

Form 604

Corporations Act 2001

Section 671B

Notice of change of interests of substantial holder

To Company Name/Scheme HUB24 LIMITED
ACN/ARSN ACN 124 891 685

1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

There was a change in the interests of the substantial holder on 13-Mar-2020

The previous notice was given to the company on 16-Mar-2020

The previous notice was dated 16-Mar-2020

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	3,305,433	5.26%	4,112,947	6.54%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See Annexure "A"					

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
See Annexure "B"					

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:


Name and ACN/ARSN (if applicable)	Nature of association
N/A	

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
See Annexure "C"	

Signature

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	18-Mar-2020

Annexure "A"

3. Changes in relevant interests

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
13-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	673,772.08 AUD	-87,976 Common Stock	-87,976
13-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	253,587.15 AUD	-33,204 Common Stock	-33,204
13-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	93,481.82 AUD	-12,298 Common Stock	-12,298
13-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	104,253.15 AUD	-13,674 Common Stock	-13,674
13-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	69,140.51 AUD	-8,927 Common Stock	-8,927
13-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	77,816.90 AUD	-10,118 Common Stock	-10,118
13-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	2,250,000.0 AUD	-300,000 Common Stock	-300,000
13-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	70,260.17 AUD	-9,177 Common Stock	-9,177
13-Mar-20	Credit Suisse Equities (Australia) Limited	Disposed on market	3,497.58 AUD	-459 Common Stock	-459
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	16,889.54 AUD	2,532 Common Stock	2,532
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	13.18 AUD	2 Common Stock	2
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	5,033,931.54 AUD	693,379 Common Stock	693,379
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	51.67 AUD	8 Common Stock	8
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	845,518.94 AUD	129,744 Common Stock	129,744
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	36,664.23 AUD	5,663 Common Stock	5,663
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	198,650.24 AUD	29,897 Common Stock	29,897
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	46.13 AUD	7 Common Stock	7
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	183,866.76 AUD	25,326 Common Stock	25,326
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	7,596.0 AUD	1,150 Common Stock	1,150
13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	18,154.38 AUD	2,649 Common Stock	2,649

13-Mar-20	Credit Suisse Equities (Australia) Limited	Acquired on market	12.89 AUD	2 Common Stock	2
13-Mar-20	Credit Suisse AG, Dublin branch	Stock borrowed under Master prime brokerage agreement	Refer to Annexure A of Notice	392,988 Common Stock	392,988

This is Annexure "A" referred to in the Form 604 "Notice of change of interests of substantial holder"

Signature

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name Winston Loke

capacity Authorised Signatory

sign here

date 18-Mar-2020



Annexure "B"

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	Voting rights and right to dispose	1,476,164 Common Stock	1,476,164
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	Holder of securities subject to an obligation to return under a securities lending agreement	1,122,907 Common Stock	1,122,907
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (Europe) Limited	Voting rights and right to dispose	3787 Common Stock	3787
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (Europe) Limited	Holder of securities subject to an obligation to return under a securities lending agreement	542,229 Common Stock	542,229
Credit Suisse AG, Dublin Branch	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse AG, Dublin Branch	Holder of securities subject to an obligation to return under a securities lending agreement	679,124 Common Stock	679,124
Credit Suisse Securities (USA) LLC	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (USA) LLC	Holder of securities subject to an obligation to return under a securities lending agreement	234,400 Common Stock	234,400
Credit Suisse Funds AG	HSBC Custody Nominees (Australia) Limited (ACN 003 094 568)	Credit Suisse Funds AG	Voting rights and right to dispose	54,336 Common Stock	54,336

This is Annexure "B" referred to in the Form 604 "Notice of change of interests of substantial holder"

Signature

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name Winston Loke

capacity Authorised Signatory

sign here

date 18-Mar-2020



Annexure "C"

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Funds AG	Uetibergstrasse 231,Zurich,8045 Switzerland
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	One Cabot Square,London,E14 4QJ
Credit Suisse Securities (USA) LLC	11 Madison Avenue., New York, New York 10010, New York 10010
Credit Suisse AG, Dublin Branch	Kilmore House, Park lane, Spencer Dock, Dublin 1, Ireland

This is Annexure "C" referred to in the Form 604 "Notice of change of interests of substantial holder"

Signature

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	18-Mar-2020



Annexure "D"

This is Annexure "D" referred to in the Form 604 "Notice of change of interests of substantial holder"

Signature

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	18-Mar-2020



Prescribed information	
Schedule	
Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Regal AUS Small Companies Fund
Transfer date	13-Mar-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

