

Form 603

Corporations Act 2001
Section 671B

Notice of initial substantial holder

To Company Name/Scheme Swoop Holdings Ltd (ASX:SWP)

ACN/ARSN ACN: 009 256 535

1. Details of substantial holder (1)

Name Wilson Asset Management Group (refer entities listed in Annexure A)

ACN/ARSN (if applicable) _____

The holder became a substantial holder on 31/01/2022

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary Shares	9,944,799	9,944,799	5.02%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Annexure C		

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Annexure C			

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Annexure B				

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
n/a	

7. Addresses


The addresses of persons named in this form are as follows:

Name	Address
Refer Annexure A	

Signature

print name **Martyn McCathie** capacity **Head of Operations**

sign here



date **02/02/2022**

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

Annexure A

Wilson Asset Management Group

1. Details of Wilson Asset Management Group

Name	ACN / ARSN
Wilson Asset Management (International) Pty Limited	(ACN 081 047 118)
MAM Pty Limited	(ACN 100 276 542)
WAM Capital Limited	(ACN 086 587 395)
WAM Research Limited	(ACN 100 504 541)
WAM Active Limited	(ACN 126 420 719)
Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	(ACN 081 032 000)
WAM Leaders Limited	(ACN 611 053 751)
WAM Microcap Limited	(ACN 617 838 418)
WAM Global Limited	(ACN 624 572 925)
WAM Strategic Value Limited	(ACN 649 096 220)
WAM Alternative Assets Limited	(ACN 168 941 704)

7. Addresses

Name	Address
Wilson Asset Management (International) Pty Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
MAM Pty Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
WAM Capital Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
WAM Research Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
WAM Active Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
Botanical Nominees Pty Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
WAM Leaders Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
WAM Microcap Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
WAM Alternative Assets Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
WAM Strategic Value Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
WAM Global Limited	Level 26,1 Farrer Place, Sydney, NSW 2000
Citigroup Pty Limited	Level 21, 2 Park Street, Sydney NSW 2000

Signed: 

