

# Form 604

Corporations Act 2001

Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme HUB24 LIMITED  
ACN/ARSN ACN 124 891 685

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

There was a change in the interests of the substantial holder on 12-Mar-2020

The previous notice was given to the company on 11-Mar-2020

The previous notice was dated 11-Mar-2020

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	4,321,240	6.88%	3,305,433	5.26%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See Annexure "A"					

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
See Annexure "B"					

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	


**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
See Annexure "C"	

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**Signature**

print name	Winston Loke	capacity	Authorised Signatory
<b>sign here</b>		date	16-Mar-2020

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## Annexure "A"

### 3. Changes in relevant interests

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
6-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	36,890.54 AUD	-4,149 Common Stock	-4,149
6-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	179,420.10 AUD	-20,279 Common Stock	-20,279
6-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	40,225.36 AUD	-4,613 Common Stock	-4,613
6-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	36,632.42 AUD	-4,001 Common Stock	-4,001
6-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	88,814.47 AUD	-10,017 Common Stock	-10,017
6-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	100,343.69 AUD	-11,330 Common Stock	-11,330
6-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	86,462.80 AUD	-9,754 Common Stock	-9,754
6-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	26.39 AUD	-3 Common Stock	-3
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	69,038.41 AUD	8,202 Common Stock	8,202
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	111,214.70 AUD	13,719 Common Stock	13,719
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	15,406.68 AUD	1,888 Common Stock	1,888
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	53,267.90 AUD	6,493 Common Stock	6,493
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	58,750.47 AUD	7,353 Common Stock	7,353
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	66,252.0 AUD	7,983 Common Stock	7,983
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	103.87 AUD	13 Common Stock	13
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	135,186.89 AUD	16,463 Common Stock	16,463
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	78,956.06 AUD	9,858 Common Stock	9,858
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	14,334.11 AUD	1,733 Common Stock	1,733
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	8.66 AUD	1 Common Stock	1
6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	14,110.07 AUD	1,712 Common Stock	1,712

6-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	14,226.65 AUD	1,700 Common Stock	1,700
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	193,341.22 AUD	-22,084 Common Stock	-22,084
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	89,381.91 AUD	-10,203 Common Stock	-10,203
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	53,137.12 AUD	-6,085 Common Stock	-6,085
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	12,538.73 AUD	-1,436 Common Stock	-1,436
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	14,784.35 AUD	-1,696 Common Stock	-1,696
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	373,710.45 AUD	-42,667 Common Stock	-42,667
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	40,425.96 AUD	-4,614 Common Stock	-4,614
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	66,922.81 AUD	-7,618 Common Stock	-7,618
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	43,259.84 AUD	-4,952 Common Stock	-4,952
9-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	105,336.56 AUD	-12,066 Common Stock	-12,066
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	764.46 AUD	103 Common Stock	103
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	11,014.12 AUD	1,478 Common Stock	1,478
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	36,073.80 AUD	4,908 Common Stock	4,908
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	3,565.47 AUD	471 Common Stock	471
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	32,083.0 AUD	4,341 Common Stock	4,341
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	8,577.57 AUD	1,143 Common Stock	1,143
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	45,523.53 AUD	6,122 Common Stock	6,122
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	919.88 AUD	122 Common Stock	122
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	16,316.87 AUD	2,187 Common Stock	2,187
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	92,630.0 AUD	12,373 Common Stock	12,373
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	363,964.69 AUD	49,001 Common Stock	49,001
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	27,134.04 AUD	3,396 Common Stock	3,396
9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	8,144.38 AUD	1,102 Common Stock	1,102

