates;
- (d) **(agreement effective)** this agreement constitutes its legal, valid and binding obligation, enforceable against it in accordance with its terms;
- (e) **(ownership, encumbrances)** it is the registered holder and sole legal owner of the Sale Securities and will transfer the full legal and beneficial ownership of those Sale Securities free and clear of all liens, charges, security interests, claims, equities and pre-emptive rights, subject to registration of the transferee(s) in the register of shareholders of the Company;
- (f) **(information)** all information provided by the Vendor to the Lead Manager in relation to the Sale, the Sale Securities and the Company is true and correct in all material respects and not misleading or deceptive in any material respect whether by omission or otherwise;
- (g) **(Sale Securities)** following sale by it, the Sale Securities will rank equally in all respects with all other outstanding ordinary shares of the Company, including in respect of an entitlement to dividends;
- (h) **(quotation)** the Sale Securities are quoted on the financial market operated by the ASX;

- (i) **(control)** the Vendor does not control the Company within the meaning of section 50AA of the Corporations Act and the Sale Securities may be offered for sale on the financial market operated by ASX without disclosure to investors under Part 6D.2 or Part 7.9 of the Corporations Act;
- (j) **(no inside information)** at the time of execution of this agreement by the Vendor, other than information relating to the Sale, the Vendor is not in possession of any non-public information or information which is not generally available which, if it were generally available, a reasonable person would expect to have a material effect on the price or value of the Sale Securities or other securities in the Company and the sale of the Sale Securities will not constitute a violation by it of Division 3 of Part 7.10 of the Corporations Act;
- (k) **(power to sell)** it has the corporate authority and power to sell the Sale Securities under this agreement and no person has a conflicting right, whether contingent or otherwise, to purchase or to be offered for purchase the Sale Securities;
- (l) **(breach of law)** the Vendor will not, in connection with the Sale of the Sale Securities or the transactions the subject of this agreement, commit, be involved in or acquiesce in any activity which breaches its constitution, the Corporations Act, the FATA, the BSA or any other applicable law, the applicable ASX Listing Rules or any applicable legally binding requirement of the Australian Securities and Investments Commission;
- (m) **(wholesale client)** it is a "wholesale client" within the meaning of section 761G of the Corporations Act;
- (n) **(no general solicitation or general advertising)** none of it, any of its Affiliates or any person acting on behalf of any of them (other than the Lead Manager or the Lead Manager's Affiliates or any person acting on behalf of any of them, as to whom the Vendor makes no representation) has offered or sold, or will offer or sell, any of the Sale Securities in the United States, using any form of "general solicitation" or "general advertising" within the meaning of Rule 502(c) under the U.S. Securities Act or in any manner involving a public offering of the Sale Securities in the United States within the meaning of section 4(a)(2) of the U.S. Securities Act;
- (o) **(no directed selling efforts)** with respect to those Sale Securities sold in reliance on Regulation S, none of it, any of its Affiliates, or any person acting on behalf of any of them (other than the Lead Manager or its Affiliates or any person acting on behalf of any of them, as to whom it makes no representation) has engaged or will engage in any "directed selling efforts" (as that term is defined in Rule 902(c) under the U.S. Securities Act);
- (p) **(no stabilisation or manipulation)** neither it nor any of its Affiliates has taken or will take, directly or indirectly, any action designed to, or that might reasonably be expected to, cause or result in the stabilisation or manipulation of the price of the Sale Securities in violation of any applicable law;
- (q) **(OFAC)** neither the Vendor nor to the best of its knowledge any director, officer, agent, employee or Affiliate or other person acting on behalf of the Vendor is currently subject to any sanctions administered or enforced by the Office of Foreign Assets Control of the US Department of the Treasury, the United Nations Security Council, Her Majesty's Treasury, the European Union or any of its Member States, or other relevant sanctions authority (**Sanctions**), or located, organised or resident in a country or territory that is the subject of Sanctions; and the Vendor will not directly or indirectly use the proceeds of the Sale, or lend, contribute or otherwise make available these proceeds to any subsidiary, joint venture partner or other

person or entity, to fund or facilitate any activities of any person or entity or in any country or territory that is subject to any Sanctions, or in any other manner that will result in a violation of Sanctions by any person participating in the Sale (whether as a Lead Manager, placing agent, investor, adviser or otherwise);

- (r) **(anti-money laundering)** the operations of the Vendor are and have been conducted at all times in compliance with all financial record keeping and reporting requirements imposed by law or regulation and in compliance with the money laundering and proceeds of crime statutes of all applicable jurisdictions, the rules and regulations thereunder and any related or similar rules, regulations or guidelines, issued, administered or enforced by any government agency (collectively, the **Money Laundering Laws**) to the extent that they apply to the Vendor and no action, suit or proceeding by or before any court or government agency, authority or body or any arbitrator involving the Vendor or any of its Affiliates with respect to the Money Laundering Laws is pending or threatened; and
- (s) **(no bribery)** neither the Vendor or, to the best of its knowledge any director, officer, employee, Affiliate or other person acting on behalf of the Vendor has (i) used any corporate funds for any unlawful contribution, gift, entertainment or other unlawful expense relating to political activity; (ii) made any direct or indirect unlawful payment to any foreign or domestic government official or employee from corporate funds, or (iii) made any bribe, rebate, payoff, influence payment, kickback or other unlawful payment, in each case, in violation of any applicable law, including, but not limited to the United States Foreign Corrupt Practices Act of 1977 if it is applicable.

