

28 November 2024

ASX Ltd
Mr Lachlan Morley
ASX Compliance Officer
20 Bridge Street
Sydney NSW 2000

Dear Mr Morley

We refer to ASX's letter titled "Price - Query" received at 11.27am on 28 November 2024.

The Company responds to ASX's letter as follows:

1. *Is HUM aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?*

No, the Company is not aware of any information concerning it that has not already been announced to the market, which, if known by some in the market, could explain the recent trading in its securities.

2. *If the answer to question 1 is "yes".*

(a) Is HUM relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in HUM's securities would suggest to ASX that such information may have ceased to be confidential and therefore HUM may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us, immediately to discuss the situation.

Not applicable.

(b) Can an announcement be made immediately? Please note, if the answer to this question is "no" you need to contact us immediately to discuss requesting a trading halt..

Not applicable.

(c) *If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?*

Not applicable.

3. *If the answer to question 1 is "no", is there any other explanation that HUM may have for the recent trading in its securities.*

The Company's AGM was held this morning at 10am and closed at 10.35am with no questions posed by shareholders or otherwise addressed at the meeting. All material and information presented at the AGM was released prior to the meeting to the ASX in accordance with our Listing Rule obligations. The Company has no other explanation for the recent trading in the short period on the morning of 28 November 2024.

4. *Please confirm that HUM is complying with the Listing Rules and, in particular, Listing Rule 3.1.*

The Company confirms that it is in compliance with the Listing Rules, and in particular, Listing Rule 3.1.

5. *Please confirm that HUM's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of HUM with delegated authority from the board to respond to ASX on disclosure matters.*

The Company confirms that its responses to the questions above have been authorised and approved under its published continuous disclosure policy.

Please contact me if you require any further information.

Yours sincerely



Christina Seppelt

Chief Legal & Commercial Officer, Company Secretary



28 November 2024

Reference: 103871

Ms Lisa-Anne Carey
Company Secretary and Senior Legal Counsel
Humm Group Limited
Level 1, 121 Harrington Street
SYDNEY NSW 2000

By email

Dear Ms Carey

Humm Group Limited ('HUM'): Price - Query

ASX refers to the following:

- A. The change in the price of HUM's securities from a high of \$0.775 at close of trading on 27 November 2024 to a low of \$0.595 today.

Request for information

In light of this, ASX asks HUM to respond separately to each of the following questions and requests for information:

1. Is HUM aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
 - (a) Is HUM relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in HUM's securities would suggest to ASX that such information may have ceased to be confidential and therefore HUM may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
 - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
 - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that HUM may have for the recent trading in its securities?
4. Please confirm that HUM is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that HUM's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of HUM with delegated authority from the board to respond to ASX on disclosure matters.

When and where to send your response

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **2:00 PM AEDT Thursday, 28 November 2024**. You should note that if the

information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, HUM's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require HUM to request a trading halt immediately.

Your response should be sent by e-mail to **ListingsComplianceSydney@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

Trading halt

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in HUM's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in HUM's securities under Listing Rule 17.3.

Listing Rules 3.1 and 3.1A

In responding to this letter, you should have regard to HUM's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that HUM's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

Release of correspondence between ASX and entity

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

Kind regards

ASX Compliance