



Announcement Summary

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**Entity name**

SLATER & GORDON LIMITED

**Date of this announcement**

Thursday November 18, 2021

**The +securities the subject of this notification are:**

+Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**Total number of +securities to be issued/transferred**

<b>ASX +security code</b>	<b>Security description</b>	<b>Total number of +securities to be issued/transferred</b>	<b>Issue date</b>
New class - code to be confirmed	Performance Rights	320,000	18/11/2021

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

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**1.1 Name of entity**

SLATER & GORDON LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

**1.2 Registered number type**

ACN

**Registration number**

097297400

**1.3 ASX issuer code**

SGH

**1.4 The announcement is**

New announcement

**1.5 Date of this announcement**

18/11/2021



Part 2 - Issue details

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**2.1 The +securities the subject of this notification are:**

+Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

**ASX +security code**

New class - code to be confirmed

**+Security description**

Performance Rights

**+Security type**

Other

**ISIN code**

**Date the +securities the subject of this notification were issued**

18/11/2021

**Will all the +securities issued in this class rank equally in all respects from their issue date?**

Yes

**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**

Yes

**Provide details of the KMP or +associates being issued +securities.**

**Name of KMP**

Kate Malone

**Name of registered holder**

Kate Malone

**Number of +securities**

320,000

**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**

[https://yourir.info/resources/e6ee3fe2201a1555/announcements/sgh.asx/3A526126/SGH\\_Notice\\_of\\_Annual\\_General\\_Meeting\\_2019.pdf](https://yourir.info/resources/e6ee3fe2201a1555/announcements/sgh.asx/3A526126/SGH_Notice_of_Annual_General_Meeting_2019.pdf)

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

[https://yourir.info/resources/e6ee3fe2201a1555/announcements/sgh.asx/3A526126/SGH\\_Notice\\_of\\_Annual\\_General\\_Meeting\\_2019.pdf](https://yourir.info/resources/e6ee3fe2201a1555/announcements/sgh.asx/3A526126/SGH_Notice_of_Annual_General_Meeting_2019.pdf)

**Any other information the entity wishes to provide about the +securities the subject of this notification**



Issue details

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**Number of +securities**

320,000

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Part 4 - +Securities on issue

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**Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)**

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
SGH : ORDINARY FULLY PAID	139,093,565

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
SGHAC : PERFORMANCE RIGHTS	620,000
SGHAA : PERFORMANCE RIGHTS	13,288,960
SGHAB : PERFORMANCE RIGHTS	1,354,105
New class - code to be confirmed : Performance Rights	320,000



Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

Yes

**5.1a Select the number of the applicable exception in Listing Rule 7.2**

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