#### 4.2 Representations and warranties of Lead Manager

As at the date of this agreement and on each day until and including the Settlement Date (or in the case where clause 2.2 applies in respect of the Lead Manager, 2 Business Days after the End Date), the Lead Manager represents to the Vendor that each of the following statements is correct:

- (a) **(body corporate)** it is a body corporate validly existing and duly established and duly incorporated under the laws of its place of incorporation;
- (b) **(capacity)** it has full legal capacity and power to enter into this agreement and to carry out the transactions that this agreement contemplates;
- (c) **(authority)** it has taken, or will have taken by the time required, all corporate action that is necessary or desirable to authorise its entry into this agreement and its carrying out of the transactions that this agreement contemplates;
- (d) **(licences)** it holds all licences, permits and authorities necessary for it to fulfil its obligations under this agreement;
- (e) **(agreement effective)** this agreement constitutes its legal, valid and binding obligation, enforceable against it in accordance with its terms;
- (f) **(no registration)** it acknowledges that the offer and sale of the Sale Securities have not been and will not be registered under the U.S. Securities Act and may not be offered or sold in the United States or to, or for the account or benefit of, persons in the United States, except pursuant to an exemption from, or in a transaction not subject to, the registration requirements of the U.S. Securities Act;
- (g) **(no general solicitation or general advertising)** none of it, its Affiliates nor any person acting on behalf of any of them has solicited offers for or offered to sell, and none of them will solicit offers for, or offer or sell, the Sale Securities in the United

States, using any form of "general solicitation" or "general advertising" within the meaning of Rule 502(c) under the U.S. Securities Act;

- (h) **(no directed selling efforts)** with respect to those Sale Securities sold in reliance on Regulation S, none of it, its Affiliates nor any person acting on behalf of any of them has engaged or will engage in any "directed selling efforts" (as that term is defined in Rule 902(c) under the U.S. Securities Act); and
- (i) **(no stabilisation or manipulation)** neither it nor any of its Affiliates has taken or will take, directly or indirectly, any action designed to, or that might reasonably be expected to, cause or result in the stabilisation or manipulation of the price of the Sale Securities in violation of any applicable law.

#### **4.3 Reliance**

Each party giving a representation and warranty acknowledges that the other party has relied on the above representations and warranties in entering into this agreement and will continue to rely on these representations and warranties in performing their obligations under this agreement. The above representations and warranties continue in full force and effect notwithstanding completion of this agreement.

#### **4.4 Notification**

Each party agrees that it will tell the other parties immediately upon becoming aware of any of the following occurring prior to the completion of the sale of the Sale Securities:

- (a) any material change affecting any of the foregoing representations and warranties;  
or
- (b) any of the foregoing representations or warranties becoming materially untrue or materially incorrect.

#### **4.5 Disclosure to potential purchasers**

The Vendor authorises the Lead Manager to notify potential purchasers of the representations and warranties contained in clause 4.1 and also authorises the Lead Manager to disclose the identity of the Vendor to potential purchasers.

### **5. Blank**

### **6. Indemnity**

**6.1** The Vendor agrees with the Lead Manager that he will keep the Lead Manager and its Related Bodies Corporate (as that term is defined in the Corporations Act), and their respective directors, officers and employees (**Indemnified Parties**) indemnified against any losses, damages, liabilities, costs, claims, actions and demands (including any reasonable expenses arising in connection therewith) (**Losses**) to the extent that such Losses are incurred in connection with the Sale or as a result of a breach of this agreement by it, including any breach of any of the above representations and warranties given by it, and will reimburse the Lead Manager for all out of pocket costs, charges and expenses which it may reasonably pay or incur in connection with investigating, disputing or defending any such action, demand or claim for which it is indemnified under this agreement.

**6.2** The indemnity in clause 6.1 does not extend to and is not to be taken as an indemnity against any Losses of an Indemnified Party to the extent any Losses have resulted from:

- (a) any fraud, recklessness, wilful default or gross negligence of the Indemnified Party;



- (b) any amount in respect of which the indemnity would be illegal, void or unenforceable under any applicable law; or
- (c) any amount relating to a criminal penalty or fine which the Indemnified Party is required to pay for any contravention by it of the Corporations Act or any other applicable law.

**6.3** The Lead Manager shall not and shall procure that any Indemnified Party shall not make any admission of liability or settlement of any proceedings, action, demand or claim in respect of which the indemnity in clause 6.1 may apply, without the prior written consent of the Vendor (such consent not to be unreasonably withheld or delayed). The Vendor shall not make any admission of liability or settlement of any proceedings, action, demand or claim in respect of which the indemnity in clause 6.1 may apply, without the prior written consent of the Lead Manager (such consent not to be unreasonably withheld or delayed).

**6.4** The indemnity in clause 6.1 is a continuing obligation, separate and independent from the other obligations of the parties under this agreement and survives termination or completion of this agreement. It is not necessary for the Lead Manager to incur expense or make payment before enforcing that indemnity.

**6.5** The indemnity in clause 6.1 is granted to the Lead Manager both for itself and on trust for each of the Indemnified Parties.

## **7. Announcements**

The Vendor and the Lead Manager will consult each other in respect of any material public releases by any of them concerning the sale of the Sale Securities, it being acknowledged by the Lead Manager that a copy of this agreement may need to be disclosed on ASX under section 671B(4) of the Corporations Act. The prior written consent of the Vendor must be obtained prior to the Lead Manager making any release or announcement or engaging in publicity in relation to the Sale prior to the Settlement Date and such release, announcement or engagement must be in compliance with all applicable laws, including the securities laws of Australia, the United States and any other applicable jurisdiction.

## **8. Event of termination**

### **8.1 Right of termination**

If, at any time during the period commencing on execution of this agreement and ending on 10.00am on the Trade Date any of the following events occur, then the Lead Manager may terminate this agreement without cost or liability to itself by giving written notice to the Vendor:

- (a) **(ASX actions)** ASX does any of the following:
  - (i) announces or makes a statement to any person that the Company will be removed from the official list of ASX or securities in the same class as the Sale Securities will be suspended from quotation;
  - (ii) removes the Company from the official list of ASX; or
  - (iii) suspends the trading of same class of securities as the Sale Securities for any period of time;
- (b) **(ASIC inquiry into Sale)** ASIC issues or threatens to issue proceedings in relation to the Sale or commences, or threatens to commence any inquiry in relation to the Sale (other than in relation to the Lead Manager's ability to perform its obligation under this agreement);

