



1 October 2020

Attention: Market Announcement Office

By facsimile: 1300 135 638

**Re-submission / correction to ASIC Form 605 Bravura Solutions Ltd (BVS) dated 3 September 2020 – The Northern Trust Company**

We refer to ASIC Form 605 for Bravura Solutions Ltd (BVS) (the form) lodged with the ASX on 3 September 2020 relating to holdings / voting power held by The Northern Trust Company and Northern Trust related entities on 31 August 2020.

We are re-submitting the form today following the discovery of an omission in the Appendices of the form previously lodged. The omission relates to collateral transactions with UBS Securities Australia Limited, which have now been included in Appendix 1 and 2 of the form and are highlighted. Please note that the percentage of voting shares held that was disclosed in the form lodged on 3 September 2020 is accurate and already included the highlighted transactions, which were only omitted from the Appendices.

Please contact me on (03) 9908 6453 if you have any queries.

Kind Regards

Marco Mercadante  
Senior Consultant, Compliance

**The Northern Trust Company**

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Melbourne VIC 3000 Australia  
Phone: +61 3 9947 9300  
ARBN: 126 279 018

NTAC:3NS-20

**Form 605**

Corporations Act 2001

Section 671B

**Notice of ceasing to be a substantial holder**

To Company Name/Scheme BRAVURA SOLUTIONS LTD BVS  
 Level 6, 345 George Street  
 SYDNEY NSW 2000

ACN/ARSN 164 391 128

**1. Details of substantial holder (1)**

Name THE NORTHERN TRUST COMPANY

ACN/ARSN (if applicable) Not applicable

The holder ceased to be a substantial holder on 31/08/2020

The previous notice was given to the company on 22/06/2020

The previous notice was dated 18/06/2020

**2. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
23/06/2020 to 31/08/2020	The Northern Trust Company	Refer to Appendices 1 and 2	No consideration directly referable to the securities for which The Northern Trust Company acts as Securities Lending agent.	Fully paid ordinary shares – 9,647,047	Unknown
15/07/2020 to 31/08/2020	Northern Trust Investments, Inc.	Refer to Appendix 2	Refer to Appendix 2	Fully paid ordinary shares –12,075	Investment Management client(s)

**3. Changes in association**

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
	Not applicable

**4. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
The Northern Trust Company	50 LaSalle Street, Chicago, IL 60603
Northern Trust Investments, Inc.	50 S LaSalle St, Chicago, IL 60603

**Signature**

print name Angelo Calvitto capacity Senior Vice President

sign here  date 01/10/2020

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**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (e.g. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 4 of the form.
- (2) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (3) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (4) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (5) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (6) The voting shares of a company constitute one class unless divided into separate classes.
- (7) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

Name The Northern Trust Company

ACN/ARSN (if applicable) Not applicable

This is Appendix 1 of 2 referred to Form 605 Notice of ceasing to be a substantial holder

Page 1 of 3

Type of Agreement	Global Master Securities Lending Agreement 2010
Parties to Agreement	The Northern Trust Company (Lender) & UBS AG (Borrower)
Transfer Date	Refer to Appendix 2
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights? (yes/no, if yes detail)	No
Schedule return date (if any)	NA
Does the borrower have the right to return early? (yes/no, if yes detail)	Yes, the borrower may return borrowed securities upon giving notice
Does the lender have the right to recall? (yes/no, if yes detail)	Yes, the lender may recall lent securities upon giving notice
Will the securities be returned on settlement? (yes/no, if yes detail any exceptions)	On recall of the securities loan by the securities lender

Type of Agreement	Global Master Securities Lending Agreement 2010
Parties to Agreement	The Northern Trust Company (Lender) & J.P. MORGAN SECURITIES PLC (Borrower)
Transfer Date	Refer to Appendix 2
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights? (yes/no, if yes detail)	No
Schedule return date (if any)	NA
Does the borrower have the right to return early? (yes/no, if yes detail)	Yes, the borrower may return borrowed securities upon giving notice
Does the lender have the right to recall? (yes/no, if yes detail)	Yes, the lender may recall lent securities upon giving notice
Will the securities be returned on settlement? (yes/no, if yes detail any exceptions)	On recall of the securities loan by the securities lender

Type of Agreement	Global Master Securities Lending Agreement 2010
Parties to Agreement	The Northern Trust Company (Lender) & Credit Suisse Equities Australia Limited (Borrower)
Transfer Date	Refer to Appendix 2
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights? (yes/no, if yes detail)	No
Schedule return date (if any)	NA
Does the borrower have the right to return early? (yes/no, if yes detail)	Yes, the borrower may return borrowed securities upon giving notice
Does the lender have the right to recall? (yes/no, if yes detail)	Yes, the lender may recall lent securities upon giving notice
Will the securities be returned on settlement? (yes/no, if yes detail any exceptions)	On recall of the securities loan by the securities lender

Name The Northern Trust Company

ACN/ARSN (if applicable) Not applicable

This is Appendix 1 of 2 referred to Form 605 Notice of ceasing to be a substantial holder