9-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	357,091.79 AUD	48,061 Common Stock	48,061
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	146,791.66 AUD	-17,808 Common Stock	-17,808
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	310,637.84 AUD	-37,343 Common Stock	-37,343
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	13,497.93 AUD	-1,668 Common Stock	-1,668
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	134,668.56 AUD	-16,247 Common Stock	-16,247
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	53,995.73 AUD	-6,677 Common Stock	-6,677
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	88,745.82 AUD	-10,699 Common Stock	-10,699
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	227,368.34 AUD	-27,254 Common Stock	-27,254
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	18,400.97 AUD	-2,303 Common Stock	-2,303
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	43,023.24 AUD	-5,153 Common Stock	-5,153
10-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	1,266.17 AUD	-152 Common Stock	-152
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	134,581.28 AUD	17,805 Common Stock	17,805
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	607.93 AUD	81 Common Stock	81
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	789,444.93 AUD	105,694 Common Stock	105,694
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	129,576.48 AUD	17,072 Common Stock	17,072
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	37,745.06 AUD	5,015 Common Stock	5,015
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	82.51 AUD	11 Common Stock	11
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	52,579.20 AUD	7,011 Common Stock	7,011
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	30,800.0 AUD	4,000 Common Stock	4,000
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	128,597.28 AUD	17,351 Common Stock	17,351
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	12,048.23 AUD	1,590 Common Stock	1,590
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	14.85 AUD	2 Common Stock	2
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	32,634.0 AUD	4,440 Common Stock	4,440
10-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	541.25 AUD	72 Common Stock	72

11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	30,708.30 AUD	-4,178 Common Stock	-4,178
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	278,226.68 AUD	-37,266 Common Stock	-37,266
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	10,434.94 AUD	-1,306 Common Stock	-1,306
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	72,089.55 AUD	-9,600 Common Stock	-9,600
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	5,165.58 AUD	-686 Common Stock	-686
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	25,040.66 AUD	-3,134 Common Stock	-3,134
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	52,273.67 AUD	-6,979 Common Stock	-6,979
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	56,142.53 AUD	-7,411 Common Stock	-7,411
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	27,134.04 AUD	-3,396 Common Stock	-3,396
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	186,733.63 AUD	-24,698 Common Stock	-24,698
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	32,634.09 AUD	-4,440 Common Stock	-4,440
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	425,292.01 AUD	-56,745 Common Stock	-56,745
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	41,739.76 AUD	-5,224 Common Stock	-5,224
11-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	85,230.43 AUD	-11,336 Common Stock	-11,336
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	7,931.55 AUD	1,045 Common Stock	1,045
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	39,650.16 AUD	5,224 Common Stock	5,224
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	186,969.38 AUD	24,107 Common Stock	24,107
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	59,475.24 AUD	7,836 Common Stock	7,836
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	390.01 AUD	51 Common Stock	51
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	15,855.51 AUD	2,089 Common Stock	2,089
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	78,828.02 AUD	10,374 Common Stock	10,374
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	603.20 AUD	80 Common Stock	80
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	14,344.88 AUD	1,888 Common Stock	1,888
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	3,545.78 AUD	459 Common Stock	459

11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	32,549.75 AUD	4,251 Common Stock	4,251
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	10,410.0 AUD	1,388 Common Stock	1,388
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	15.29 AUD	2 Common Stock	2
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	131,692.50 AUD	17,559 Common Stock	17,559
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,396.80 AUD	318 Common Stock	318
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	10,618.92 AUD	1,387 Common Stock	1,387
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,235.0 AUD	298 Common Stock	298
11-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	18,175.88 AUD	2,373 Common Stock	2,373
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	74,922.06 AUD	-10,100 Common Stock	-10,100
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	143,171.46 AUD	-18,917 Common Stock	-18,917
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	669.35 AUD	-89 Common Stock	-89
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	763,740.49 AUD	-102,002 Common Stock	-102,002
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	14,542.44 AUD	-1,916 Common Stock	-1,916
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	97,038.21 AUD	-12,841 Common Stock	-12,841
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	2,662.50 AUD	-354 Common Stock	-354
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	18,011.07 AUD	-2,373 Common Stock	-2,373
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	85,084.68 AUD	-11,271 Common Stock	-11,271
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	2,648,879.64 AUD	-348,996 Common Stock	-348,996
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	366,275.59 AUD	-48,558 Common Stock	-48,558
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	46,967.53 AUD	-6,336 Common Stock	-6,336
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	56,620.19 AUD	-7,475 Common Stock	-7,475
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	30,482.50 AUD	-4,000 Common Stock	-4,000
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	174,614.86 AUD	-23,280 Common Stock	-23,280
12-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	1,113,550.10 AUD	-147,872 Common Stock	-147,872