- (c) **(breach)** the Vendor is in default of any of the terms and conditions of this agreement or breaches any representation and warranty given or made by it under this agreement;
- (d) **(Banking moratorium)** a general moratorium on commercial banking activities in Australia, the United States, Singapore, Hong Kong or the United Kingdom is declared by the relevant central banking authority in any of those countries, or there is a material disruption in commercial banking or security settlement or clearance services in any of those countries;
- (e) **(Change in laws)** there is introduced, or there is a public announcement of a proposal to introduce, into the Parliament of the Commonwealth of Australia or any State or Territory of Australia a new law, or the Government of Australia, any State or Territory of Australia, or any Minister or other government authority in Australia or any State or Territory of Australia, adopts or announces a proposal to adopt a new policy (other than a law or policy which has been announced before the date of this agreement);
- (f) **(Markets)** trading in all securities quoted or listed on ASX, the Hong Kong Stock Exchange, the London Stock Exchange, the Singapore Stock Exchange or the New York Stock Exchange is suspended or there is a material limitation of trading in those exchanges; or
- (g) **(Hostilities)** there is an outbreak or major escalation of hostilities in any part of the world, whether war has been declared or not, involving any one or more of Australia, the United States, the United Kingdom, Hong Kong, or Singapore, or a significant act or acts of terrorism is perpetrated against any of those nations anywhere in the world.

## 8.2 Materiality

No event listed in clauses 8.1(c), 8.1(d), 8.1(e), 8.1(f) or 8.1(g) entitles the Lead Manager to exercise its termination rights unless, in the bona fide opinion of the Lead Manager, it:

- (a) has, or would reasonably be expected to have, a material adverse effect on:
  - (i) the willingness of persons to purchase the Sale Securities; or
  - (ii) the price at which ordinary shares in the Company are sold on the ASX; or
- (b) would reasonably be expected to give rise to a liability of the Lead Manager under the Corporations Act or any other applicable law.

## 8.3 Effect of termination

Where, in accordance with this clause 8, the Lead Manager terminates its obligations under this agreement:

- (a) the obligations of the Lead Manager under this agreement immediately end; and
- (b) any entitlements of the Lead Manager accrued under this agreement, including the right to be indemnified, up to the date of termination survive.

## **9. Miscellaneous**

### **9.1 Entire agreement**

This agreement constitutes the entire agreement of the parties about its subject matter and supersedes all previous agreements, understandings and negotiations on that matter.

### **9.2 Governing law**

This agreement is governed by the laws of New South Wales, Australia. Each party submits to the non-exclusive jurisdiction of courts exercising jurisdiction in New South Wales, and waives any right to claim that those courts are an inconvenient forum.

### **9.3 No assignment**

No party may assign its rights or obligations under this agreement without the prior written consent of the other party.

### **9.4 Notices**

Any notice, approval, consent, agreement, waiver or other communication in connection with this agreement must be in writing.

### **9.5 Affiliates**

In this agreement the term "Affiliates" means any person that directly, or indirectly through one or more intermediaries, controls, or is controlled by, or is under common control with, a person; "control" (including the terms "controlled by" and "under common control with") means the possession, direct or indirect, of the power to direct or cause the direction of the management, policies or activities of a person, whether through the ownership of securities by contract or agency or otherwise and the term "person" is deemed to include a partnership.

### **9.6 Business Day**

In this agreement "Business Day" means a day on which:

- (a) ASX is open for trading in securities; and
- (b) banks are open for general banking business in Sydney, Australia.

### **9.7 Interpretation**

In this agreement:

- (a) headings and sub-headings are for convenience only and do not affect interpretation;
- (b) a reference to legislation or to a provision of legislation includes a modification or re-enactment of it, a legislative provision substituted for it and a regulation or statutory instrument issued under it;
- (c) a reference to "dollars" and "\$" is to Australian currency;
- (d) a reference to a right or obligation of any 2 or more persons confers that right, or imposes that obligation, severally and not jointly and severally; and
- (e) all references to time are to Sydney, New South Wales, Australia time.



**9.8 Severability**

Any provision of this agreement which is prohibited or unenforceable in any jurisdiction will be ineffective as to that jurisdiction to the extent of the prohibition or unenforceability. That will not invalidate the remaining provisions of this agreement nor affect the validity or enforceability of that provision in any other jurisdiction.

**9.9 Waiver and variation**

A provision of or right vested under this agreement may not be:

- (a) waived except in writing signed by the party granting the waiver, or
- (b) varied except in writing signed by the parties.

**9.10 No merger**

The rights and obligations of the parties will not merge on the termination or expiration of this agreement. Any provision of this agreement remaining to be performed or observed by a party, or having effect after the termination of this agreement for whatever reason remains in full force and effect and is binding on that party.

**9.11 Counterparts**

This agreement may be executed in any number of counterparts. All counterparts together will be taken to constitute one agreement.

**9.12 Acknowledgement**

The Vendor acknowledges that:


- (a) the Lead Manager is not obliged to disclose to a Vendor or utilise for the benefit of the Vendor, any non-public information which the Lead Manager obtains in the normal course of its business where such disclosure or use would result in a breach of any obligation of confidentiality or any internal Chinese wall policies of the Lead Manager;
- (b) without prejudice to any claim the Vendor may have against the Lead Manager, no proceedings may be taken against any director, officer, employee or agent of the Lead Manager in respect of any claim that the Vendor may have against the Lead Manager; and
- (c) he is contracting with the Lead Manager on an arm's length basis to provide the services described in this agreement and the Lead Manager has not and is not assuming any duties or obligations (fiduciary or otherwise) in respect of it other than those expressly set out in this agreement.