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Type of Agreement	Global Master Securities Lending Agreement 2010
Parties to Agreement	The Northern Trust Company (Lender) & Morgan Stanley & co International PLC
Transfer Date	Refer to Appendix 2
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights? (yes/no, if yes detail)	No
Schedule return date (if any)	NA
Does the borrower have the right to return early? (yes/no, if yes detail)	Yes, the borrower may return borrowed securities upon giving notice
Does the lender have the right to recall? (yes/no, if yes detail)	Yes, the lender may recall lent securities upon giving notice
Will the securities be returned on settlement? (yes/no, if yes detail any exceptions)	On recall of the securities loan by the securities lender

Type of Agreement	Global Master Securities Lending Agreement 2010
Parties to Agreement	The Northern Trust Company (Lender) & BARCLAYS CAPITAL SECURITIES LTD (Borrower)
Transfer Date	Refer to Appendix 2
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights? (yes/no, if yes detail)	No
Schedule return date (if any)	NA
Does the borrower have the right to return early? (yes/no, if yes detail)	Yes, the borrower may return borrowed securities upon giving notice
Does the lender have the right to recall? (yes/no, if yes detail)	Yes, the lender may recall lent securities upon giving notice
Will the securities be returned on settlement? (yes/no, if yes detail any exceptions)	On recall of the securities loan by the securities lender

Type of Agreement	Global Master Securities Lending Agreement 2010
Parties to Agreement	The Northern Trust Company (Lender) & CREDIT SUISSE AG, DUBLIN BRANCH(Borrower)
Transfer Date	Refer to Appendix 2
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights? (yes/no, if yes detail)	No
Schedule return date (if any)	NA
Does the borrower have the right to return early? (yes/no, if yes detail)	Yes, the borrower may return borrowed securities upon giving notice
Does the lender have the right to recall? (yes/no, if yes detail)	Yes, the lender may recall lent securities upon giving notice

Name The Northern Trust Company

ACN/ARSN (if applicable) Not applicable

This is Appendix 1 of 2 referred to Form 605 Notice of ceasing to be a substantial holder

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<b>Will the securities be returned on settlement? (yes/no, if yes detail any exceptions)</b>	<b>On recall of the securities loan by the securities lender</b>
<b>Type of Agreement</b>	<b>Global Master Securities Lending Agreement 2010</b>
<b>Parties to Agreement</b>	<b>The Northern Trust Company (Lender) &amp; UBS SECURITIES AUSTRALIA LTD</b>
<b>Transfer Date</b>	<b>Refer to Appendix 2</b>
<b>Holder of Voting Rights</b>	<b>Borrower</b>
<b>Are there any restrictions on voting rights? (yes/no, if yes detail)</b>	<b>No</b>
<b>Schedule return date (if any)</b>	<b>NA</b>
<b>Does the borrower have the right to return early? (yes/no, if yes detail)</b>	<b>Yes, the borrower may return borrowed securities upon giving notice</b>
<b>Does the lender have the right to recall? (yes/no, if yes detail)</b>	<b>Yes, the lender may recall lent securities upon giving notice</b>
<b>Will the securities be returned on settlement? (yes/no, if yes detail any exceptions)</b>	<b>On recall of the securities loan by the securities lender</b>

## Signature

print name Angelo Calvitto capacity Senior Vice President

sign here  date 01/10/2020

Name : The Northern Trust Company  
ACN/ARSN : Not applicable

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Securities Lending

Entity	Borrower	Transaction	Nominal
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(6,897)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(412,508)
ust Company	MORGAN STANLEY & CO. INTE	collateral in	95,268
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(97,231)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(3,079)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(1,288)
ust Company	J.P. MORGAN SECURITIES PL	collateral in	109,222
ust Company	MORGAN STANLEY & CO. INTE	collateral out	(7,613)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(42,618)
ust Company	J.P. MORGAN SECURITIES PL	collateral out	(12,222)
ust Company	MORGAN STANLEY & CO. INTE	collateral out	(87,655)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(61,678)
ust Company	UBS AG, LONDON BRANCH	collateral out	(1,000,000)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(145,932)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	108,280
ust Company	UBS SECURITIES AUSTRALIA	collateral in	31,974
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(3)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	15,673
ust Company	UBS SECURITIES AUSTRALIA	collateral in	272,119
ust Company	CREDIT SUISSE EQUITIES AUSTRALIA LIMITED	loan	54666
ust Company	CREDIT SUISSE EQUITIES AUSTRALIA LIMITED	Return	54666
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(4)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	28,706
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(1)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	15,150
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	loan	8146
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	loan	40242
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	Return	8146



