

ASX Announcement

15 September 2022

Late lodgement of Appendix 3Y

Navigator Global Investments Limited (**NGI** or the **Company**) attaches an Appendix 3Y for director Michael Shepherd in relation to his participation in the NGI Share Purchase Plan (SPP) in May 2022.

The late lodgement of the Appendix 3Y is an oversight due to the allocation of shares under the SPP occurring outside the normal processes in place for trading in NGI shares by directors and employees. Under the Company's share trading policy, a director is required to either seek prior approval of a trade (if outside a Trading Window) or provide one day notice of their intention to trade (if inside a trading window).

The SPP occurred during an open trading window, and the director provided notice of their intention to participate in the SPP, following which he completed and submitted an application to participate directly to the share registry. Under the operation of the SPP there is a delay between the application being made and the final allotment of shares. The final allotment of shares to the director was not identified by the Company at the time of closing the SPP, and hence the required Appendix 3Y was not lodged.

NGI has the following arrangements in place under Listing Rule 3.19B with its directors to ensure it is able to meet its disclosure obligations under Listing Rule 3.19A:

- a disclosure agreement is in place between each director and the Company outlining the disclosure responsibilities of the directors under the ASX listing rules
- there is a standing agenda item at each meeting where directors can advise of any changes to their interests since the last meeting
- where possible, a director's holding is flagged on the share registry so that a report is sent to the Company where there is a change in a director's shareholding. However, it is noted that this is not possible where a director holds their shares under a custodian or nominee who holds investments on behalf of multiple shareholders.

NGI has reviewed its existing compliance arrangements and has:

- confirmed that, where possible, all relevant director shareholdings are included on the flagged holdings report generated by the share registry, which notifies the Company of any movements in those shareholdings
- added additional recipients to the receipt of that report to ensure multiple staff members are aware of and can action the appropriate ASX lodgement.

For further information please contact:

Amber Stoney
Chief Financial Officer & Company Secretary
07 3218 6200

Authorised by: Amber Stoney, Company Secretary

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	NAVIGATOR GLOBAL INVESTMENTS LIMITED
ABN	47 101 585 737

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Michael Shepherd
Date of last notice	22 September 2011

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Indirect
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Tidala Pty Ltd as Trustee for the Shepherd Provident Fund
Date of change	18 May 2022
No. of securities held prior to change	125,000
Class	Fully Paid Ordinary
Number acquired	20,270
Number disposed	Nil
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	AUD 30,000
No. of securities held after change	145,270

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Share Purchase Plan participation
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Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

⁺ See chapter 19 for defined terms.