Yours sincerely,

Signed for and on behalf of  
**UBS AG, Australia Branch**  
by its duly authorised representatives:

  
\_\_\_\_\_  
Signature of authorised representative

CHARLIE DAISY  
\_\_\_\_\_  
Name of authorised representative (please print)

  
\_\_\_\_\_  
Signature of authorised representative

JOHN SPENCER  
\_\_\_\_\_  
Name of authorised representative (please print)

**Accepted and agreed to as of the date of this agreement:**

For and on behalf of **KUKA Investment and Management Co., Limited**

Signed: \_\_\_\_\_

Name: \_\_\_\_\_  
(Capital letters)

Position: \_\_\_\_\_  
(Capital letters)

By executing this document the signatory warrants that the signatory is duly authorised as the authorised representative of the Vendor to execute this document on behalf of **KUKA Investment and Management Co., Limited**

Signed for and on behalf of  
**UBS AG, Australia Branch**  
by its duly authorised representatives:

\_\_\_\_\_  
Signature of authorised representative

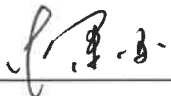
\_\_\_\_\_  
Signature of authorised representative

\_\_\_\_\_  
Name of authorised representative (please print)

\_\_\_\_\_  
Name of authorised representative (please print)

**Accepted and agreed to as of the date of this agreement:**

For and on behalf of **KUKA Investment and Management Co., Limited**

Signed:  \_\_\_\_\_

Name: JIANWEN ZHANG  
(Capital letters)

Position: GENERAL MANAGER OF INTERNATIONAL DIVISION  
(Capital letters)

By executing this document the signatory warrants that the signatory is duly authorised as the authorised representative of the Vendor to execute this document on behalf of **KUKA Investment and Management Co., Limited**

## Schedule 1

### Timetable

<b>Key events</b>	<b>Date</b>
Books open	Aftermarket on Monday, 2 September 2019
Books close	Aftermarket on Monday, 2 September 2019
Trade Date (T) (Special crossing/s by)	Tuesday, 3 September 2019
Settlement Date (T + 2)	Thursday, 5 September 2019

NCK- Appendix C					
Date of change	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities	Class
03-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	629	Ordinary
03-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(14,050)	Ordinary
06-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	1,000	Ordinary
06-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	1,000	Ordinary
06-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	629	Ordinary
06-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	9,828	Ordinary
06-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(734)	Ordinary
06-May-19	UBS AG London Branch	Stock received	N/A	100	Ordinary
07-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	449	Ordinary
07-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	200,000	Ordinary
07-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(131,007)	Ordinary
07-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(7,159)	Ordinary
07-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(6,855)	Ordinary
07-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(30,000)	Ordinary
07-May-19	UBS AG London Branch	Stock returned	N/A	(100,000)	Ordinary
07-May-19	UBS Securities LLC	Stock returned	N/A	(29,700)	Ordinary
07-May-19	UBS AG London Branch	Stock returned	N/A	(100)	Ordinary
08-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	24,718	Ordinary
08-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	130,000	Ordinary
08-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	10,000	Ordinary
08-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,912)	Ordinary
09-May-19	UBS Asset Management	Sell	82,766	(13,920)	Ordinary
09-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	100,000	Ordinary
09-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,088)	Ordinary
09-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(5,000)	Ordinary
09-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(13,306)	Ordinary
10-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(4,324)	Ordinary
13-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	875	Ordinary
13-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(12,370)	Ordinary
13-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,000)	Ordinary
13-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,000)	Ordinary
13-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(8,932)	Ordinary
13-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,000)	Ordinary
15-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(719)	Ordinary
16-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(6,125)	Ordinary
17-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	1,926	Ordinary
17-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(8,241)	Ordinary
17-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(5,653)	Ordinary
17-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(9,471)	Ordinary
21-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	6,847	Ordinary
21-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,602)	Ordinary
23-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,406)	Ordinary
23-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(452)	Ordinary
23-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(5,310)	Ordinary
24-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	70,000	Ordinary
27-May-19	UBS Securities Australia Ltd	Stock borrowed	N/A	100,000	Ordinary
28-May-19	UBS Asset Management	Sell	83,693	(11,727)	Ordinary
29-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(12,061)	Ordinary
29-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,884)	Ordinary
30-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,554)	Ordinary
30-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,282)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(3,117)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(249)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(6,568)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(5,000)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(12,875)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,176)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,249)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,392)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(10,143)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(8,153)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,924)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,143)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(629)	Ordinary
31-May-19	UBS Securities Australia Ltd	Stock returned	N/A	(172,353)	Ordinary
03-Jun-19	UBS Asset Management	Sell	79,604	(11,242)	Ordinary
03-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(9,828)	Ordinary

03-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(27,647)	Ordinary
03-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(54,603)	Ordinary
04-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(7,713)	Ordinary
06-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(3,795)	Ordinary
06-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,287)	Ordinary
11-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(7,528)	Ordinary
12-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,872)	Ordinary
13-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	3,265	Ordinary
13-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	26,735	Ordinary
14-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	230	Ordinary
19-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	1,520	Ordinary
19-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(45,969)	Ordinary
21-Jun-19	UBS Asset Management	Sell	37,959	(5,395)	Ordinary
25-Jun-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,552)	Ordinary
26-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	1,318	Ordinary
26-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	10,000	Ordinary
27-Jun-19	UBS Securities Australia Ltd	Buy	160	26	Ordinary
27-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	1,933	Ordinary
28-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	1,255	Ordinary
28-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	30,000	Ordinary
28-Jun-19	UBS Securities Australia Ltd	Stock borrowed	N/A	58,286	Ordinary
01-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(14,681)	Ordinary
01-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(27,605)	Ordinary
02-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(9,134)	Ordinary
04-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(11,129)	Ordinary
05-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,077)	Ordinary
11-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(7,908)	Ordinary
12-Jul-19	UBS Securities Australia Ltd	Sell	449	(69)	Ordinary
12-Jul-19	UBS Securities Australia Ltd	Buy	4,226	644	Ordinary
15-Jul-19	UBS Securities Australia Ltd	Stock borrowed	N/A	29,645	Ordinary
15-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(32,918)	Ordinary
16-Jul-19	UBS Securities Australia Ltd	Sell	39	(6)	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Buy	66,679	10,640	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Sell	13,101	(2,101)	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Sell	47,844	(7,643)	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Sell	15,344	(2,457)	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Buy	1,530	239	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Sell	2,749	(433)	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Sell	3,554	(558)	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Sell	27,302	(4,292)	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Buy	603	95	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Buy	73,416	11,710	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Stock borrowed	N/A	3,286	Ordinary
17-Jul-19	UBS Securities Australia Ltd	Stock borrowed	N/A	418	Ordinary
18-Jul-19	UBS Securities Australia Ltd	Sell	891	(144)	Ordinary
18-Jul-19	UBS Securities Australia Ltd	Buy	33,826	5,531	Ordinary
18-Jul-19	UBS Securities Australia Ltd	Sell	73,547	(11,956)	Ordinary
18-Jul-19	UBS Securities Australia Ltd	Buy	17,863	2,916	Ordinary
18-Jul-19	UBS Securities Australia Ltd	Sell	50,015	(8,207)	Ordinary
18-Jul-19	UBS Securities Australia Ltd	Buy	6,971	1,145	Ordinary
18-Jul-19	UBS Securities Australia Ltd	Buy	3,242	535	Ordinary
18-Jul-19	UBS Securities Australia Ltd	Sell	822	(135)	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Buy	42,128	6,870	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Sell	18,582	(3,027)	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Buy	71,561	11,721	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Sell	27,902	(4,579)	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Sell	19,791	(3,225)	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Buy	6,864	1,120	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Buy	1,844	300	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Buy	39,613	6,461	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Buy	5,164	1,002	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Buy	1,300	211	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Sell	4,058	(660)	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(229)	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,617)	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Stock borrowed	N/A	9,138	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(229)	Ordinary
19-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,617)	Ordinary
22-Jul-19	UBS Securities Australia Ltd	Sell	14,351	(2,297)	Ordinary
22-Jul-19	UBS Securities Australia Ltd	Buy	14,286	2,293	Ordinary