Name : The Northern Trust Company  
ACN/ARSN : Not applicable

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Securities Lending

ust Company	BARCLAYS CAPITAL SECURITIES LTD.	Return	40242
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(1)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(37,706)
ust Company	J.P. MORGAN SECURITIES PL	collateral out	(473,000)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(4)
ust Company	J.P. MORGAN SECURITIES PL	collateral in	471,402
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(83,001)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(1)
ust Company	J.P. MORGAN SECURITIES PL	collateral out	(471,402)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	91,395
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	loan	45455
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	Return	45455
ust Company	UBS SECURITIES AUSTRALIA	collateral in	350,094
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(34,841)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(89,658)
ust Company	UBS AG, LONDON BRANCH	collateral out	(27,519)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	11,688
ust Company	UBS SECURITIES AUSTRALIA	collateral in	66,463
ust Company	UBS AG, LONDON BRANCH	collateral out	(1,609)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(50,001)
ust Company	UBS AG, LONDON BRANCH	collateral out	(5,298)
ust Company	J.P. MORGAN SECURITIES PL	collateral in	179,850
ust Company	UBS SECURITIES AUSTRALIA	collateral in	148,762
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	loan	8146
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	loan	48602
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	Return	8146
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	Return	48602
ust Company	J.P. MORGAN SECURITIES PL	collateral out	(69,850)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(1)
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	loan	50000

Name : The Northern Trust Company  
ACN/ARSN : Not applicable

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Securities Lending

ust Company	BARCLAYS CAPITAL SECURITIES LTD.	Return	50000
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(2)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	19,484
ust Company	UBS SECURITIES AUSTRALIA	collateral in	137,145
ust Company	UBS SECURITIES AUSTRALIA	collateral in	126,567
ust Company	UBS SECURITIES AUSTRALIA	collateral in	10,843
ust Company	CREDIT SUISSE EQUITIES AU	collateral in	257,573
ust Company	J.P. MORGAN SECURITIES PL	collateral out	(30,000)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	94,784
ust Company	UBS SECURITIES AUSTRALIA	collateral in	40,855
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	loan	50506
ust Company	BARCLAYS CAPITAL SECURITIES LTD.	Return	50506
ust Company	CREDIT SUISSE EQUITIES AU	collateral out	(4)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	26,558
ust Company	CREDIT SUISSE EQUITIES AU	collateral out	(2)
ust Company	CREDIT SUISSE EQUITIES AU	collateral out	(1)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(1)
ust Company	J.P. MORGAN SECURITIES PLC	loan	100000
ust Company	J.P. MORGAN SECURITIES PL	collateral out	(80,000)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	15,292
ust Company	CREDIT SUISSE AG, DUBLIN BRANCH	loan	193692
ust Company	CREDIT SUISSE AG, DUBLIN BRANCH	loan	1191308
ust Company	CREDIT SUISSE AG, DUBLIN BRANCH	Return	181692
ust Company	CREDIT SUISSE AG, DUBLIN BRANCH	Return	1191308
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(233)
ust Company	UBS SECURITIES AUSTRALIA	collateral in	253
ust Company	J.P. MORGAN SECURITIES PLC	loan	80000
ust Company	UBS AG, LONDON BRANCH	collateral out	(8)
ust Company	UBS SECURITIES AUSTRALIA	collateral out	(1)
ust Company	UBS AG, LONDON BRANCH	collateral in	2

Name : The Northern Trust Company  
ACN/ARSN : Not applicable

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Securities Lending

ust Company	<u>UBS SECURITIES AUSTRALIA</u>	collateral in	127,041
ust Company	CREDIT SUISSE AG, DUBLIN BRANCH	loan	24103
ust Company	CREDIT SUISSE AG, DUBLIN BRANCH	loan	19897
ust Company	CREDIT SUISSE EQUITIES AU	collateral out	(1)
ust Company	J.P. MORGAN SECURITIES PL	collateral in	169,000
ust Company	<u>UBS SECURITIES AUSTRALIA</u>	collateral in	131,543
ust Company	CREDIT SUISSE EQUITIES AU	collateral out	(1)
ust Company	J.P. MORGAN SECURITIES PL	collateral out	(168,997)
ust Company	MORGAN STANLEY & CO. INTE	collateral in	59,069
ust Company	UBS AG, LONDON BRANCH	collateral out	(2)
ust Company	<u>UBS SECURITIES AUSTRALIA</u>	collateral out	(2)
ust Company	MORGAN STANLEY & CO. INTE	collateral out	(4)
ust Company	UBS AG, LONDON BRANCH	collateral out	(3,153,740)
ust Company	<u>UBS SECURITIES AUSTRALIA</u>	collateral out	(5,832,335)
ust Company		Custody movement	(9,647,047)

Name : The Northern Trust Company  
ACN/ARSN : Not applicable

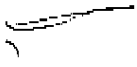
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NTAM

	Ccy	Type of Transaction	Quantity	Price	Consideration
it Investments, Inc.	AUD	SELL	236	3.6702	866.17
it Investments, Inc.	AUD	SELL	309	4.4000	1,359.06
it Investments, Inc.	AUD	BUY/REC	12,620	4.4000	56,032.80

Calvitto

capacity

Senior Vice President



date

01/10/2020