



1 November 2021

ASX:14D

## AMENDMENT TO ASX ADDITIONAL INFORMATION (HOLDERS) WITHIN 2021 ANNUAL REPORT

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Further to the lodgement of the company's Annual Report for FY21 on 30<sup>th</sup> September 2021, we wish to advise of an amendment to the Additional Information required in accordance with Listing Rule 4.10.7.

The corrected information with respect to the Range of Holders as at 20<sup>th</sup> September 2021 is enclosed herewith.

### FOR FURTHER INFORMATION PLEASE CONTACT:

Tania Sargent, Company Secretary  
+61 8 8357 8273

### AUTHORISED BY:

Tony Sacre, Chair  
+61 8 8357 8273

[www.1414degrees.com.au](http://www.1414degrees.com.au)

### ABOUT 1414 DEGREES LIMITED

1414 Degrees is developing and commercialising its silicon-based thermal energy storage technology, SiBox™, to enable a clean energy future. SiBox will harness the extremely high latent heat capacity of silicon in its proprietary storage system. This will enable intermittent renewables to provide flexible, ultra-high temperature heat 24/7 for large industrial applications and to deliver reliable heat and power supply when required. It is envisaged that the flexibility of the SiBox™ modular development concept will also provide energy customers with the ability to optimise their energy systems in a way that maximises their utilisation of cheaper renewable power and simplifies their purchasing from wholesale energy suppliers.

The Company plans to commission a demonstration module of the SiBox™ technology in 2022 which will accelerate the commercialisation of SiBox™ as a competitive clean energy product. The Company has previously implemented pilots which have led to the refinement and evolution of its technology.

In 2019 the Company made the strategic purchase of the Aurora Energy Project (AEP) located near Port Augusta, South Australia. The focus of the project is to develop a long-term renewable energy project delivering reliable electricity to the region and NEM. Once ready for commercialisation, the AEP site will also allow 14D to pilot and demonstrate a large commercial scale version of the SiBox™ technology.

For more information, please visit [www.1414degrees.com.au](http://www.1414degrees.com.au)

**ASX additional information**

Additional information required by the Australian Stock Exchange Ltd and not shown elsewhere in this report is as follows. The information is current as at 20 September 2021.

**Share Capital**

- 200,560,458 fully paid Ordinary Shares are held by 3,650 individual Shareholders.
- 3,375,000 Unlisted Performance Rights with various performance hurdles are held by 8 individual holders.
- All Ordinary Shares carry one vote per share.
- There is no current on-market buyback.

**Distribution of Equity Securities**

The number of shareholders, by size of holding, in each class are:

<u>Range</u>	<u>Securities</u>	<u>%</u>	<u>No. of holders</u>	<u>%</u>
1 to 1,000	16,088	0.01%	50	1.37%
1,001 to 5,000	2,435,376	1.21%	777	21.29%
5,001 to 10,000	6,879,071	3.43%	918	25.15%
10,001 to 100,000	53,977,551	26.91%	1,638	44.88%
100,001 Over	137,252,372	68.43%	267	7.32%
<b>Total</b>	<b>200,560,458</b>	<b>100.00%</b>	<b>3,650</b>	<b>100.00%</b>
Unmarketable Parcels at 10.0 cents per share			736	20.16%

**Substantial Shareholders**

(As disclosed in substantial holding notices given to the Company)

	<u>No. of Shares Held</u>	<u>%</u>
FOCEM PTY LTD <TOWARNIE SUPER FUND A/C>	12,653,000	6.31%

**Twenty largest holders of Quoted Ordinary Shares**

<u>Rank</u>	<u>Name</u>	<u>No. of Shares Held</u>	<u>%</u>
1	FOCEM PTY LTD <TOWARNIE SUPER FUND A/C>	12,653,000	6.31
2	AMMJOHN PTY LTD	5,439,868	2.71
3	MR JOHN HENRY MOSS + MRS WENDY ELIZABETH MOSS <MOSS RETIREMENT A/C>	5,249,188	2.62
4	MR ROBERT JOHN KEITH SHEPHERD + MRS LYNETTE DOROTHY SHEPHERD <RJK SHEPHERD & ASSOC SF A/C>	5,150,470	2.57
5	BNP PARIBAS NOMINEES PTY LTD SIX SIS LTD <DRP A/C>	4,689,600	2.34
6	MEWTWO GLOBAL INVESTMENTS LLC	4,333,333	2.16
7	MR HAROLD TOMBLIN + MRS JUDITH JOHNSTON <HAROLD TOMBLIN S/F A/C>	4,206,976	2.10
8	CITICORP NOMINEES PTY LIMITED	3,449,791	1.72
9	MR JOHN LANGLEY HANCOCK	2,778,333	1.39
10	MS SUSAN JOHNSON <LATENT HEAT HOLDINGS A/C>	2,657,448	1.33
11	BENGER SUPERANNUATION PTY LIMITED <BENGER SUPER FUND A/C>	2,300,000	1.15
12	MR JONATHAN WHALLEY + MRS MARTINE ANNE WHALLEY <YURREBILLA FUND A/C>	2,180,708	1.09
13	LHO LA PTY LTD <ACME FOUNDATION A/C>	2,000,000	1.00
13	RANAT INVESTMENTS PTY LTD <MARANANGA A/C>	2,000,000	1.00
15	KNIGHTS VALLEY LTD	1,666,500	0.83
16	MR IAN ROSS BURDON + MS CATHERINE LOUISE TAYLOR	1,500,000	0.75
16	MR IAN ROSS BURDON + MS CATHERINE LOUISE TAYLOR <IAN BURDON S/F A/C>	1,500,000	0.75
16	MR TREVOR WRIGHT + MRS OLIVE WRIGHT <WRIGHT FAMILY SUPERFUND A/C>	1,500,000	0.75
19	MS MARETTA ALYSSA LAYTON	1,257,000	0.63
20	BNP PARIBAS NOMINEES PTY LTD <IB AU NOMS RETAILCLIENT DRP>	1,254,006	0.63
	<b>Total</b>	<b>67,766,221</b>	<b>33.79</b>
	<b>Balance of register</b>	<b>132,794,237</b>	<b>66.21</b>
	<b>Grand total</b>	<b>200,560,458</b>	<b>100.00%</b>