22-Jul-19	UBS Securities Australia Ltd	Sell	6,615	(1,060)	Ordinary		
22-Jul-19	UBS Securities Australia Ltd	Buy	15,830	2,540	Ordinary		
22-Jul-19	UBS Securities Australia Ltd	Sell	1,215	(194)	Ordinary		
22-Jul-19	UBS Securities Australia Ltd	Stock borrowed	N/A	20,000	Ordinary		
23-Jul-19	UBS Securities Australia Ltd	Sell	12,191	(1,953)	Ordinary		
23-Jul-19	UBS Securities Australia Ltd	Buy	20,113	3,225	Ordinary		
23-Jul-19	UBS Securities Australia Ltd	Buy	13,900	2,231	Ordinary		
23-Jul-19	UBS Securities Australia Ltd	Sell	39,832	(6,386)	Ordinary		
23-Jul-19	UBS Securities Australia Ltd	Buy	68	11	Ordinary		
23-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(16,194)	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Sell	17,242	(2,716)	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Sell	48,637	(7,684)	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Buy	32,549	5,192	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Buy	5,780	916	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Sell	337	(53)	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Buy	1,782	281	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Buy	592	93	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Sell	1,079	(170)	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Buy	14,346	2,266	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Sell	39,598	(6,268)	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Sell	7,701	(1,242)	Ordinary		
24-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,282)	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Buy	38,974	6,229	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Sell	49,314	(7,875)	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Sell	3,549	(567)	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Sell	11,952	(1,909)	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Buy	62,490	9,990	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Buy	5,300	846	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Sell	450	(71)	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Buy	21,622	3,451	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Buy	2,189	350	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Buy	4,774	762	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Buy	507	81	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Buy	7,663	1,225	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Sell	8,076	(1,290)	Ordinary		
25-Jul-19	UBS Securities Australia Ltd	Stock borrowed	N/A	2,079	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Sell	38,475	(6,041)	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Buy	27,312	4,306	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Sell	42,083	(6,644)	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Buy	10,967	1,737	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Sell	30,654	(4,835)	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Buy	44,322	6,979	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Buy	505	80	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Buy	7,679	1,201	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Buy	214	34	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Buy	1,194	189	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Buy	1,971	313	Ordinary		
26-Jul-19	UBS Securities Australia Ltd	Sell	2,918	(455)	Ordinary		
29-Jul-19	UBS Securities Australia Ltd	Sell	1,824	(286)	Ordinary		
29-Jul-19	UBS Securities Australia Ltd	Sell	17,295	(2,721)	Ordinary		
29-Jul-19	UBS Securities Australia Ltd	Buy	21,701	3,432	Ordinary		
29-Jul-19	UBS Securities Australia Ltd	Buy	9,591	1,511	Ordinary		
29-Jul-19	UBS Securities Australia Ltd	Buy	6	1	Ordinary		
29-Jul-19	UBS Securities Australia Ltd	Buy	113	18	Ordinary		
29-Jul-19	UBS Securities Australia Ltd	Stock borrowed	N/A	1,279	Ordinary		
29-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(11,222)	Ordinary		
29-Jul-19	UBS AG London Branch	Stock borrowed	N/A	45,000	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Buy	57,066	8,944	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Sell	10,760	(1,674)	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Sell	6,523	(1,027)	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Sell	1,680	(262)	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Buy	48,785	7,590	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Buy	1,080	169	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Buy	21,383	3,332	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Buy	12,362	1,927	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Buy	1,244	194	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Buy	594	93	Ordinary		
30-Jul-19	UBS Securities Australia Ltd	Buy	479	75	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Sell	1,420	(223)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Buy	57,618	9,122	Ordinary		