Date: 02/02/2022

ANNEXURE B

Date of Change	Persons whose relevant interest changed	Nature of Change	Consideration given in relation to change (9)	Number of Securities affected	Class of Securities affected	Persons votes affected
06-Oct-21	WAM Microcap Limited	On Market Purchase	(85,049.95)	39,890	Ordinary Shares	39,890
18-Oct-21	WAM Capital Limited	On Market Purchase	(151,372.97)	73,418	Ordinary Shares	73,418
18-Oct-21	WAM Active Limited	On Market Purchase	(13,383.12)	6,491	Ordinary Shares	6,491
18-Oct-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(5,909.11)	2,866	Ordinary Shares	2,866
22-Oct-21	WAM Capital Limited	On Market Purchase	(186,212.47)	91,041	Ordinary Shares	91,041
22-Oct-21	WAM Active Limited	On Market Purchase	(12,824.47)	6,270	Ordinary Shares	6,270
22-Oct-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(5,500.00)	2,689	Ordinary Shares	2,689
26-Oct-21	WAM Microcap Limited	On Market Purchase	(203,334.95)	100,000	Ordinary Shares	100,000
28-Oct-21	WAM Microcap Limited	On Market Sale	1,048,267.50	500,000	Ordinary Shares	500,000
03-Nov-21	WAM Capital Limited	On Market Sale	47,689.39	23,840	Ordinary Shares	23,840
03-Nov-21	WAM Active Limited	On Market Sale	4,100.81	2,050	Ordinary Shares	2,050
03-Nov-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Sale	1,686.34	843	Ordinary Shares	843
05-Nov-21	WAM Microcap Limited	On Market Purchase	(33,481.36)	16,803	Ordinary Shares	16,803
05-Nov-21	WAM Capital Limited	On Market Purchase	(58,848.93)	29,534	Ordinary Shares	29,534
05-Nov-21	WAM Active Limited	On Market Purchase	(5,104.99)	2,562	Ordinary Shares	2,562
05-Nov-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(2,193.84)	1,101	Ordinary Shares	1,101
11-Nov-21	WAM Microcap Limited	On Market Purchase	(88,453.97)	46,581	Ordinary Shares	46,581
12-Nov-21	WAM Microcap Limited	On Market Purchase	(82,790.05)	43,911	Ordinary Shares	43,911
15-Nov-21	WAM Microcap Limited	On Market Purchase	(80,973.12)	43,355	Ordinary Shares	43,355
16-Nov-21	WAM Microcap Limited	On Market Purchase	(231,981.85)	125,340	Ordinary Shares	125,340
17-Nov-21	WAM Microcap Limited	On Market Purchase	(260,140.85)	141,148	Ordinary Shares	141,148
17-Nov-21	WAM Capital Limited	On Market Purchase	(422,538.12)	229,262	Ordinary Shares	229,262
17-Nov-21	WAM Active Limited	On Market Purchase	(43,726.03)	23,725	Ordinary Shares	23,725
17-Nov-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(21,845.50)	11,853	Ordinary Shares	11,853
19-Nov-21	WAM Capital Limited	On Market Purchase	(106,752.93)	57,603	Ordinary Shares	57,603
19-Nov-21	WAM Active Limited	On Market Purchase	(9,790.73)	5,283	Ordinary Shares	5,283
19-Nov-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(4,193.91)	2,263	Ordinary Shares	2,263
22-Nov-21	WAM Microcap Limited	On Market Purchase	(102,305.66)	55,272	Ordinary Shares	55,272
24-Nov-21	WAM Microcap Limited	On Market Purchase	(22,106.33)	12,003	Ordinary Shares	12,003
24-Nov-21	WAM Capital Limited	On Market Purchase	(57,093.75)	31,000	Ordinary Shares	31,000
24-Nov-21	WAM Active Limited	On Market Purchase	(5,040.83)	2,737	Ordinary Shares	2,737
24-Nov-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(2,208.24)	1,199	Ordinary Shares	1,199
25-Nov-21	WAM Microcap Limited	On Market Purchase	(6,707.54)	3,626	Ordinary Shares	3,626
25-Nov-21	WAM Capital Limited	On Market Purchase	(101,223.64)	54,720	Ordinary Shares	54,720
25-Nov-21	WAM Active Limited	On Market Purchase	(8,921.81)	4,823	Ordinary Shares	4,823
25-Nov-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(2,280.87)	1,233	Ordinary Shares	1,233
26-Nov-21	WAM Microcap Limited	On Market Purchase	(5,014.17)	2,710	Ordinary Shares	2,710
26-Nov-21	WAM Capital Limited	On Market Purchase	(12,949.88)	6,999	Ordinary Shares	6,999
26-Nov-21	WAM Active Limited	On Market Purchase	(1,143.45)	618	Ordinary Shares	618
26-Nov-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(501.42)	271	Ordinary Shares	271
29-Nov-21	WAM Microcap Limited	On Market Purchase	(37,218.00)	20,582	Ordinary Shares	20,582
29-Nov-21	WAM Capital Limited	On Market Purchase	(96,119.06)	53,155	Ordinary Shares	53,155