12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	39,180.0 AUD	5,224 Common Stock	5,224
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	1,480.04 AUD	214 Common Stock	214
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	14.50 AUD	2 Common Stock	2
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	56.85 AUD	8 Common Stock	8
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	231,685.37 AUD	33,506 Common Stock	33,506
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	7.19 AUD	1 Common Stock	1
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	189,685.29 AUD	27,004 Common Stock	27,004
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	75,202.79 AUD	10,622 Common Stock	10,622
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	23,505.0 AUD	3,134 Common Stock	3,134
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	52,156.29 AUD	7,404 Common Stock	7,404
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	62,542.62 AUD	9,117 Common Stock	9,117
12-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	161,880.95 AUD	23,414 Common Stock	23,414
6-Mar-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure D of Notice	-14,916 Common Stock	-14,916
6-Mar-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure D of Notice	-17,881 Common Stock	-17,881
6-Mar-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure D of Notice	-19,432 Common Stock	-19,432
9-Mar-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure D of Notice	-17,881 Common Stock	-17,881
9-Mar-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure D of Notice	-3,119 Common Stock	-3,119
11-Mar-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure D of Notice	-62,000 Common Stock	-62,000
11-Mar-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure D of Notice	-36,152 Common Stock	-36,152
11-Mar-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure D of Notice	-79,047 Common Stock	-79,047
11-Mar-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure D of Notice	-19,000 Common Stock	-19,000





## Annexure "B"

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	Voting rights and right to dispose	1,061,638 Common Stock	1,061,638
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	Holder of securities subject to an obligation to return under a securities lending agreement	1,122,907 Common Stock	1,122,907
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (Europe) Limited	Voting rights and right to dispose	3787 Common Stock	3787
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (Europe) Limited	Holder of securities subject to an obligation to return under a securities lending agreement	542,229 Common Stock	542,229
Credit Suisse AG, Dublin Branch	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse AG, Dublin Branch	Holder of securities subject to an obligation to return under a securities lending agreement	286,136 Common Stock	286,136
Credit Suisse Securities (USA) LLC	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (USA) LLC	Holder of securities subject to an obligation to return under a securities lending agreement	234,400 Common Stock	234,400
Credit Suisse Funds AG	HSBC Custody Nominees (Australia) Limited (ACN 003 094 568)	Credit Suisse Funds AG	Voting rights and right to dispose	54,336 Common Stock	54,336

This is Annexure "B" referred to in the Form 604 "Notice of change of interests of substantial holder"

**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name Winston Loke

capacity Authorised Signatory

sign here

date 16-Mar-2020



## Annexure "C"

### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Funds AG	Uetibergstrasse 231,Zurich,8045 Switzerland
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	One Cabot Square,London,E14 4QJ
Credit Suisse Securities (USA) LLC	11 Madison Avenue., New York, New York 10010, New York 10010
Credit Suisse AG, Dublin Branch	Kilmore House, Park lane, Spencer Dock, Dublin 1, Ireland

This is Annexure "C" referred to in the Form 604 "Notice of change of interests of substantial holder"

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### Signature

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	16-Mar-2020



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Annexure "D"

This is Annexure "D" referred to in the Form 604 "Notice of change of interests of substantial holder"

**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
<b>sign here</b>		date	16-Mar-2020



## Prescribed information

### Schedule

Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Tasman Market Neutral Fund
Transfer date	6-Mar-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information****Schedule**

Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and BFAM ASIAN OPP MF - DELTA ONE
Transfer date	11-Mar-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

## Prescribed information

### Schedule

Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Atlantic Absolute Return Fund
Transfer date	11-Mar-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

<b>Prescribed information</b>	
<b>Schedule</b>	
Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Regal Investment Fund
Transfer date	11-Mar-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.



<b>Prescribed information</b>	
<b>Schedule</b>	
Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Regal AUS Small Companies Fund
Transfer date	11-Mar-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