31-Jul-19	UBS Securities Australia Ltd	Sell	14,855	(2,350)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Buy	6,264	990	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Sell	19,651	(3,112)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Buy	3,652	575	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Sell	51	(8)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Buy	4,501	700	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Buy	5,179	811	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Buy	16,875	2,650	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(13,249)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(68,685)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(26,735)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(10,000)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(30,000)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(58,286)	Ordinary		
31-Jul-19	UBS Securities Australia Ltd	Stock returned	N/A	(20,000)	Ordinary		
01-Aug-19	UBS Securities Australia Ltd	Sell	23,005	(3,629)	Ordinary		
01-Aug-19	UBS Securities Australia Ltd	Buy	19,320	3,044	Ordinary		
01-Aug-19	UBS Securities Australia Ltd	Sell	13,398	(2,113)	Ordinary		
01-Aug-19	UBS Securities Australia Ltd	Buy	13,240	2,087	Ordinary		
01-Aug-19	UBS Securities Australia Ltd	Buy	2,556	403	Ordinary		
01-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(19,361)	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Buy	10,491	1,698	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Sell	10,518	(1,708)	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Sell	10,737	(1,730)	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Sell	25,807	(4,182)	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Sell	28,573	(4,643)	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Buy	3,558	578	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Buy	1,648	268	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Sell	154	(25)	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Stock borrowed	N/A	156	Ordinary		
02-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(9,155)	Ordinary		
05-Aug-19	UBS Securities Australia Ltd	Buy	37,433	6,158	Ordinary		
05-Aug-19	UBS Securities Australia Ltd	Sell	15,885	(2,615)	Ordinary		
05-Aug-19	UBS Securities Australia Ltd	Sell	16,403	(2,747)	Ordinary		
05-Aug-19	UBS Securities Australia Ltd	Sell	39,725	(6,512)	Ordinary		
05-Aug-19	UBS Securities Australia Ltd	Buy	26,910	4,493	Ordinary		
05-Aug-19	UBS Securities Australia Ltd	Sell	7,321	(1,202)	Ordinary		
05-Aug-19	UBS Securities Australia Ltd	Buy	3,017	507	Ordinary		
05-Aug-19	UBS Securities Australia Ltd	Sell	90	(15)	Ordinary		
05-Aug-19	UBS Securities Australia Ltd	Stock borrowed	N/A	9,744	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Sell	34,986	(5,837)	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Sell	21,681	(3,690)	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Buy	88,944	15,215	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Sell	52,838	(9,090)	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Buy	106,186	18,175	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Sell	38,692	(6,505)	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Buy	371	63	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Sell	2,003	(331)	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Sell	13,051	(2,211)	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Buy	171	28	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Buy	1,180	194	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Buy	24,539	4,196	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Sell	1,995	(331)	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Sell	582	(97)	Ordinary		
06-Aug-19	UBS Securities Australia Ltd	Stock borrowed	N/A	1,933	Ordinary		
06-Aug-19	UBS AG London Branch	Stock received	N/A	6,072	Ordinary		
07-Aug-19	UBS Securities Australia Ltd	Buy	23,022	3,743	Ordinary		
07-Aug-19	UBS Securities Australia Ltd	Sell	22,705	(3,683)	Ordinary		
07-Aug-19	UBS Securities Australia Ltd	Buy	31,934	5,179	Ordinary		
07-Aug-19	UBS Securities Australia Ltd	Sell	32,597	(5,273)	Ordinary		
07-Aug-19	UBS Securities Australia Ltd	Sell	3,450	(561)	Ordinary		
07-Aug-19	UBS Securities Australia Ltd	Buy	160	26	Ordinary		
07-Aug-19	UBS Securities Australia Ltd	Buy	6,120	995	Ordinary		
07-Aug-19	UBS Securities Australia Ltd	Stock borrowed	N/A	70,000	Ordinary		
08-Aug-19	UBS Asset Management	Sell	65,373	(9,906)	Ordinary		
08-Aug-19	UBS Securities Australia Ltd	Sell	43,827	(6,806)	Ordinary		
08-Aug-19	UBS Securities Australia Ltd	Buy	245,914	37,090	Ordinary		
08-Aug-19	UBS Securities Australia Ltd	Sell	17,980	(2,712)	Ordinary		
08-Aug-19	UBS Securities Australia Ltd	Sell	74,555	(11,173)	Ordinary		
08-Aug-19	UBS Securities Australia Ltd	Buy	173,233	26,310	Ordinary		

08-Aug-19	UBS Securities Australia Ltd	Sell	101,090	(15,583)	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Buy	13,551	2,176	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Sell	8,318	(1,312)	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Buy	14,824	2,172	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Buy	53,167	8,008	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Sell	96,655	(15,377)	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Buy	68,919	10,377	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Buy	5,589	820	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Buy	45,036	6,620	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Buy	1,553	231	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Buy	7,787	1,140	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Sell	44,089	(6,695)	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Sell	1,496	(220)	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Sell	1,207	(185)	Ordinary
08-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(8,779)	Ordinary
08-Aug-19	UBS AG London Branch	Stock borrowed	N/A	40,000	Ordinary
08-Aug-19	UBS AG London Branch	Stock borrowed	N/A	125,000	Ordinary
08-Aug-19	UBS AG London Branch	Stock borrowed	N/A	30,000	Ordinary
09-Aug-19	UBS Securities Australia Ltd	Sell	68,872	(10,659)	Ordinary
09-Aug-19	UBS Securities Australia Ltd	Buy	14,840	2,288	Ordinary
09-Aug-19	UBS Securities Australia Ltd	Sell	44,029	(6,882)	Ordinary
09-Aug-19	UBS Securities Australia Ltd	Buy	93,041	14,427	Ordinary
09-Aug-19	UBS Securities Australia Ltd	Sell	48,050	(7,401)	Ordinary
09-Aug-19	UBS Securities Australia Ltd	Sell	1,495	(234)	Ordinary
09-Aug-19	UBS Securities Australia Ltd	Buy	31,899	5,000	Ordinary
09-Aug-19	UBS Securities Australia Ltd	Buy	7	1	Ordinary
09-Aug-19	UBS Securities Australia Ltd	Sell	215	(33)	Ordinary
09-Aug-19	UBS AG London Branch	Stock returned	N/A	(5,573)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Buy	116,105	17,867	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Sell	76,584	(11,812)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Sell	56,425	(8,662)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Buy	13,410	2,066	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Sell	54,447	(8,355)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Sell	2,447	(373)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Buy	111	17	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Sell	20	(3)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(28,235)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(3,265)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(3,286)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(449)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(24,718)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(875)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,926)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(6,847)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(230)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,520)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,318)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,933)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,255)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(29,645)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(418)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(9,138)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(2,079)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,279)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(156)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(9,744)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(1,933)	Ordinary
12-Aug-19	UBS Securities Australia Ltd	Stock returned	N/A	(60,795)	Ordinary
13-Aug-19	UBS Securities Australia Ltd	Buy	14,965	2,294	Ordinary
13-Aug-19	UBS Securities Australia Ltd	Sell	21,576	(3,301)	Ordinary
13-Aug-19	UBS Securities Australia Ltd	Buy	117,760	18,110	Ordinary
13-Aug-19	UBS Securities Australia Ltd	Sell	84,646	(13,046)	Ordinary
13-Aug-19	UBS Securities Australia Ltd	Sell	28,980	(4,451)	Ordinary
13-Aug-19	UBS Securities Australia Ltd	Buy	130	20	Ordinary
13-Aug-19	UBS Securities Australia Ltd	Sell	38,956	(5,985)	Ordinary
14-Aug-19	UBS Securities Australia Ltd	Buy	8,157	1,251	Ordinary
14-Aug-19	UBS Securities Australia Ltd	Sell	12,549	(1,918)	Ordinary
14-Aug-19	UBS Securities Australia Ltd	Sell	4,093	(627)	Ordinary
14-Aug-19	UBS Securities Australia Ltd	Buy	3,752	575	Ordinary
14-Aug-19	UBS Securities Australia Ltd	Sell	51,921	(7,986)	Ordinary