29-Nov-21	WAM Active Limited	On Market Purchase	(8,486.25)	4,693	Ordinary Shares	4,693
29-Nov-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(3,717.82)	2,056	Ordinary Shares	2,056
30-Nov-21	WAM Microcap Limited	On Market Purchase	(11,605.79)	6,393	Ordinary Shares	6,393
30-Nov-21	WAM Capital Limited	On Market Purchase	(29,973.92)	16,511	Ordinary Shares	16,511
30-Nov-21	WAM Active Limited	On Market Purchase	(2,645.02)	1,457	Ordinary Shares	1,457
30-Nov-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(1,160.04)	639	Ordinary Shares	639
01-Dec-21	WAM Microcap Limited	On Market Purchase	(24,112.55)	13,429	Ordinary Shares	13,429
01-Dec-21	WAM Capital Limited	On Market Purchase	(62,273.54)	34,682	Ordinary Shares	34,682
01-Dec-21	WAM Active Limited	On Market Purchase	(5,497.99)	3,062	Ordinary Shares	3,062
01-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(2,409.64)	1,342	Ordinary Shares	1,342
02-Dec-21	WAM Microcap Limited	On Market Purchase	(24,871.62)	13,834	Ordinary Shares	13,834
02-Dec-21	WAM Capital Limited	On Market Purchase	(74,343.37)	41,351	Ordinary Shares	41,351
02-Dec-21	WAM Active Limited	On Market Purchase	(5,618.31)	3,125	Ordinary Shares	3,125
02-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(3,038.39)	1,690	Ordinary Shares	1,690
03-Dec-21	WAM Microcap Limited	On Market Purchase	(87,286.50)	48,418	Ordinary Shares	48,418
03-Dec-21	WAM Capital Limited	On Market Purchase	(260,913.05)	144,729	Ordinary Shares	144,729
03-Dec-21	WAM Active Limited	On Market Purchase	(19,720.50)	10,939	Ordinary Shares	10,939
03-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(10,661.58)	5,914	Ordinary Shares	5,914
13-Dec-21	WAM Microcap Limited	On Market Purchase	(513,281.92)	366,026	Ordinary Shares	366,026
13-Dec-21	WAM Capital Limited	On Market Purchase	(540,621.36)	385,522	Ordinary Shares	385,522
13-Dec-21	WAM Active Limited	On Market Purchase	(47,260.65)	33,702	Ordinary Shares	33,702
13-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(20,684.08)	14,750	Ordinary Shares	14,750
14-Dec-21	WAM Microcap Limited	On Market Purchase	(173,837.65)	115,724	Ordinary Shares	115,724
14-Dec-21	WAM Capital Limited	On Market Purchase	(159,000.67)	105,847	Ordinary Shares	105,847
14-Dec-21	WAM Active Limited	On Market Purchase	(14,145.97)	9,417	Ordinary Shares	9,417
14-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(5,268.13)	3,507	Ordinary Shares	3,507
15-Dec-21	WAM Microcap Limited	On Market Purchase	(6,680.00)	4,446	Ordinary Shares	4,446
15-Dec-21	WAM Capital Limited	On Market Purchase	(6,109.06)	4,066	Ordinary Shares	4,066
15-Dec-21	WAM Active Limited	On Market Purchase	(543.89)	362	Ordinary Shares	362
15-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(202.83)	135	Ordinary Shares	135
16-Dec-21	WAM Microcap Limited	On Market Purchase	(188,926.91)	128,310	Ordinary Shares	128,310
16-Dec-21	WAM Capital Limited	On Market Purchase	(172,800.91)	117,358	Ordinary Shares	117,358
16-Dec-21	WAM Active Limited	On Market Purchase	(15,372.12)	10,440	Ordinary Shares	10,440
16-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(5,726.27)	3,889	Ordinary Shares	3,889
17-Dec-21	WAM Microcap Limited	On Market Purchase	(257,198.06)	171,240	Ordinary Shares	171,240
17-Dec-21	WAM Capital Limited	On Market Purchase	(235,246.71)	156,625	Ordinary Shares	156,625
17-Dec-21	WAM Active Limited	On Market Purchase	(20,927.01)	13,933	Ordinary Shares	13,933
17-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(7,795.24)	5,190	Ordinary Shares	5,190
21-Dec-21	WAM Microcap Limited	On Market Purchase	(10,926.47)	7,858	Ordinary Shares	7,858
21-Dec-21	WAM Capital Limited	On Market Purchase	(9,993.46)	7,187	Ordinary Shares	7,187
21-Dec-21	WAM Active Limited	On Market Purchase	(889.91)	640	Ordinary Shares	640
21-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(330.94)	238	Ordinary Shares	238
23-Dec-21	WAM Microcap Limited	On Market Purchase	(259.73)	184	Ordinary Shares	184
23-Dec-21	WAM Capital Limited	On Market Purchase	(237.14)	168	Ordinary Shares	168
23-Dec-21	WAM Active Limited	On Market Purchase	(21.17)	15	Ordinary Shares	15

