



## Update Summary

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**Entity name**

APPEN LIMITED

**Announcement Type**

Update to previous announcement

**Date of this announcement**

Friday July 21, 2023

**Reason for the update**

Updates have been made to Part 3B - the number of securities issued and the date of issue. The shares are being issued as a result of the vesting of rights.

Refer to next page for full details of the announcement



## Part 1 - Entity and announcement details

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### 1.1 Name of entity

APPEN LIMITED

We (the entity named above) apply for +quotation of the following +securities and agree to the matters set out in Appendix 2A of the ASX Listing Rules.

### 1.2 Registered number type

ABN

### Registration number

60138878298

### 1.3 ASX issuer code

APX

### 1.4 The announcement is

Update/amendment to previous announcement

#### 1.4a Reason for update to a previous announcement

Updates have been made to Part 3B - the number of securities issued and the date of issue. The shares are being issued as a result of the vesting of rights.

#### 1.4b Date of previous announcement to this update

21/7/2023

### 1.5 Date of this announcement

21/7/2023



## Part 2 - Type of Issue

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### **2.1 The +securities to be quoted are:**

+Securities previously issued under an +employee incentive scheme where the restrictions on transfer have ceased or are about to cease

### **2.2 The +securities to be quoted are:**

Additional +securities in a class that is already quoted on ASX ("existing class")



Part 3B - number and type of +securities to be quoted (existing class) where issue has not previously been notified to ASX in an Appendix 3B

**Additional +securities to be quoted in an existing class as a result of transfer restrictions ceasing on an existing class**

**FROM (Existing Class)**

**ASX +security code and description**

APXAB : PERFORMANCE RIGHTS

**TO (Existing Class)**

**ASX +security code and description**

APX : ORDINARY FULLY PAID

**Please state the number of +securities previously issued under the +employee incentive scheme where the restrictions on transfer have ceased or are about to cease** **And the date the restrictions on transfer have ceased or will cease**

277,041

30/6/2023

**Issue date**

17/7/2023

**Will the +securities to be quoted rank equally in all respects from their issue date with the existing issued +securities in that class?**

No

**If some of the issued +securities do not rank equally:**

**Is the actual date from which the +securities will rank equally (non-ranking end date) known?**

No

**Provide the estimated non-ranking end period**

The shares being issued are restricted and will vest on a monthly basis in equal tranches subject to continued service for a period of two years commencing on 09 January 2023.

**Please state the extent to which the +securities do not rank equally:**

- In relation to the next dividend, distribution or interest payment; or
- For any other reason

The shares being issued are restricted and will vest on a monthly basis in equal tranches subject to continued service for a period of two years commencing on 09 January 2023.



Issue details

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**Number of +securities to be quoted**

277,041

**Are the +securities being issued for a cash consideration?**

No

**Please describe the consideration being provided for the +securities**

The shares are part of the Appen Long Term Incentive Plan as an employee retention initiative.

**Please provide an estimate (in AUD) of the value of the consideration being provided per +security for the +securities to be quoted**

2.390000

**Any other information the entity wishes to provide about the +securities to be quoted**

No.



Part 4 - Issued capital following quotation

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**Following the quotation of the +securities the subject of this application, the issued capital of the entity will comprise:**

**(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)**

**4.1 Quoted +securities (total number of each +class of +securities quoted on ASX following the +quotation of the +securities subject of this application)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
APX : ORDINARY FULLY PAID	156,921,599

**4.2 Unquoted +securities (total number of each +class of +securities issued but not quoted on ASX)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
APXAB : PERFORMANCE RIGHTS	13,243,621