14-Aug-19	UBS Securities Australia Ltd	Buy	2,204	335	Ordinary
14-Aug-19	UBS Securities Australia Ltd	Sell	10,613	(1,612)	Ordinary
14-Aug-19	UBS Securities Australia Ltd	Sell	20	(3)	Ordinary
14-Aug-19	UBS AG London Branch	Stock returned	N/A	(125,000)	Ordinary
14-Aug-19	UBS AG London Branch	Stock returned	N/A	(30,000)	Ordinary
14-Aug-19	UBS AG London Branch	Stock received	N/A	100	Ordinary
15-Aug-19	UBS Securities Australia Ltd	Sell	64,020	(9,897)	Ordinary
15-Aug-19	UBS Securities Australia Ltd	Buy	18,538	2,880	Ordinary
15-Aug-19	UBS Securities Australia Ltd	Sell	51,918	(8,056)	Ordinary
15-Aug-19	UBS Securities Australia Ltd	Buy	38,645	5,979	Ordinary
15-Aug-19	UBS Securities Australia Ltd	Sell	36,720	(5,689)	Ordinary
15-Aug-19	UBS Securities Australia Ltd	Buy	8,243	1,272	Ordinary
15-Aug-19	UBS Securities Australia Ltd	Buy	25,408	3,970	Ordinary
15-Aug-19	UBS Securities Australia Ltd	Sell	14,916	(2,316)	Ordinary
15-Aug-19	UBS AG London Branch	Stock returned	N/A	(100)	Ordinary
16-Aug-19	UBS Securities Australia Ltd	Buy	17,683	2,726	Ordinary
16-Aug-19	UBS Securities Australia Ltd	Sell	29,320	(4,508)	Ordinary
16-Aug-19	UBS Securities Australia Ltd	Sell	36,083	(5,564)	Ordinary
16-Aug-19	UBS Securities Australia Ltd	Buy	108,866	16,793	Ordinary
16-Aug-19	UBS Securities Australia Ltd	Sell	41,120	(6,322)	Ordinary
16-Aug-19	UBS Securities Australia Ltd	Buy	13,114	2,050	Ordinary
16-Aug-19	UBS Securities Australia Ltd	Sell	12,724	(1,956)	Ordinary
16-Aug-19	UBS Securities Australia Ltd	Buy	711	110	Ordinary
16-Aug-19	UBS Securities Australia Ltd	Sell	27,993	(4,314)	Ordinary
16-Aug-19	UBS AG London Branch	Stock returned	N/A	(40,000)	Ordinary
16-Aug-19	UBS AG London Branch	Stock received	N/A	900	Ordinary
19-Aug-19	UBS Securities Australia Ltd	Buy	29,692	4,390	Ordinary
19-Aug-19	UBS Securities Australia Ltd	Sell	25,810	(3,802)	Ordinary
19-Aug-19	UBS Securities Australia Ltd	Buy	61,839	9,358	Ordinary
19-Aug-19	UBS Securities Australia Ltd	Sell	42,734	(6,285)	Ordinary
19-Aug-19	UBS Securities Australia Ltd	Sell	4,542	(666)	Ordinary
19-Aug-19	UBS Securities Australia Ltd	Buy	9,272	1,359	Ordinary
19-Aug-19	UBS Securities Australia Ltd	Buy	82	12	Ordinary
20-Aug-19	UBS Securities Australia Ltd	Buy	26,283	3,845	Ordinary
20-Aug-19	UBS Securities Australia Ltd	Sell	27,377	(4,006)	Ordinary
20-Aug-19	UBS Securities Australia Ltd	Sell	3,386	(496)	Ordinary
20-Aug-19	UBS Securities Australia Ltd	Sell	58,744	(8,620)	Ordinary
20-Aug-19	UBS Securities Australia Ltd	Buy	18,944	2,764	Ordinary
20-Aug-19	UBS Securities Australia Ltd	Sell	1,165	(171)	Ordinary
20-Aug-19	UBS Securities Australia Ltd	Buy	165	24	Ordinary
20-Aug-19	UBS Securities Australia Ltd	Sell	8,435	(1,234)	Ordinary
20-Aug-19	UBS Securities Australia Ltd	Sell	22,755	(3,313)	Ordinary
20-Aug-19	UBS AG London Branch	Stock returned	N/A	(45,000)	Ordinary
20-Aug-19	UBS AG London Branch	Stock returned	N/A	(900)	Ordinary
21-Aug-19	UBS Securities Australia Ltd	Buy	33,798	4,932	Ordinary
21-Aug-19	UBS Securities Australia Ltd	Sell	10,368	(1,510)	Ordinary
21-Aug-19	UBS Securities Australia Ltd	Sell	29,930	(4,363)	Ordinary
21-Aug-19	UBS Securities Australia Ltd	Buy	82,932	12,028	Ordinary
21-Aug-19	UBS Securities Australia Ltd	Sell	963	(140)	Ordinary
21-Aug-19	UBS Securities Australia Ltd	Buy	31,120	4,590	Ordinary
21-Aug-19	UBS Securities Australia Ltd	Sell	49	(7)	Ordinary
21-Aug-19	UBS Securities Australia Ltd	Sell	17,598	(2,569)	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Buy	17,752	2,569	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Buy	17,598	2,569	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Sell	39,385	(5,711)	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Buy	5,857	849	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Sell	1,746	(254)	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Buy	18,036	2,608	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Sell	49,855	(7,272)	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Buy	28	4	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Sell	2,679	(389)	Ordinary
22-Aug-19	UBS Securities Australia Ltd	Sell	26,432	(3,841)	Ordinary
22-Aug-19	UBS AG London Branch	Stock received	N/A	900	Ordinary
23-Aug-19	UBS Securities Australia Ltd	Buy	35,041	5,138	Ordinary
23-Aug-19	UBS Securities Australia Ltd	Buy	22,712	3,348	Ordinary
23-Aug-19	UBS Securities Australia Ltd	Sell	3,833	(561)	Ordinary
23-Aug-19	UBS Securities Australia Ltd	Sell	7,287	(1,071)	Ordinary
23-Aug-19	UBS Securities Australia Ltd	Buy	5,924	872	Ordinary
23-Aug-19	UBS Securities Australia Ltd	Buy	1,966	290	Ordinary
23-Aug-19	UBS Securities Australia Ltd	Sell	14,345	(2,061)	Ordinary