23-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(8.47)	6	Ordinary Shares	6
30-Dec-21	WAM Microcap Limited	On Market Purchase	(68,864.68)	42,849	Ordinary Shares	42,849
30-Dec-21	WAM Capital Limited	On Market Purchase	(72,582.70)	44,516	Ordinary Shares	44,516
30-Dec-21	WAM Active Limited	On Market Purchase	(6,355.64)	3,898	Ordinary Shares	3,898
30-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(2,742.48)	1,682	Ordinary Shares	1,682
31-Dec-21	WAM Microcap Limited	On Market Purchase	(38,408.58)	23,050	Ordinary Shares	23,050
31-Dec-21	WAM Capital Limited	On Market Purchase	(39,904.93)	23,948	Ordinary Shares	23,948
31-Dec-21	WAM Active Limited	On Market Purchase	(3,494.27)	2,097	Ordinary Shares	2,097
31-Dec-21	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(1,508.01)	905	Ordinary Shares	905
07-Jan-22	WAM Microcap Limited	On Market Purchase	(17,324.34)	10,345	Ordinary Shares	10,345
07-Jan-22	WAM Capital Limited	On Market Purchase	(17,999.23)	10,748	Ordinary Shares	10,748
07-Jan-22	WAM Active Limited	On Market Purchase	(1,575.85)	941	Ordinary Shares	941
07-Jan-22	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(679.91)	406	Ordinary Shares	406
12-Jan-22	WAM Microcap Limited	On Market Purchase	(16,126.57)	10,000	Ordinary Shares	10,000
17-Jan-22	WAM Microcap Limited	On Market Purchase	(34,185.62)	20,987	Ordinary Shares	20,987
18-Jan-22	WAM Microcap Limited	On Market Purchase	(54,991.58)	34,254	Ordinary Shares	34,254
19-Jan-22	WAM Microcap Limited	On Market Purchase	(124,220.47)	76,790	Ordinary Shares	76,790
20-Jan-22	WAM Microcap Limited	On Market Purchase	(208,239.17)	127,247	Ordinary Shares	127,247
24-Jan-22	WAM Microcap Limited	On Market Purchase	(361,890.47)	261,190	Ordinary Shares	261,190
25-Jan-22	WAM Microcap Limited	On Market Purchase	(22,240.45)	17,051	Ordinary Shares	17,051
25-Jan-22	WAM Capital Limited	On Market Purchase	(78,837.44)	60,442	Ordinary Shares	60,442
25-Jan-22	WAM Active Limited	On Market Purchase	(7,252.18)	5,560	Ordinary Shares	5,560
25-Jan-22	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(2,972.61)	2,279	Ordinary Shares	2,279
27-Jan-22	WAM Microcap Limited	On Market Purchase	(65,462.83)	50,000	Ordinary Shares	50,000
28-Jan-22	WAM Microcap Limited	On Market Purchase	(7,145.02)	5,369	Ordinary Shares	5,369
28-Jan-22	WAM Capital Limited	On Market Purchase	(22,941.53)	17,239	Ordinary Shares	17,239
28-Jan-22	WAM Active Limited	On Market Purchase	(2,114.63)	1,589	Ordinary Shares	1,589
28-Jan-22	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	On Market Purchase	(874.33)	657	Ordinary Shares	657
31-Jan-22	WAM Microcap Limited	On Market Purchase	(132,147.69)	100,000	Ordinary Shares	100,000
02-Feb-22	WAM Microcap Limited	On Market Purchase	(36,195.05)	26,921	Ordinary Shares	26,921

Signed: _____

Date: 02/02/2022

ANNEXURE C

Holder of Relevant Interest	Registered Holder of Securities	Nature of relevant interest (7)	Person entitled to be registered as holder (8)	Class and Number of Securities
Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	Citigroup Pty Limited	Management of portfolio of Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	Botanical Nominees Pty Limited as trustee of the Wilson Asset Management Equity Fund	168,150 ordinary shares
WAM Active Limited	Citigroup Pty Limited	Management of portfolio of WAM Active Limited	WAM Active Limited	389,913 ordinary shares
WAM Capital Limited	Citigroup Pty Limited	Management of portfolio of WAM Capital Limited	WAM Capital Limited	4,449,172 ordinary shares
WAM Microcap Limited	Citigroup Pty Limited	Management of portfolio of WAM Microcap Limited	WAM Microcap Limited	4,937,564 ordinary shares

Signed: 

Date: 02/02/2022