

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>Swoop Holdings Limited</b>
<b>ABN</b>	<b>20 009 256 535</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Anthony Grist
<b>Date of last notice</b>	25 May 2021

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect and Direct
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	<ol style="list-style-type: none"> <li>Denlin Nominees Pty Ltd ACN 008 905 940 (Denlin) of which Anthony Grist is the sole director</li> <li>Oaktone Nominees Pty Ltd ACN 074 566 635 as trustee for the Grist Investment Fund (Oaktone) of which Anthony Grist is a beneficiary</li> </ol>
<b>Date of change</b>	28 February 2022
<b>No. of securities held prior to change</b>	<u>Denlin</u> 3,737,593 fully paid ordinary shares 1,630,437 unlisted options exercisable at \$1.15 expiring on 30 June 2023 <u>Oaktone</u> 6,830,260 fully paid ordinary shares 2,196,817 unlisted performance rights
<b>Class</b>	Fully paid ordinary shares, unlisted options and unlisted performance rights
<b>Number acquired</b>	2,196,817 fully paid ordinary shares
<b>Number disposed</b>	2,196,817 unlisted performance rights

+ See chapter 19 for defined terms.

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<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	Nil cash consideration. 2196,817 fully paid ordinary shares were issued on conversion of 2,196,817 unlisted performance rights as a result of performance hurdles being met.
<b>No. of securities held after change</b>	<u>Denlin</u> 3,737,593 fully paid ordinary shares 1,630,437 unlisted options exercisable at \$1.15 expiring on 30 June 2023 <u>Oaktone</u> 9,027,077 fully paid ordinary shares
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Conversion of unlisted performance rights issued under the Swoop Holdings Long Term Incentive Plan to fully paid ordinary shares on a one for one basis, as a result of the Tranche 1 and Tranche 2 performance hurdles for those performance rights being met.

#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

#### Part 3 – <sup>+</sup>Closed period

+ See chapter 19 for defined terms.

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<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	N/A
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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<b>Name of entity</b>	<b>Swoop Holdings Limited</b>
<b>ABN</b>	<b>20 009 256 535</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Jonathan Pearce
<b>Date of last notice</b>	15 November 2021

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	<ol style="list-style-type: none"> <li>143 Pty Ltd of which Mr Pearce is the sole director</li> <li>NGO Custodian Pty Ltd &lt;Ngo Family A/C&gt;, which Mr Pearce manages on behalf of his spouse</li> </ol>
<b>Date of change</b>	28 February 2022
<b>No. of securities held prior to change</b>	<ol style="list-style-type: none"> <li>1,320,254 fully paid ordinary shares</li> <li>163,044 unlisted options</li> <li>1,318,090 unlisted performance rights</li> </ol>
<b>Class</b>	<ol style="list-style-type: none"> <li>Fully paid ordinary shares</li> <li>Unlisted options</li> <li>Unlisted performance rights</li> </ol>
<b>Number acquired</b>	1,318,090 fully paid ordinary shares
<b>Number disposed</b>	1,318,090 unlisted performance rights
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	Nil cash consideration. 1,318,090 fully paid ordinary shares were issued on conversion of 1,318,090 unlisted performance rights as a result of performance hurdles being met.

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<b>No. of securities held after change</b>	1. 2,638,344 fully paid ordinary shares 2. 163,044 unlisted options
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Conversion of unlisted performance rights issued under the Swoop Holdings Long Term Incentive Plan to fully paid ordinary shares on a one for one basis, as a result of the Tranche 1 and Tranche 2 performance hurdles for those performance rights being met.

#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

#### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	N/A
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>Swoop Holdings Limited</b>
<b>ABN</b>	<b>20 009 256 535</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	James Spenceley
<b>Date of last notice</b>	25 May 2021

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Spenceley Management Pty Ltd ACN 127 151 437 as trustee of the Spenceley Family Trust ( <b>Spenceley Management</b> ) of which James Spenceley is a beneficiary HSBC Custody Nominees (Australia) Limited ( <b>HSBC</b> ) holds shares as custodian for Spenceley Management.
<b>Date of change</b>	28 February 2022
<b>No. of securities held prior to change</b>	9,575,169 fully paid ordinary shares 2,636,181 unlisted performance rights
<b>Class</b>	Fully paid ordinary shares and unlisted performance rights
<b>Number acquired</b>	2,636,181 fully paid ordinary shares
<b>Number disposed</b>	2,636,181 unlisted performance rights

+ See chapter 19 for defined terms.

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<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	Nil cash consideration. 2,636,181 fully paid ordinary shares were issued on conversion of 2,636,181 unlisted performance rights as a result of performance hurdles being met.
<b>No. of securities held after change</b>	12,211,350 fully paid ordinary shares
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Conversion of unlisted performance rights issued under the Swoop Holdings Long Term Incentive Plan to fully paid ordinary shares on a one for one basis, as a result of the Tranche 1 and Tranche 2 performance hurdles for those performance rights being met.

#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

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+ See chapter 19 for defined terms.

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	N/A
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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