26-Aug-19	UBS Securities Australia Ltd	Buy	41,915	6,169	Ordinary
26-Aug-19	UBS Securities Australia Ltd	Sell	35,041	(5,138)	Ordinary
26-Aug-19	UBS Securities Australia Ltd	Sell	19,454	(2,857)	Ordinary
26-Aug-19	UBS Securities Australia Ltd	Sell	52,686	(7,765)	Ordinary
26-Aug-19	UBS Securities Australia Ltd	Buy	39,201	5,763	Ordinary
26-Aug-19	UBS Securities Australia Ltd	Sell	527	(77)	Ordinary
26-Aug-19	UBS Securities Australia Ltd	Buy	447	66	Ordinary
26-Aug-19	UBS Securities Australia Ltd	Sell	35,041	(5,138)	Ordinary
27-Aug-19	UBS Securities Australia Ltd	Buy	36,840	5,391	Ordinary
27-Aug-19	UBS Securities Australia Ltd	Sell	942	(138)	Ordinary
27-Aug-19	UBS Securities Australia Ltd	Sell	8,378	(1,222)	Ordinary
27-Aug-19	UBS Securities Australia Ltd	Buy	44,143	6,471	Ordinary
27-Aug-19	UBS Securities Australia Ltd	Sell	22,428	(3,287)	Ordinary
27-Aug-19	UBS Securities Australia Ltd	Buy	52,651	7,696	Ordinary
27-Aug-19	UBS Securities Australia Ltd	Sell	8,632	(1,262)	Ordinary
27-Aug-19	UBS Securities Australia Ltd	Sell	21,553	(3,161)	Ordinary
27-Aug-19	UBS Securities Australia Ltd	Buy	88	13	Ordinary
28-Aug-19	UBS Securities Australia Ltd	Buy	8,621	1,260	Ordinary
28-Aug-19	UBS Securities Australia Ltd	Sell	13,403	(1,945)	Ordinary
28-Aug-19	UBS Securities Australia Ltd	Buy	28,553	4,164	Ordinary
28-Aug-19	UBS Securities Australia Ltd	Sell	7,873	(1,144)	Ordinary
28-Aug-19	UBS Securities Australia Ltd	Buy	15,323	2,230	Ordinary
28-Aug-19	UBS Securities Australia Ltd	Buy	28	4	Ordinary
28-Aug-19	UBS Securities Australia Ltd	Sell	11,787	(1,720)	Ordinary
29-Aug-19	UBS Securities Australia Ltd	Sell	8,218	(1,172)	Ordinary
29-Aug-19	UBS Securities Australia Ltd	Sell	23,620	(3,397)	Ordinary
29-Aug-19	UBS Securities Australia Ltd	Buy	14,365	2,074	Ordinary
29-Aug-19	UBS Securities Australia Ltd	Buy	25,890	3,727	Ordinary
29-Aug-19	UBS Securities Australia Ltd	Sell	12,447	(1,790)	Ordinary
29-Aug-19	UBS Securities Australia Ltd	Buy	104	15	Ordinary
29-Aug-19	UBS Securities Australia Ltd	Buy	47,452	6,822	Ordinary
29-Aug-19	UBS Securities Australia Ltd	Sell	898	(129)	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Buy	56,051	8,108	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Sell	1,002	(144)	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Sell	10,645	(1,537)	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Sell	37,291	(5,371)	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Buy	9,520	1,379	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Sell	2,834	(412)	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Sell	104	(15)	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Buy	8,287	1,191	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Buy	15,213	2,201	Ordinary
30-Aug-19	UBS Securities Australia Ltd	Buy	21	3	Ordinary
02-Sep-19	UBS Securities Australia Ltd	Sell	34,955	(5,029)	Ordinary
02-Sep-19	UBS Securities Australia Ltd	Buy	45,720	6,644	Ordinary
02-Sep-19	UBS Securities Australia Ltd	Buy	46,360	6,715	Ordinary
02-Sep-19	UBS Securities Australia Ltd	Sell	71,360	(10,332)	Ordinary
02-Sep-19	UBS Securities Australia Ltd	Sell	1,060	(153)	Ordinary
02-Sep-19	UBS Securities Australia Ltd	Buy	8,146	1,184	Ordinary
02-Sep-19	UBS Securities Australia Ltd	Sell	6,222	(897)	Ordinary
02-Sep-19	UBS Securities Australia Ltd	Buy	27,993	4,040	Ordinary
02-Sep-19	UBS Securities Australia Ltd	Buy	830	119	Ordinary
02-Sep-19	UBS AG London Branch	Stock received	N/A	100	Ordinary
02-Sep-19	UBS AG, Australia Branch	Relevant interest in Nick Scali Limited shares to the extent arising under the terms of the Block Trade Agreement ("BTA") between UBS AG, Australia Branch and KUKA Investment and Management Co., Limited to the extent arising under section 608(8) of the Corporations Act 2001 (Cth). (See Appendix B)	N/A	11,039,473	Ordinary