

## Clarification of Appendices 3Y lodged 5 June 2023

ABx Group Limited (**ABX** or the **Company**) (ASX: ABX) provides amendments and clarifications with respect to the Appendices 3Y lodged on behalf of directors on 5 June 2023.

### **Date of Change**

The "Date of Change" in the Appendix 3Y of each of Paul Lennon, Ken Boundy and Ian Levy incorrectly stated 31 July 2023 with respect to the ABXAA Class Options and 30 July 2023 with respect to the ABXAB Class Options. The correct dates are 31 May 2023 with respect to the ABXAA Class Options and 30 May 2023 with respect to the ABXAB Class Options.

### **Mark Cooksey**

Mark Cooksey was an employee of the Company on 25 May 2022.

He was issued the ABXAB Class Options under the ESOP approved at the 2022 AGM held on 25 May 2022. On 1 September 2022 he was appointed director. Accordingly, shareholder approval for the issue of the ABXAB Class Options to him was not sought.

### **Ken Boundy**

The number of FPO securities held prior to change was incorrectly stated.

The correct number is 2,353,089. There has been no change in the number of FPO securities held since the previous Appendix 3Y lodged on 22 July 2021.

### **Ian Levy**

The number of FPO securities held prior to change was incorrectly stated.

The correct number is 6,301,316. There has been no change in the number of FPO securities held since the previous Appendix 3Y lodged on 22 July 2021.

Attached are corrected Appendix 3Y for Paul Lennon, Ken Boundy, and Ian Levy. The unamended Appendix 3Y for Mark Cooksey is also attached for convenience.

ASX Release authorised by Henry Kinstlinger, Company Secretary.

### **For further information please contact:**

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# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	ABx Group Limited
<b>ABN</b>	14 139 494 885

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Paul Anthony Lennon
<b>Date of last notice</b>	09/02/2023

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Paramul Pty Ltd ACN 140 000 602 Paul Lennon is a beneficiary
<b>Date of change</b>	31 May 2023 – Options ABXAA 30 May 2023 – Options ABXAB
<b>No. of securities held prior to change</b>	4,234,869 FPO 600,000 Options ABXAA
<b>Class</b>	Fully Paid Ordinary Shares (FPO)  OPTIONS EXPIRING 31-MAY-2023 EX \$0.20 (ABXAA)  OPTIONS EXPIRING 01-JUN-2028 EX \$0.1692 (ABXAB)
<b>Number acquired</b>	37,500 Options ABXAB
<b>Number disposed</b>	400,000 Options ABXAA, Expired 31-MAY-2023
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	\$Nil

+ See chapter 19 for defined terms.

## Appendix 3Y Change of Director's Interest Notice

<b>No. of securities held after change</b>	4,234,869 FPO 37,500 Options ABXAB
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	ABXAA Options Lapsed  ABXAB Options Vested (per shareholder approval 25/05/2022)

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No.
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

<sup>+</sup> See chapter 19 for defined terms.

# Appendix 3Y

## Change of Director's Interest Notice

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Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	ABx Group Limited
<b>ABN</b>	14 139 494 885

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Kenneth Boundy
<b>Date of last notice</b>	22/07/2021

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Tempranillo Investments Pty Ltd Kenneth Boundy is a Director and Shareholder of Tempranillo Investments.  Bond Street Custodians Limited <CRMPL – M03105 A/C> Kenneth Boundy has a beneficial interest in the shares held in this account
<b>Date of change</b>	31 May 2023 – Options ABXAA 30 May 2023 – Options ABXAB
<b>No. of securities held prior to change</b>	2,353,089 FPO 300,000 Options ABXAA
<b>Class</b>	Fully Paid Ordinary Shares (FPO)  OPTIONS EXPIRING 31-MAY-2023 EX \$0.20 (ABXAA)  OPTIONS EXPIRING 01-JUN-2028 EX \$0.1692 (ABXAB)
<b>Number acquired</b>	37,500 Options ABXAB
<b>Number disposed</b>	300,000 Options ABXAA, Expired 31-MAY-2023

+ See chapter 19 for defined terms.

## Appendix 3Y Change of Director's Interest Notice

<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	\$Nil
<b>No. of securities held after change</b>	2,353,089 FPO 37,500 Options ABXAB
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	ABXAA Options Lapsed  ABXAB Options Vested (per shareholder approval 25/05/2022)

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No.
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

<sup>+</sup> See chapter 19 for defined terms.

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	ABx Group Limited
<b>ABN</b>	14 139 494 885

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Ian Levy
<b>Date of last notice</b>	22/07/2021

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Justevian Pty Ltd Ian Levy is a director and shareholder of Justevian Pty Ltd
<b>Date of change</b>	31 May 2023 – Options ABXAA 30 May 2023 – Options ABXAB
<b>No. of securities held prior to change</b>	6,301,316 FPO 400,000 Options ABXAA
<b>Class</b>	Fully Paid Ordinary Shares (FPO) OPTIONS EXPIRING 31-MAY-2023 EX \$0.20 (ABXAA) OPTIONS EXPIRING 01-JUN-2028 EX \$0.1692 (ABXAB)
<b>Number acquired</b>	37,500 Options ABXAB
<b>Number disposed</b>	400,000 Options ABXAA, Expired 31-MAY-2023
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	\$Nil
<b>No. of securities held after change</b>	6,301,316 FPO 37,500 Options ABXAB

+ See chapter 19 for defined terms.

## Appendix 3Y Change of Director's Interest Notice

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<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	ABXAA Options Lapsed  ABXAB Options Vested (per shareholder approval 25/05/2022)
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### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No.
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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<sup>+</sup> See chapter 19 for defined terms.

## Director Appointment

ABx Group Limited (**ABx** or **Company**) advises the appointment of Dr Mark Cooksey to the board of ABx as Managing Director effective 1 September 2022.

Mark Cooksey was appointed General Manager of the Company's 83% owned subsidiary Alcore Limited on 24 August 2020 (ASX: 13/01/21) and CEO of ABx on 1 February 2022 (ASX: 24/01/22).

Dr Cooksey holds a PhD (Chemical & Materials Engineering), Bachelor of Engineering (Materials – First Class Honours) and Bachelor of Science (Information Technology and Applied Mathematics).

He has worked closely with aluminium and other metal industries and has significant experience in commercialising new technologies and processes.

The relevant Appendix 3X is attached.

ASX Release authorised by the board of directors.

### For further information please contact:

Dr Mark Cooksey

CEO

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# Appendix 3X

## Initial Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/9/2001.

<b>Name of entity</b>	<b>ABx Group Limited</b>
<b>ACN</b>	<b>ACN 139 494 885</b>

We (the entity) give ASX the following information under listing rule 3.19A.1 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Dr Mark Cooksey
<b>Date of appointment</b>	1/9/2022

### Part 1 - Director's relevant interests in securities of which the director is the registered holder

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Number &amp; class of securities</b>
Nil

+ See chapter 19 for defined terms.

## Appendix 3X Initial Director's Interest Notice

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### Part 2 – Director's relevant interests in securities of which the director is not the registered holder

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Name of holder & nature of interest	Number & class of Securities
Note: Provide details of the circumstances giving rise to the relevant interest.	
Lara O'Grady (Wife)	160,000 FPO

### Part 3 – Director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
No. and class of securities to which interest relates	N/A

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+ See chapter 19 for defined terms.